

## **EDISTRIDE (Dapagliflozin)**

### **1. NAME OF THE MEDICINAL PRODUCT**

EDISTRIDE tablet 10 mg.

### **2. QUALITATIVE AND QUANTITATIVE COMPOSITION**

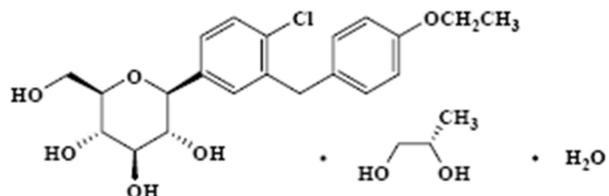
EDISTRIDE 10 mg: Each film-coated tablet contains 10 mg dapagliflozin as dapagliflozin propanediol.

### **3. PHARMACEUTICAL FORM**

EDISTRIDE 10 mg tablets are yellow, biconvex, diamond-shaped, film-coated tablets with “10” debossed on one side and “1428” debossed on the other side.

For excipients, see section 6.1.

Dapagliflozin propanediol is described chemically as D-glucitol, 1,5-anhydro-1-C-[4-chloro-3-[(4-ethoxyphenyl)methyl]phenyl]-, (1S)-, compounded with (2S)-1,2-propanediol, hydrate (1:1:1). The empirical formula is  $C_{21}H_{25}ClO_6 \bullet C_3H_8O_2 \bullet H_2O$  and the molecular weight is 502.98. The structural formula is:



### **4. PHARMACEUTICAL FORM**

#### **4.1 Therapeutic indications**

##### **Type 2 diabetes mellitus**

EDISTRIDE is indicated in adults with type 2 diabetes mellitus to improve glycemic control as an adjunct to diet and exercise. EDISTRIDE can be given as monotherapy or in combination with other medicinal products indicated for the treatment of type 2 diabetes mellitus.

For study results with respect to combination of therapies, effects on glycemic control and cardiovascular events, and the populations studied, see sections 4.4, 4.5 and 5.1.

### **Heart failure**

EDISTRIDE is indicated in adults with heart failure (NYHA class II-IV) to reduce the risk of cardiovascular death and hospitalization for heart failure (see section 5.1).

### **Chronic kidney disease**

EDISTRIDE is indicated as an adjunct to standard therapy to reduce the risk of sustained eGFR decline, end-stage kidney disease, and cardiovascular (CV) death in adults with chronic kidney disease at risk of progression.

EDISTRIDE is not indicated for use in patients with type 1 diabetes.

EDISTRIDE should not be used for the treatment of diabetic ketoacidosis.

## **4.2 Posology and method of administration**

### **Type 2 diabetes mellitus**

The recommended dose of EDISTRIDE is 10 mg taken orally once daily at any time of the day regardless of meals.

### **Heart failure**

The recommended dose of EDISTRIDE is 10 mg taken orally once daily at any time of the day regardless of meals.

### **Chronic kidney disease**

The recommended dose of EDISTRIDE is 10 mg taken orally once daily at any time of the day regardless of meals.

### **Special populations**

#### **Patients with renal impairment**

No dosage adjustment is required based on renal function.

Due to limited experience, it is not recommended to initiate treatment with EDISTRIDE in patients with GFR < 25 mL/min, however patients may continue 10 mg orally once daily to reduce the risk of eGFR decline, end-stage kidney disease and CV death.

The glucose lowering efficacy of EDISTRIDE is reduced in patients with eGFR <45 mL/min/1.73 m<sup>2</sup> (see sections 4.4 and 5.1). Therefore, if eGFR falls below 45 mL/min/1.73 m<sup>2</sup>, additional glucose lowering treatment should be considered in patients with diabetes mellitus.

#### **Patients with hepatic impairment**

No dosage adjustment for EDISTRIDE is necessary for patients with mild and moderate hepatic impairment. EDISTRIDE should not be used in patients with severe hepatic impairment (see section 5.2).

#### **Pediatric and adolescent patients**

Safety and effectiveness of EDISTRIDE in pediatric and adolescent patients have not been established.

### ***Geriatric patients***

No dosage adjustment for EDISTRIDE is required based on age (see section 5.1). Older patients are more likely to have impaired renal function. The renal function recommendations provided for all patients also apply to elderly patients (see section 4.4).

### **4.3 Contraindications**

EDISTRIDE is contraindicated in patients with a history of any serious hypersensitivity reaction to the active substance or to any of the excipients.

### **4.4 Special warnings and special precautions for use**

#### **Use in patients with renal impairment**

There is limited experience with initiating treatment with dapagliflozin in patients with eGFR <25 mL/min/1.73 m<sup>2</sup>.

The glucose lowering efficacy of dapagliflozin is dependent on renal function, and is reduced in patients where eGFR is <45 mL/min/1.73 m<sup>2</sup> (see section 4.2).

#### **Use in patients at risk for volume depletion**

Due to its mechanism of action, dapagliflozin induces osmotic diuresis which may lead to the modest decrease in blood pressure observed in clinical studies (see section 5.1).

Caution should be exercised in patients for whom a dapagliflozin-induced drop in blood pressure could pose a risk, such as patients with known cardiovascular disease, patients on anti-hypertensive therapy with a history of hypotension or elderly patients.

For patients receiving dapagliflozin, in case of intercurrent conditions that may lead to volume depletion, careful monitoring of volume status (e.g. physical examination, blood pressure measurements, laboratory tests including haematocrit) and electrolytes is recommended.

Temporary interruption of dapagliflozin should be considered for patients who develop volume depletion.

#### **Ketoacidosis in patients with diabetes mellitus**

There have been reports of ketoacidosis, including diabetic ketoacidosis (DKA), in patients with type 1 and type 2 diabetes mellitus taking dapagliflozin and other SGLT2 inhibitors. Dapagliflozin is not indicated for the treatment of patients with type 1 diabetes mellitus.

Predisposing factors to ketoacidosis include a low beta-cell function reserve resulting from pancreatic disorders (e.g., type 1 diabetes, history of pancreatitis or pancreatic surgery), insulin dose reduction, reduced caloric intake or increased insulin requirements due to infections, illness or surgery and alcohol abuse. Dapagliflozin should be used with caution in these patients.

Patients treated with dapagliflozin who present with signs and symptoms consistent with ketoacidosis, including nausea, vomiting, abdominal pain, malaise and shortness of breath, should be assessed for ketoacidosis, even if blood glucose levels are below 14 mmol/l (250 mg/dl). If

ketoacidosis is suspected, discontinuation or temporary interruption of dapagliflozin should be considered and the patient should be promptly evaluated.

Treatment should be interrupted in patients who are hospitalized for major surgical procedures or acute serious medical illnesses. Consider monitoring for ketoacidosis and temporarily discontinuing dapagliflozin in other clinical situations known to predispose to ketoacidosis (e.g., prolonged fasting due to acute illness or post-surgery). Ensure risk factors for ketoacidosis are resolved prior to restarting dapagliflozin.

### **Necrotising Fasciitis of the Perineum (Fournier's gangrene)**

Post-marketing cases of necrotising fasciitis of the perineum, (also known as Fournier's gangrene), have been reported in female and male patients taking SGLT2 inhibitors (see section 4.8). This is a rare but serious and potentially life-threatening event that requires urgent surgical intervention and antibiotic treatment.

Patients should be advised to seek medical attention if they experience a combination of symptoms of pain, tenderness, erythema, or swelling in the genital or perineal area, with fever or malaise. Be aware that either uro-genital infection or perineal abscess may precede necrotizing fasciitis. If Fournier's gangrene is suspected, dapagliflozin should be discontinued and prompt treatment (including antibiotics and surgical debridement) should be instituted.

### **Use with medications known to cause hypoglycemia**

Insulin and insulin secretagogues, such as sulfonylureas, cause hypoglycemia. Therefore, a lower dose of insulin or the insulin secretagogue may be required to reduce the risk of hypoglycemia when used in combination with dapagliflozin (see section 5.1).

## **4.5 Interaction with other medicinal products and other forms of interaction**

The metabolism of dapagliflozin is primarily mediated by UGT1A9-dependent glucuronide conjugation. The major metabolite, dapagliflozin 3-O-glucuronide, is not an SGLT2 inhibitor.

In *in vitro* studies, dapagliflozin and dapagliflozin 3-O-glucuronide neither inhibited CYP 1A2, 2C9, 2C19, 2D6, 3A4, nor induced CYP1A2, 2B6 or 3A4. Therefore, dapagliflozin is not expected to alter the metabolic clearance of coadministered drugs that are metabolized by these enzymes, and drugs that inhibit or induce these enzymes are not expected to alter the metabolic clearance of dapagliflozin. Dapagliflozin is a weak substrate of the P-glycoprotein (P-gp) active transporter and dapagliflozin 3-O-glucuronide is a substrate for the OAT3 active transporter. Dapagliflozin or dapagliflozin 3-O-glucuronide did not meaningfully inhibit P-gp, OCT2, OAT1, or OAT3 active transporters. Overall, dapagliflozin is unlikely to affect the pharmacokinetics of concurrently administered medications that are P-gp, OCT2, OAT1, or OAT3 substrates.

### **Effect of Other Drugs on Dapagliflozin**

In interaction studies conducted in healthy subjects, using mainly single dose design, the pharmacokinetics of dapagliflozin were not altered by metformin (an hOCT-1 and hOCT-2 substrate), pioglitazone (a CYP2C8 [major] and CYP3A4 [minor] substrate), sitagliptin (an hOAT-3 substrate, and P-glycoprotein substrate), glimepiride (a CYP2C9 substrate), voglibose (an  $\alpha$ -glucosidase inhibitor), hydrochlorothiazide, bumetanide, valsartan, or simvastatin (a CYP3A4 substrate). Therefore, meaningful interaction of dapagliflozin with other substrates of hOCT-1,

hOCT-2, hOAT-3, P-gp, CYP2C8, CYP2C9, CYP3A4, and other  $\alpha$ -glucosidase inhibitor would not be expected.

Following coadministration of dapagliflozin with rifampicin (an inducer of various active transporters and drug-metabolizing enzymes) or mefenamic acid (an inhibitor of UGT1A9), a 22% decrease and a 51% increase, respectively, in dapagliflozin systemic exposure was seen, but with no clinically meaningful effect on 24-hour urinary glucose excretion in either case.

Coadministration of dapagliflozin and bumetanide did not meaningfully change the pharmacodynamic effect of dapagliflozin to increase urinary glucose excretion in healthy subjects.

### **Effect of Dapagliflozin on Other Drugs**

Concomitant use of dapagliflozin and lithium may lead to a reduction in serum lithium concentrations due to a possible increased urinary clearance of lithium. Serum concentration of lithium should be monitored more frequently after dapagliflozin initiation and dose changes. The dose of lithium may need to be adjusted.

In interaction studies conducted in healthy subjects, using mainly a single dose design, dapagliflozin did not alter the pharmacokinetics of metformin, pioglitazone, sitagliptin, glimepiride, hydrochlorothiazide, bumetanide, valsartan, simvastatin, digoxin (a P-gp substrate), or warfarin (S-warfarin is a CYP2C substrate). Therefore, dapagliflozin is not a clinical meaningful inhibitor of hOCT-1, hOCT-2, hOAT-3, P-gp transporter pathway, and CYP2C8, CYP2C9, CYP2C19 and CYP3A4 mediated metabolism.

Coadministration of dapagliflozin and bumetanide did not meaningfully alter the steady-state pharmacodynamic responses (urinary sodium excretion, urine volume) to bumetanide in healthy subjects.

Dapagliflozin did not affect the anticoagulant activity of warfarin as measured by the prothrombin time (International Normalized Ratio [INR]).

*Diuretics:* Dapagliflozin may add to the diuretic effect of thiazide and loop diuretics and may increase the risk of dehydration and hypotension.

### **Other Interactions**

The effects of smoking, diet, herbal products, and alcohol use on the pharmacokinetics of dapagliflozin have not been specifically studied.

#### ***Interference with 1,5-anhydroglucitol (1,5-AG) Assay***

Monitoring glycemic control with 1,5-AG assay is not recommended as measurements of 1,5-AG are unreliable in assessing glycemic control in patients taking SGLT2 inhibitors. Use alternative methods to monitor glycemic control.

## 4.6 Pregnancy and lactation

### Pregnancy

Dapagliflozin must not be used in the second and third trimesters of pregnancy. In the time period corresponding to the second and third trimesters of pregnancy with respect to human renal maturation, maternal exposure to dapagliflozin in rat studies was associated with increased incidence and/or severity of renal pelvic and tubular dilatations in progeny (see section 5.3).

In conventional studies of embryo-fetal development in rats and rabbits, dapagliflozin was administered for intervals coinciding with the first trimester period of organogenesis in humans. No developmental toxicities were observed in rabbits at any dose tested (1191 $\times$  the maximum recommended human dose [MRHD]). In rats, dapagliflozin was neither embryolethal nor teratogenic (1441 $\times$  the MRHD) in the absence of maternal toxicity.

There are no adequate and well-controlled studies of dapagliflozin in pregnant women. When pregnancy is detected, dapagliflozin should be discontinued.

### Lactation

Dapagliflozin must not be used by a nursing woman. Studies in rats have shown excretion of dapagliflozin in milk. Direct and indirect exposure of dapagliflozin to weanling juvenile rats and during late pregnancy are each associated with increased incidence and/or severity of renal pelvic and tubular dilatations in progeny, although the long-term functional consequences of these effects are unknown. These periods of exposure coincide with a critical window of renal maturation in rats. As functional maturation of the kidneys in humans continues in the first 2 years of life, dapagliflozin-associated dilated renal pelvis and tubules noted in juvenile rats could constitute potential risk for human renal maturation during the first 2 years of life. Additionally, the negative effects on body-weight gain associated with lactational exposure in weanling juvenile rats suggest that dapagliflozin must be avoided during the first 2 years of life (see section 5.3).

It is not known whether dapagliflozin and/or its metabolite are excreted in human milk.

## 4.7 Effects on ability to drive and to use machines

No studies on the effects on the ability to drive and use machines have been performed.

## 4.8 Undesirable effects

### Clinical Experience

Two major pools of patients were used to evaluate adverse reactions with dapagliflozin 10 mg versus control, a placebo-controlled study pool and a larger pool of active- and placebo-controlled studies.

### Placebo-Controlled Studies

The first pool is a prespecified pool of patients from 13 short-term, placebo-controlled studies used to evaluate and present all safety data other than malignancies, liver tests, and hypoglycemia (evaluated by individual study). This pool included the monotherapy studies, several add-on studies (metformin, sulfonylurea, pioglitazone, DPP4-inhibitor, insulin, and two studies with a combination of add-on therapies), and an initial combination with metformin study. Across these

13 studies, 2360 patients were treated once daily with dapagliflozin 10 mg and 2295 were treated with placebo (either as monotherapy or in combination with other antidiabetic therapies).

These 13 studies provide a mean duration of exposure of 22 weeks. The mean age of the population was 59 years and 4% were older than 75 years. Fifty-eight percent (58%) of the population was male; 84% were White, 9% were Asian, and 3% were Black or African American. At baseline, the population had diabetes for an average of 9 years, mean HbA1c was 8.2%, and renal function was normal or mildly impaired in 88% of patients and moderately impaired in 11% of patients.

### **Active- and Placebo-Controlled Studies**

The second pool is a pool of patients from 21 active- and placebo-controlled studies used to evaluate and present data for malignancies and liver tests. In this pool, 5936 patients were treated with dapagliflozin and 3403 were treated with control (either as monotherapy or in combination with other antidiabetic therapies).

These 21 studies provide a mean duration of exposure to dapagliflozin 10 mg of 55 weeks (6247 patient-years). Across both treatment groups, the mean age of the population was 58 years and 3.5% were older than 75 years. Fifty-six percent (56%) of the population was male; 77% were White, 16% were Asian, and 4% were Black or African American. At baseline, the population had diabetes for an average of 7 years, 34% of patients had a history of cardiovascular disease, mean HbA1c was 8.2%, and baseline renal function was normal or mildly impaired in 89% of patients and moderately impaired in 11% of patients.

Additionally, dapagliflozin 5 mg was evaluated in a 12-study, short-term, placebo-controlled pool of patients that included 1145 patients treated with dapagliflozin 5 mg (mean exposure = 22 weeks) and 1393 patients treated with placebo (mean exposure = 21 weeks), either as monotherapy or in combination with other antidiabetic therapies.

In the dedicated cardiovascular (CV) outcomes study in patients with type 2 diabetes mellitus (DECLARE), 8574 patients received dapagliflozin 10 mg and 8569 received placebo for a median exposure time of 48 months. In total, there were 30623 patient-years of exposure to dapagliflozin.

In the dapagliflozin cardiovascular outcome study in patients with heart failure with reduced ejection fraction (DAPA-HF), 2368 patients were treated with dapagliflozin 10 mg and 2368 patients with placebo for a median exposure time of 18 months. The patient population included patients with type 2 diabetes mellitus and without diabetes, and patients with  $eGFR \geq 30$  mL/min/1.73 m<sup>2</sup>. In the dapagliflozin cardiovascular outcome study in patients with heart failure with left ventricular ejection fraction (LVEF)  $>40\%$  (DELIVER), 3126 patients were treated with dapagliflozin 10 mg and 3127 patients with placebo for a median exposure time of 27 months. The patient population included patients with type 2 diabetes mellitus and without diabetes, and patients with  $eGFR \geq 25$  mL/min/1.73 m<sup>2</sup>.

In the dapagliflozin renal outcome study in patients with chronic kidney disease (DAPA CKD), 2149 patients were treated with dapagliflozin 10 mg and 2149 patients with placebo for a median exposure of 27 months. The patient population included patients with type 2 diabetes mellitus and without diabetes, with  $eGFR \geq 25$  and  $\leq 75$  mL/min/1.73 m<sup>2</sup>. Treatment was continued if  $eGFR$  fell to levels below 25 mL/min/1.73 m<sup>2</sup>.

The safety profile of dapagliflozin was overall consistent across the studied indications. DKA was observed only in patients with diabetes mellitus.

## Adverse Reactions

The adverse reactions in patients treated with dapagliflozin 10 mg in clinical trials and postmarketing are shown in Table 1.

**Table 1: Adverse Drug Reactions by Frequency and System Organ Class (SOC)**

System Organ Class	Common	Rare	Very Rare	Unknown
<i>Infections and Infestations</i>	Genital infection <sup>a,b</sup> Urinary tract infection <sup>a,c</sup>		Necrotising fasciitis of the perineum (Fournier's gangrene) <sup>h</sup>	
<i>Metabolism and Nutrition Disorders</i>		Diabetic ketoacidosis <sup>e</sup>		
<i>Skin and subcutaneous Disorders</i>				Rash <sup>f,g</sup>
<i>Musculoskeletal and Connective Tissue Disorders</i>	Back pain <sup>a</sup>			
<i>Renal Urinary Disorders</i>	Pollakiuria <sup>a</sup> and polyuria <sup>a,d</sup>			

<sup>a</sup> Identified from 13 placebo-controlled studies with dapagliflozin 10 mg in type 2 diabetes mellitus, including 3 monotherapy, 1 initial combination with metformin, 2 add-on to metformin, 2 add-on to insulin, 1 add-on to pioglitazone, 1 add-on to sitagliptin, 1 add-on to glimepiride, and 2 studies with combination add-on therapy.

<sup>b</sup> Multiple adverse events terms, including vulvovaginal infections and candidiasis, balanoposthitis, balanitis candida, penile abscess, penile infection, vulval abscess and vaginitis bacterial.

<sup>c</sup> Multiple adverse events terms, including genitourinary tract infection, cystitis, pyelonephritis, trigonitis, urethritis and prostatitis.

<sup>d</sup> Represents multiple adverse events terms, including polyuria, urine output increased.

<sup>e</sup> Identified from the cardiovascular outcomes study in patients with type 2 diabetes. Frequency is based on annual rate.

<sup>f</sup> Identified during postmarketed use of dapagliflozin. Because these reactions are reported voluntarily from a population of an uncertain size, it is not always possible to reliably estimate their frequency.

<sup>g</sup> Rash includes the following preferred terms, listed in order of frequency in clinical trials: Rash, Rash generalized, Rash pruritic, Rash macular, Rash maculo-papular, Rash pustular, Rash vesicular, Rash erythematous. In active- and placebo-controlled clinical trials (Dapagliflozin, N=5936, All control, N=3403), the frequency of Rash was similar for Dapagliflozin (1.4%) and All control (1.4%), respectively, corresponding to the frequency 'Common'.

<sup>h</sup> See section 4.4.

## Description of selected adverse reactions

### Genital Infections

Events of genital infections were reported in 5.5% and 0.6% of patients who received dapagliflozin 10 mg and placebo, respectively, in the 13-study short-term, placebo-controlled pool analysis. The events of genital infections reported in patients treated with dapagliflozin 10 mg were all mild to

moderate. Most events of genital infection responded to an initial course of standard treatment and rarely resulted in discontinuation from the study (0.2% dapagliflozin 10 mg vs 0% in placebo). Infections were reported more frequently in females (8.4% dapagliflozin 10 mg vs 1.2% placebo) than in males (3.4% dapagliflozin 10 mg vs 0.2% placebo). The most frequently reported genital infections were vulvovaginal mycotic infections in females, and balanitis in males.

In the DECLARE study, the number of patients with serious adverse events (SAE) of genital infections were few and balanced: 2 (<0.1%) patients in each of the dapagliflozin and placebo groups.

In the DAPA-HF study, no patient reported a SAE of genital infections in the dapagliflozin group and one in the placebo group. There were 7 (0.3%) patients with adverse events leading to discontinuations (DAE) due to genital infections in the dapagliflozin group and none in the placebo group. In the DELIVER study, one (<0.1%) patient in each treatment group reported a SAE of genital infections. There were 3 (0.1%) patients with DAEs due to genital infection in the dapagliflozin group and none in the placebo group.

In the DAPA-CKD study, there were 3 (0.1%) patients with SAE of genital infections in the dapagliflozin group and none in the placebo group. There were 3 (0.1%) patients with DAEs due to genital infections in the dapagliflozin group and none in the placebo group.

### **Urinary Tract Infections**

Events of urinary tract infections (UTI) were reported in 4.7% and 3.5% of patients who received dapagliflozin 10 mg and placebo, respectively, in the 13-study, short-term, placebo-controlled pool. Most events of urinary tract infections reported in patients treated with dapagliflozin 10 mg were mild to moderate. Most patients responded to an initial course of standard treatment, and urinary tract infections rarely caused discontinuation from the study (0.2% dapagliflozin 10 mg vs 0.1% placebo). Infections were more frequently reported in females (8.5% dapagliflozin 10 mg vs 6.7% placebo) than in males (1.8% dapagliflozin 10 mg vs 1.3% placebo).

In the DECLARE study there were fewer patients with SAEs of UTI in the dapagliflozin group compared with the placebo group: 79 (0.9%) and 109 (1.3%), respectively.

The number of patients with SAEs of UTI were low and balanced in the DAPA-HF and DELIVER studies: in DAPA-HF there were 14 (0.6%) patients in the dapagliflozin group and 17 (0.7%) in the placebo group and in DELIVER there were 41 (1.3%) patients in the dapagliflozin group and 37 (1.2%) in the placebo group. In the DAPA-HF study, there were 5 (0.2%) patients with DAEs due to UTI in each of the dapagliflozin and placebo groups. In the DELIVER study, there were 13 (0.4%) patients with DAEs due to UTI in the dapagliflozin group and 9 (0.3%) in the placebo group.

In the DAPA-CKD study, there were 29 (1.3%) patients with SAEs of UTI in the dapagliflozin group and 18 (0.8%) patients in the placebo group. There were 8 (0.4%) patients with DAEs due to UTI in the dapagliflozin group and 3 (0.1%) in the placebo group.

## **Diabetic Ketoacidosis (DKA)**

### ***Type 2 diabetes mellitus***

In the DECLARE study with a median exposure time of 48 months, events of DKA were reported in 27 patients in the dapagliflozin 10 mg group and 12 patients in the placebo group. The events occurred evenly distributed over the study period. Of the 27 patients with DKA events in the dapagliflozin group, 22 had concomitant insulin treatment at the time of the event. Precipitating factors for DKA were as expected in a type 2 diabetes mellitus population (see section 4.4).

In the DAPA-HF study, events of DKA were reported in 3 patients with type 2 diabetes mellitus in the dapagliflozin group and none in the placebo group. In the DELIVER study, events of DKA were reported in 2 patients with type 2 diabetes mellitus in the dapagliflozin group and none in the placebo group.

In the DAPA-CKD study, events of DKA were not reported in any patient in the dapagliflozin group and in 2 patients with type 2 diabetes mellitus in the placebo group.

### **Necrotising fasciitis of the perineum (Fournier's gangrene)**

Cases of Fournier's gangrene have been reported postmarketing in patients taking SGLT2 inhibitors, including dapagliflozin (see section 4.4).

In the dapagliflozin cardiovascular outcomes study with 17,160 type 2 diabetes mellitus patients and a median exposure time of 48 months, a total of 6 cases of Fournier's gangrene were reported, one in the dapagliflozin-treated group and 5 in the placebo group.

## **4.9 Overdose**

Orally administered dapagliflozin has been shown to be safe and well tolerated in healthy subjects at single doses up to 500 mg (50 times the MRHD). These subjects had detectable glucose in the urine for a dose-related period of time (at least 5 days for the 500 mg dose) with no reports of dehydration, hypotension, or electrolyte imbalance, and with no clinically meaningful effect on QTc interval. The incidence of hypoglycemia for patients treated with dapagliflozin was similar to placebo. In clinical studies where once-daily doses of up to 100 mg (10 times the MRHD) of dapagliflozin were administered for 2 weeks in healthy subjects and type 2 diabetes patients, the incidence of hypoglycemia for subjects administered dapagliflozin was slightly higher than placebo and was not dose related. Rates of adverse events including dehydration or hypotension for patients treated with dapagliflozin were similar to placebo, and there were no clinically meaningful dose-related changes in laboratory parameters including serum electrolytes and biomarkers of renal function.

In the event of an overdose, appropriate supportive treatment should be initiated as dictated by the patient's clinical status. The removal of dapagliflozin by hemodialysis has not been studied.

## 5. PHARMACOLOGICAL PROPERTIES

### 5.1 Pharmacodynamics

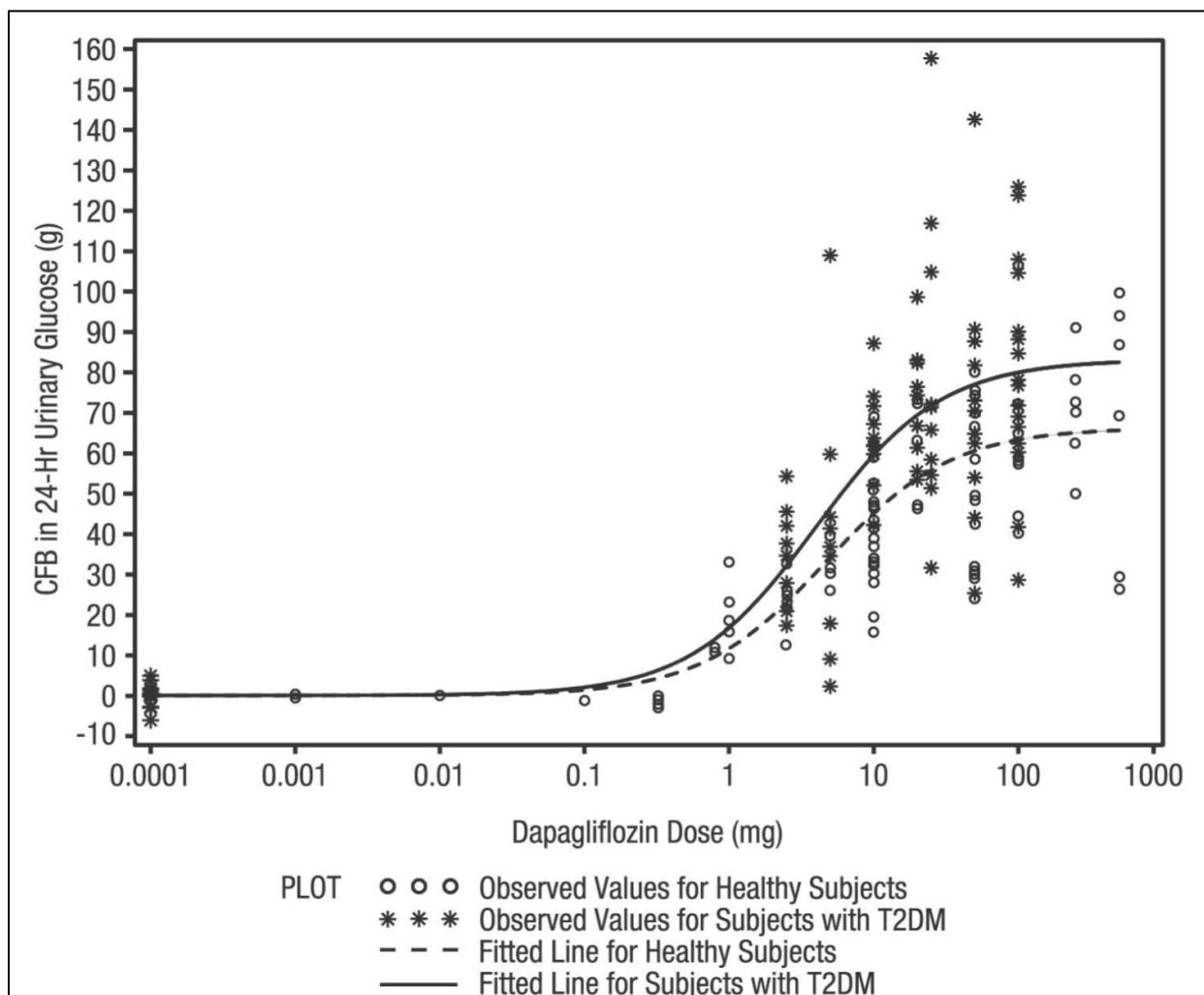
#### General

Increases in the amount of glucose excreted in the urine were observed in healthy subjects and in patients with type 2 diabetes mellitus following the administration of dapagliflozin (see Figure 1). Approximately 70 g of glucose was excreted in the urine per day (corresponding to 280 kcal/day) at a dapagliflozin dose of 10 mg/day in patients with type 2 diabetes mellitus for 12 weeks. This glucose elimination rate approached the maximum glucose excretion observed at 20 mg/day of dapagliflozin. Evidence of sustained glucose excretion was seen in patients with type 2 diabetes mellitus given dapagliflozin 10 mg/day for up to 2 years.

This urinary glucose excretion with dapagliflozin also results in osmotic diuresis and increases in urinary volume. Urinary volume increases in patients with type 2 diabetes mellitus treated with dapagliflozin 10 mg were sustained at 12 weeks and amounted to approximately 375 mL/day. The increase in urinary volume was associated with a small and transient increase in urinary sodium excretion that was not associated with changes in serum sodium concentrations.

Urinary uric acid excretion was also increased transiently (for 3-7 days) and accompanied by a reduction in serum uric acid concentration. At 24 weeks, reductions in serum uric acid concentrations ranged from 0.33 mg/dL to 0.87 mg/dL.

**Figure 1: Scatter Plot and Fitted Line of Change from Baseline in 24-hour Urinary Glucose Amount versus Dapagliflozin Dose in Healthy Subjects and Subjects with T2DM (Semi-Log Plot)**



### Cardiac Electrophysiology

Dapagliflozin was not associated with clinically meaningful prolongation of QTc interval at daily doses up to 150 mg (15 times the recommended dose) in a study of healthy subjects. In addition, no clinically meaningful effect on QTc interval was observed following single doses of up to 500 mg (50 times the recommended dose) dapagliflozin in healthy subjects.

### **Mechanism of Action**

Dapagliflozin is a highly potent, selective, and reversible inhibitor of sodium-glucose cotransporter 2 (SGLT2) that improves glycemic control in patients with diabetes mellitus and provides cardio-renal benefits.

Inhibition of SGLT2 by dapagliflozin reduces reabsorption of glucose from the glomerular filtrate in the proximal renal tubule with a concomitant reduction in sodium reabsorption leading to urinary excretion of glucose and osmotic diuresis. Dapagliflozin therefore increases the delivery

of sodium to the distal tubule which increases tubuloglomerular feedback and reduces intraglomerular pressure. This combined with osmotic diuresis leads to a reduction in volume overload, reduced blood pressure, and lower preload and afterload, which may have beneficial effects on cardiac remodelling and diastolic function, and preserve renal function. Other effects include an increase in hematocrit and reduction in body weight.

Dapagliflozin improves both fasting and postprandial plasma glucose levels by reducing renal glucose reabsorption leading to urinary excretion of excess glucose. This glucose excretion (glucuretic effect) is observed after the first dose, is continuous over the 24- hour dosing interval, and is sustained for the duration of treatment. The amount of glucose removed by the kidney through this mechanism is dependent upon the blood glucose concentration and GFR. Thus, in subjects with normal blood glucose and/or low GFR, dapagliflozin has a low propensity to cause hypoglycemia, as the amount of filtrated glucose is small and can be reabsorbed by SGLT1 and unblocked SGLT2 transporters. Dapagliflozin does not impair normal endogenous glucose production in response to hypoglycemia. Dapagliflozin acts independently of insulin secretion and insulin action. Over time, improvement in beta-cell function (HOMA-2) has been observed in clinical studies with dapagliflozin.

The majority of weight reduction is body-fat loss, including visceral fat, rather than lean tissue, or fluid loss as demonstrated by dual energy x-ray absorptiometry (DXA) and magnetic resonance imaging.

SGLT2 is selectively expressed in the kidney. Dapagliflozin does not inhibit other glucose transporters important for glucose transport into peripheral tissues and is greater than 1400 times more selective for SGLT2 *versus* SGLT1, the major transporter in the gut responsible for glucose absorption.

### **Clinical trial information – type 2 diabetes mellitus**

More than 28000 patients have been included in 21 double-blind, controlled type 2 diabetes mellitus clinical studies conducted to evaluate the safety and efficacy of dapagliflozin; more than 15000 patients in these studies were treated with dapagliflozin.

Dapagliflozin has been studied as monotherapy and in combination with metformin (with or without a sulfonylurea), sulfonylurea (glimepiride), thiazolidinedione (pioglitazone), sitagliptin, (with or without metformin), saxagliptin and metformin or insulin (with or without other oral antidiabetic therapy).

Dedicated studies of the glycemic efficacy and safety of dapagliflozin were performed in patients with type 2 diabetes and cardiovascular disease (CVD), with type 2 diabetes and hypertension and with type 2 diabetes and moderate renal impairment (see section 5.1).

A large CV outcomes trial (DECLARE) assessed the effect of dapagliflozin on CV and renal outcomes in type 2 diabetes mellitus patients with or without established CV disease.

## **Clinical Efficacy**

### ***Glycemic Efficacy***

Treatment with dapagliflozin as monotherapy, as add-on combination therapy with metformin (with or without a sulfonylurea), sulfonylurea (glimepiride), thiazolidinedione (pioglitazone), sitagliptin (with or without metformin), saxagliptin and metformin, or insulin (with or without other oral antidiabetic therapy), produced clinically relevant and statistically significant improvements in mean change from baseline at Week 24 in HbA1c, fasting plasma glucose (FPG), and 2-hour post-prandial glucose (PPG) (where measured) compared to control. Treatment with dapagliflozin in concomitant initiation with saxagliptin as add-on to metformin produced clinically relevant and statistically significant improvements in mean change from baseline at Week 24 in HbA1c compared to control.

These clinically relevant glycemic effects were sustained in all long-term extensions up to 208 weeks. HbA1c reductions were seen across subgroups including gender, age, race, duration of disease, and baseline body mass index (BMI).

Additionally, at Week 24, clinically relevant and statistically significant reductions in mean changes from baseline in body weight were seen with dapagliflozin combination treatments compared to control. Body-weight reductions were sustained in long-term extensions up to 208 weeks. In a dedicated clinical study, decrease in weight was mainly attributable to a reduction in body-fat mass as measured by DXA.

In two studies of dapagliflozin 10 mg in type 2 diabetes patients with cardiovascular disease, statistically significant improvements in HbA1c and significant reductions in body weight and seated systolic blood pressure were seen at Week 24 in patients treated with dapagliflozin 10 mg compared to those treated with placebo, and were sustained through Week 104.

In two studies of dapagliflozin 10 mg in type 2 diabetes patients with hypertension, statistically significant reductions in mean seated systolic blood pressure were also seen in patients treated with dapagliflozin 10 mg combined with other oral antidiabetic and antihypertensive treatments (an angiotensin-converting enzyme inhibitor [ACEi] or angiotensin receptor blocker [ARB] in one study and an ACEi or ARB plus one additional antihypertensive treatment in another study) compared to those treated with placebo at Week 12.

Dapagliflozin was evaluated at 10 mg once daily in 18 of the 20 double-blind glycemic efficacy studies. Doses of dapagliflozin 2.5 mg and 5 mg were also evaluated in some of these studies; 2.5 mg was not consistently effective for glycemic control, and 10 mg had numerically better efficacy and comparable safety to dapagliflozin 5 mg.

### **Monotherapy**

A total of 840 treatment-naive patients with inadequately controlled type 2 diabetes participated in two placebo-controlled studies to evaluate the efficacy and safety of monotherapy with dapagliflozin.

In one monotherapy study, a total of 558 treatment-naive patients with inadequately controlled diabetes participated in a 24-week study with a 78-week controlled, blinded, extension period.

Following a 2-week diet and exercise placebo lead-in period, 485 patients with HbA1c  $\geq 7\%$  and  $\leq 10\%$  were randomized to dapagliflozin 2.5 mg, 5 mg, or dapagliflozin 10 mg once daily in either the morning (QAM, main cohort) or evening (QPM), or placebo in the morning only.

At Week 24, treatment with dapagliflozin 10 mg QAM provided significant improvements in HbA1c and FPG compared with placebo (Table 2, Figure 2). Overall, the PM administration of dapagliflozin had a comparable safety and efficacy profile to dapagliflozin administered in the AM. Adjusted mean change from baseline in HbA1c and FPG was  $-0.61\%$  and  $-27.0$  mg/dL, respectively, at Week 102 in the QAM group for patients treated with dapagliflozin 10 mg, and  $-0.17\%$  and  $-6.9$  mg/dL, respectively, for patients treated with placebo based on the longitudinal repeated measures analysis excluding data after rescue.

The proportion of patients in the main cohort who were rescued or discontinued for lack of glycemic control at Week 24 (adjusted for baseline HbA1c) was higher for placebo (12.0%) than for dapagliflozin 10 mg (0.0%). By Week 102 (adjusted for baseline HbA1c), more patients treated with placebo (44.0%) required rescue therapy than patients treated with dapagliflozin 10 mg (35.0%).

**Table 2: Results at Week 24 (LOCF<sup>\*</sup>) in a Placebo-Controlled Study of Dapagliflozin Monotherapy in Patients with Type 2 Diabetes (Main Cohort AM Doses)**

Efficacy Parameter	Dapagliflozin 10 mg N=70 <sup>†</sup>	Placebo N=75 <sup>†</sup>
<b>HbA1c (%)</b>		
Baseline (mean)	8.01	7.79
Change from baseline (adjusted mean <sup>‡</sup> )	-0.89	-0.23
Difference from placebo (adjusted mean <sup>‡</sup> ) (95% CI)	-0.66 <sup>§</sup> (-0.96, -0.36)	
Percent of patients achieving HbA1c $< 7\%$ adjusted for baseline	50.8% <sup>¶</sup>	31.6%
Change from baseline in HbA1c in patients with baseline HbA1c $\geq 9\%$ (adjusted mean <sup>‡</sup> )	-2.04 <sup>¶</sup> (N=14)	0.19 (N=5)
<b>FPG (mg/dL)</b>		
Baseline (mean)	166.6	159.9
Change from baseline (adjusted mean <sup>‡</sup> )	-28.8	-4.1
Difference from placebo (adjusted mean <sup>‡</sup> ) (95% CI)	-24.7 <sup>§</sup> (-35.7, -13.6)	
<b>Body Weight (kg)</b>		
Baseline (mean)	94.13	88.77
Change from baseline (adjusted mean <sup>‡</sup> )	-3.16	-2.19
Difference from placebo (adjusted mean <sup>‡</sup> ) (95% CI)	-0.97 (-2.20, 0.25)	

\* LOCF: last observation (prior to rescue for rescued patients) carried forward.

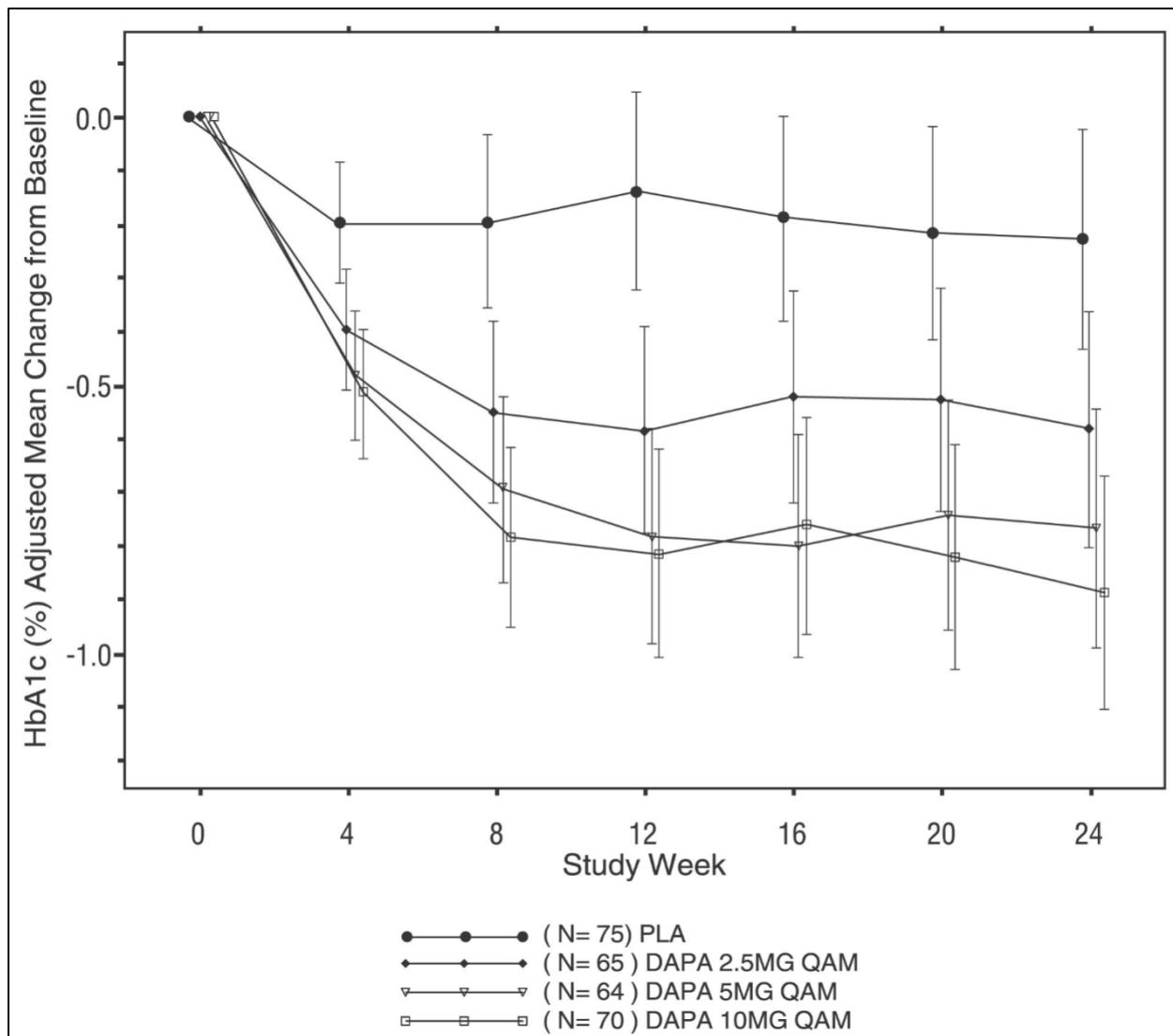
† All randomized patients who took at least one dose of double-blind study medication during the short-term double-blind period.

‡ Least squares mean adjusted for baseline value.

§ p-value <0.0001 *versus* placebo.

¶ Not evaluated for statistical significance as a result of the sequential testing procedure for the secondary endpoints.

**Figure 2: Adjusted Mean Change from Baseline Over Time (LOCF) in HbA1c (%) in a 24-Week Placebo-Controlled Study of Dapagliflozin Monotherapy in Patients with Type 2 Diabetes (Group 1 AM Doses)**



Error bars represent 95% confidence intervals for the adjusted mean change from baseline

Another 24-week study conducted evaluating dapagliflozin 1 mg, 2.5 mg and 5 mg monotherapy *versus* placebo also showed clinically relevant and statistically significant improvements in glycemic parameters and body weight.

## **Combination Therapy**

Dapagliflozin was studied as initial combination with metformin, and as add-on to metformin, sulfonylurea (glimepiride), metformin plus a sulfonylurea, thiazolidinedione (pioglitazone), insulin (with or without other oral antidiabetic therapy), sitagliptin (with or without metformin), or saxagliptin plus metformin, and as concomitant initiation therapy with saxagliptin added to metformin.

### **Combination Therapy with Metformin**

Four studies were conducted in combination with metformin therapy. Two studies evaluated dapagliflozin added to metformin as initial combination therapy, one study evaluated the effect of dapagliflozin added to metformin in patients already on metformin, and one study evaluated the effect of dapagliflozin added to metformin *versus* sulfonylurea added to metformin.

#### **Initial Combination Therapy with Metformin**

A total of 1236 treatment-naive patients with inadequately controlled type 2 diabetes (HbA1c  $\geq 7.5\%$  and  $\leq 12\%$ ) participated in two active-controlled studies of 24-weeks duration to evaluate the efficacy and safety of initial therapy with dapagliflozin 5 mg or dapagliflozin 10 mg in combination with metformin extended-release formulation (XR).

In one study, 638 patients randomized to one of three treatment arms following a 1-week lead-in period received dapagliflozin 10 mg plus metformin XR (up to 2000 mg per day), dapagliflozin 10 mg plus placebo, or metformin XR (up to 2000 mg per day) plus placebo. Metformin XR dose was up-titrated weekly in 500 mg increments, as tolerated, with a median dose achieved of 2000 mg.

The combination treatment of dapagliflozin 10 mg plus metformin XR provided significant improvements in HbA1c and FPG compared with either of the monotherapy treatments and significant reductions in body weight compared with metformin XR alone. (Table 3, Figures 3 and 4). Dapagliflozin 10 mg as monotherapy also provided significant improvements in FPG and significant reduction in body weight compared with metformin XR alone and was non-inferior to metformin XR monotherapy in lowering HbA1c. The proportion of patients who were rescued or discontinued for lack of glycemic control during the 24-week double-blind treatment period (adjusted for baseline HbA1c) was higher for treatment with metformin XR plus placebo (13.5%) than for dapagliflozin 10 mg plus placebo and dapagliflozin 10 mg plus metformin XR (7.8% and 1.4%, respectively).

**Table 3: Results at Week 24 (LOCF<sup>\*</sup>) in an Active-Controlled Study of Dapagliflozin Initial Combination Therapy with Metformin XR**

Efficacy Parameter	Dapagliflozin 10 mg + Metformin XR N=211 <sup>†</sup>	Dapagliflozin 10 mg N=219 <sup>†</sup>	Metformin XR N=208 <sup>†</sup>
<b>HbA1c (%)</b>			
Baseline (mean)	9.10	9.03	9.03
Change from baseline (adjusted mean <sup>‡</sup> )	-1.98	-1.45	-1.44
Difference from dapagliflozin (adjusted mean <sup>‡</sup> ) (95% CI)	-0.53 <sup>§</sup> (-0.74, -0.32)		
Difference from metformin XR (adjusted mean <sup>‡</sup> ) (95% CI)	-0.54 <sup>§</sup> (-0.75, -0.33)	-0.01 <sup>¶</sup> (-0.22, 0.20)	
Percent of patients achieving HbA1c <7% adjusted for baseline	46.6% <sup>#</sup>	31.7%	35.2%
Change from baseline in HbA1c in patients with baseline HbA1c ≥9% (adjusted mean <sup>‡</sup> )	-2.59 <sup>#</sup>	-2.14	-2.05
<b>FPG (mg/dL)</b>			
Baseline (mean)	189.6	197.5	189.9
Change from baseline (adjusted mean <sup>‡</sup> )	-60.4	-46.4	-34.8
Difference from dapagliflozin (adjusted mean <sup>‡</sup> ) (95% CI)	-13.9 <sup>§</sup> (-20.9, -7.0)		
Difference from metformin XR (adjusted mean <sup>‡</sup> ) (95% CI)	-25.5 <sup>§</sup> (-32.6, -18.5)	-11.6 <sup>¶</sup> (-18.6, -4.6)	
<b>Body Weight (kg)</b>			
Baseline (mean)	88.56	88.53	87.24
Change from baseline (adjusted mean <sup>‡</sup> )	-3.33	-2.73	-1.36
Difference from metformin XR (adjusted mean <sup>‡</sup> ) (95% CI)	-1.97 <sup>§</sup> (-2.64, -1.30)	-1.37 <sup>§</sup> (-2.03, -0.71)	

\* LOCF: last observation (prior to rescue for rescued patients) carried forward.

† All randomized patients who took at least one dose of double-blind study medication during the short-term double-blind period.

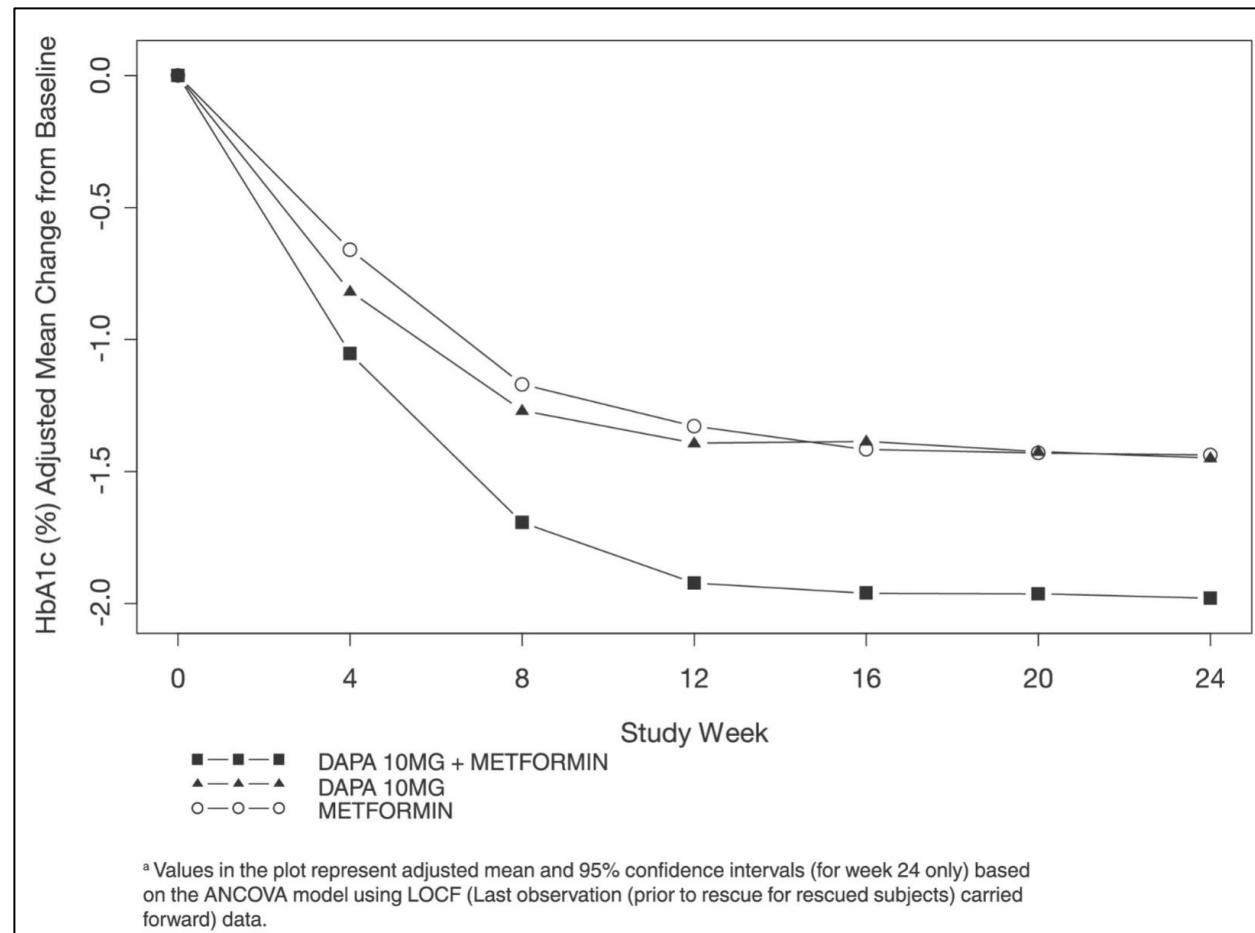
‡ Least squares mean adjusted for baseline value.

§ p-value <0.0001.

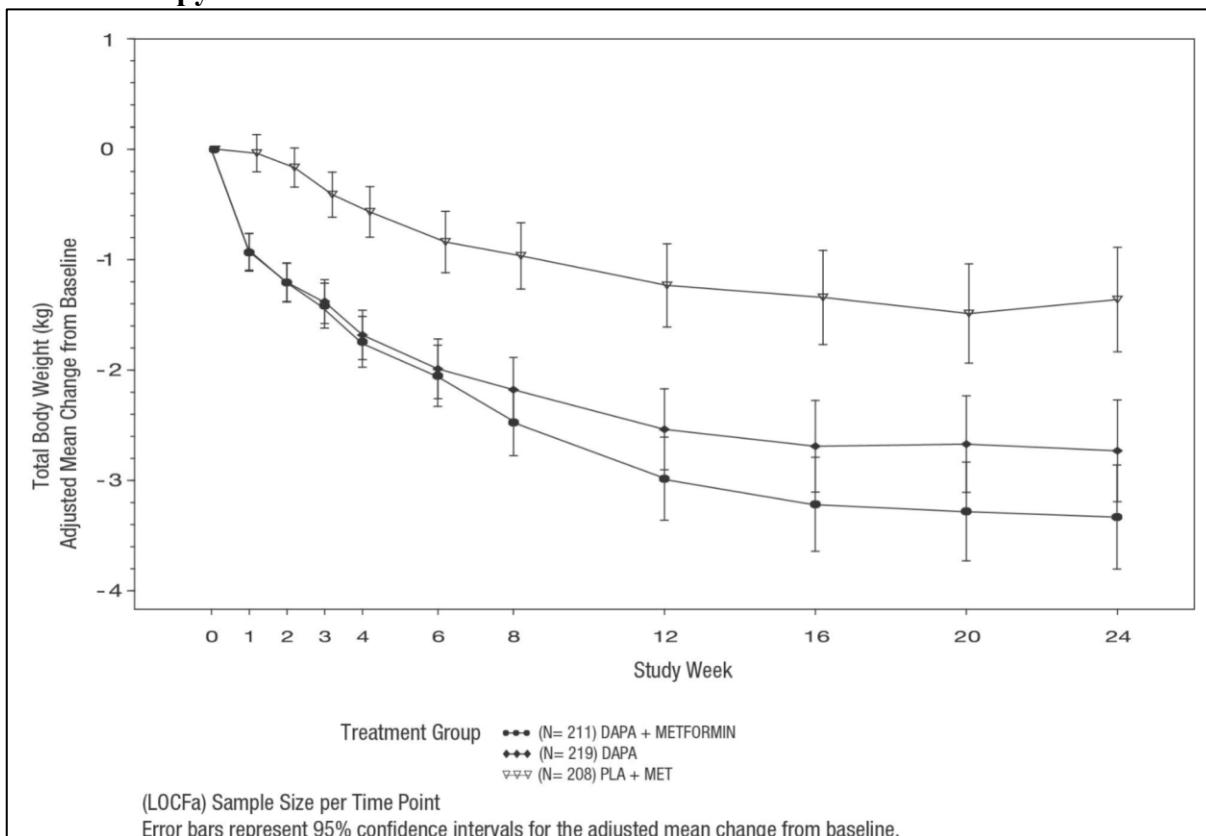
¶ Non-inferior *versus* metformin XR.

# p-value <0.05.

**Figure 3: Adjusted Mean Change from Baseline Over Time (LOCFa) in HbA1c (%) in a 24-Week Active-Controlled Study of Dapagliflozin Initial Combination Therapy with Metformin XR**



**Figure 4: Adjusted Mean Change from Baseline Over Time (LOCFa) in Total Body Weight (kg) in a 24-Week Active-Controlled Study of Dapagliflozin Initial Combination Therapy with Metformin XR**



Another 24-week study evaluating dapagliflozin 5 mg plus metformin XR showed clinically relevant and statistically significant improvements in glycemic parameters versus dapagliflozin 5 mg monotherapy and metformin XR monotherapy.

### Add-on to Metformin

A total of 546 patients with type 2 diabetes with inadequate glycemic control ( $\text{HbA1c} \geq 7\%$  and  $\leq 10\%$ ) participated in a 24-week, placebo-controlled study with a 78-week controlled, blinded extension period to evaluate dapagliflozin in combination with metformin. Patients on metformin at a dose of at least 1500 mg per day were randomized after completing a 2-week, single-blind placebo lead-in period. Following the lead-in period, eligible patients were randomized to dapagliflozin 2.5 mg, dapagliflozin 5 mg, or dapagliflozin 10 mg, or placebo in addition to their current dose of metformin.

As add-on treatment to metformin, dapagliflozin 10 mg provided significant improvements in HbA1c, and FPG, and significant reduction in body weight compared with placebo at Week 24 (Table 4). At Week 102, adjusted mean change from baseline in HbA1c (see Figure 5), FPG, and body weight was  $-0.78\%$ ,  $-24.5 \text{ mg/dL}$ , and  $-2.81 \text{ kg}$ , respectively, for patients treated with dapagliflozin 10 mg plus metformin and  $0.02\%$ ,  $-10.4 \text{ mg/dL}$ , and  $-0.67 \text{ kg}$  for patients treated with placebo plus metformin based on the longitudinal repeated measures analysis excluding data after rescue (Figure 5). The proportion of patients who were rescued or discontinued for lack of

glycemic control during the 24-week double-blind treatment period (adjusted for baseline HbA1c) was higher in the placebo plus metformin group (15.0%) than in the dapagliflozin 10 mg plus metformin group (4.4%). By Week 102 (adjusted for baseline HbA1c), more patients treated with placebo plus metformin (60.1%) required rescue therapy than patients treated with dapagliflozin 10 mg plus metformin (44.0%).

**Table 4: Results of a 24-Week (LOCF\*) Placebo-Controlled Study of Dapagliflozin in Add-On Combination with Metformin**

Efficacy Parameter	Dapagliflozin 10 mg + Metformin N=135 <sup>†</sup>	Placebo + Metformin N=137 <sup>†</sup>
<b>HbA1c (%)</b>		
Baseline mean	7.92	8.11
Change from baseline (adjusted mean <sup>‡</sup> )	-0.84	-0.30
Difference from placebo (adjusted mean <sup>‡</sup> ) (95% CI)	-0.54 <sup>§</sup> (-0.74, -0.34)	
Percent of patients achieving HbA1c <7% adjusted for baseline	40.6% <sup>¶</sup>	25.9%
Change from baseline in HbA1c in patients with baseline HbA1c ≥9% (adjusted mean <sup>‡</sup> )	-1.32 <sup>¶</sup> (N= 18)	-0.53 (N= 22)
<b>FPG (mg/dL)</b>		
Baseline mean	156.0	165.6
Change from baseline at Week 24 (adjusted mean <sup>‡</sup> )	-23.5	-6.0
Difference from placebo (adjusted mean <sup>‡</sup> ) (95% CI)	-17.5 <sup>§</sup> (-25.0, -10.0)	
Change from baseline at week 1 (adjusted mean <sup>‡</sup> )	-16.5 <sup>§</sup> (N=115)	1.2 (N=126)
<b>Body Weight (kg)</b>		
Baseline mean	86.28	87.74
Change from baseline (adjusted mean <sup>‡</sup> )	-2.86	-0.89
Difference from placebo (adjusted mean <sup>‡</sup> ) (95% CI)	-1.97 <sup>§</sup> (-2.63, -1.31)	

\* LOCF: last observation (prior to rescue for rescued patients) carried forward.

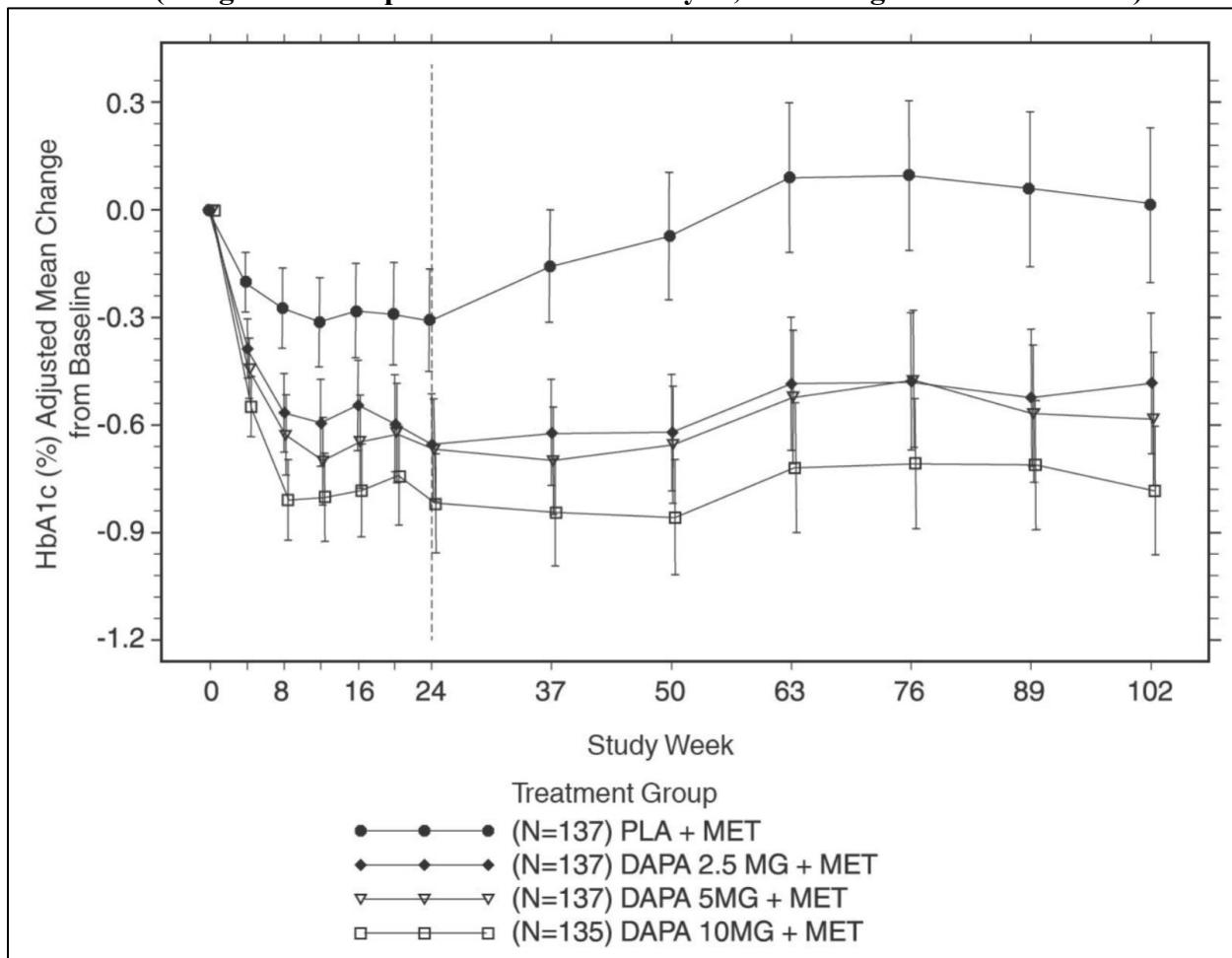
† All randomized patients who took at least one dose of double-blind study medication during the short-term double-blind period.

‡ Least squares mean adjusted for baseline value.

§ p-value <0.00001 *versus* placebo + metformin.

¶ p-value <0.05 *versus* placebo + metformin.

**Figure 5: Adjusted Mean Change from Baseline Over Time in HbA1c (%) in a 102-Week Placebo-Controlled Study of Dapagliflozin in Combination with Metformin (Longitudinal Repeated Measures Analysis, Excluding Data after Rescue)**



Error bars represent 95% confidence intervals for the adjusted mean change from baseline

#### Active Glipizide Controlled Study Add-on to Metformin

A total of 816 patients with type 2 diabetes with inadequate glycemic control (HbA1c >6.5% and ≤10%) were randomized in a 52-week, glipizide-controlled, non-inferiority study with a 156-week extension period to evaluate dapagliflozin as add-on therapy to metformin. Patients on metformin at a dose of at least 1500 mg per day were randomized following a 2-week placebo lead-in period to glipizide or dapagliflozin (5 mg or 2.5 mg, respectively) and were up-titrated over 18 weeks to optimal glycemic effect (FPG <110 mg/dL, <6.1 mmol/L) or to the highest dose level (up to glipizide 20 mg and dapagliflozin 10 mg) as tolerated by patients. Thereafter, doses were kept constant, except for down-titration to prevent hypoglycemia. Rescue for lack of glycemic control was not available in this study through Week 104 but was available between Weeks 105 and 208. At the end of the titration period, 87% of patients treated with dapagliflozin had been titrated to the maximum study dose (10 mg) versus 73% treated with glipizide (20 mg). Dapagliflozin led to a similar mean reduction in HbA1c from baseline at Week 52, compared with glipizide, thus demonstrating non-inferiority (Table 5). Dapagliflozin treatment led to a significant mean

reduction in body weight from baseline at Week 52 compared with a mean increase in body weight in the glipizide group.

At Weeks 104 and 208, adjusted mean changes from baseline in HbA1c were  $-0.32\%$  and  $-0.10\%$ , and changes in body weight were  $-3.70$  kg and  $-3.95$  kg, respectively, for patients treated with dapagliflozin; adjusted mean changes from baseline in HbA1c were  $-0.14\%$  and  $0.20\%$ , respectively, and changes in body weight were  $1.36$  kg and  $1.12$  kg, respectively, for patients treated with glipizide based on the longitudinal repeated measures analysis (Figures 6 and 7). The percent of patients achieving weight loss of  $\geq 5\%$  (adjusted) at Weeks 104 and 208 were  $23.8\%$  and  $51.0\%$ , respectively for patients treated with dapagliflozin and  $2.8\%$  and  $9.9\%$ , respectively, for patients treated with glipizide.

By Weeks 52, 104, and 208 the proportion of patients who discontinued or were rescued for lack of glycemic control (adjusted for baseline HbA1c) were higher for glipizide plus metformin ( $3.6\%$ ,  $21.6\%$ , and  $44.9\%$  respectively) than for dapagliflozin plus metformin ( $0.2\%$ ,  $14.5\%$ , and  $39.4\%$  respectively). At 52, 104 and 208 weeks, respectively, a significantly lower proportion of patients treated with dapagliflozin ( $3.5\%$ ,  $4.3\%$  and  $5.0\%$ ) experienced at least one event of hypoglycemia, compared to glipizide ( $40.8\%$ ,  $47.0\%$ , and  $50.0\%$ ).

**Table 5: Results at Week 52 (LOCF\*) in an Active-Controlled Study comparing Dapagliflozin to Glipizide as Add-on to Metformin**

Efficacy Parameter	Dapagliflozin +Metformin N=400 <sup>†</sup>	Glipizide +Metformin N=401 <sup>†</sup>
<b>HbA1c (%)</b>		
Baseline (mean)	7.69	7.74
Change from baseline (adjusted mean <sup>‡</sup> )	-0.52	-0.52
Difference from Glipizide+Metformin (adjusted mean <sup>‡</sup> )	0.00 <sup>§</sup>	
(95% CI)	(-0.11, 0.11)	
<b>Body Weight (kg)</b>		
Baseline (mean)	88.44	87.60
Change from baseline (adjusted mean <sup>‡</sup> )	-3.22	1.44
Difference from Glipizide+Metformin (adjusted mean <sup>‡</sup> )	-4.65 <sup>¶</sup>	
(95% CI)	(-5.14, -4.17)	
Percent of patients achieving weight loss $>5\%$ (adjusted) (95%CI)	33.3% <sup>¶</sup> (28.7, 37.9)	2.5% (1.0, 4.0)

\* LOCF: last observation carried forward.

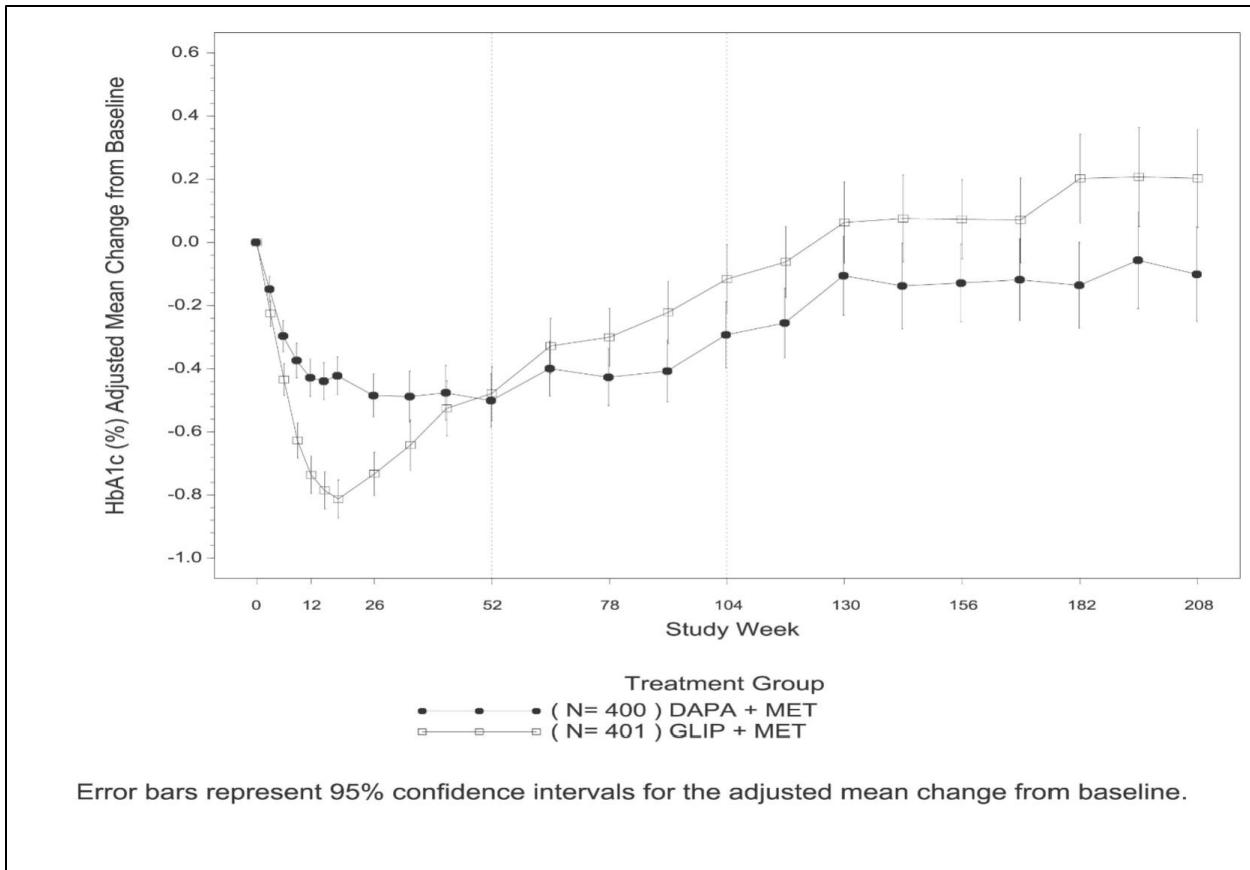
† Randomized and treated patients with baseline and at least 1 post-baseline efficacy measurement.

‡ Least squares mean adjusted for baseline value.

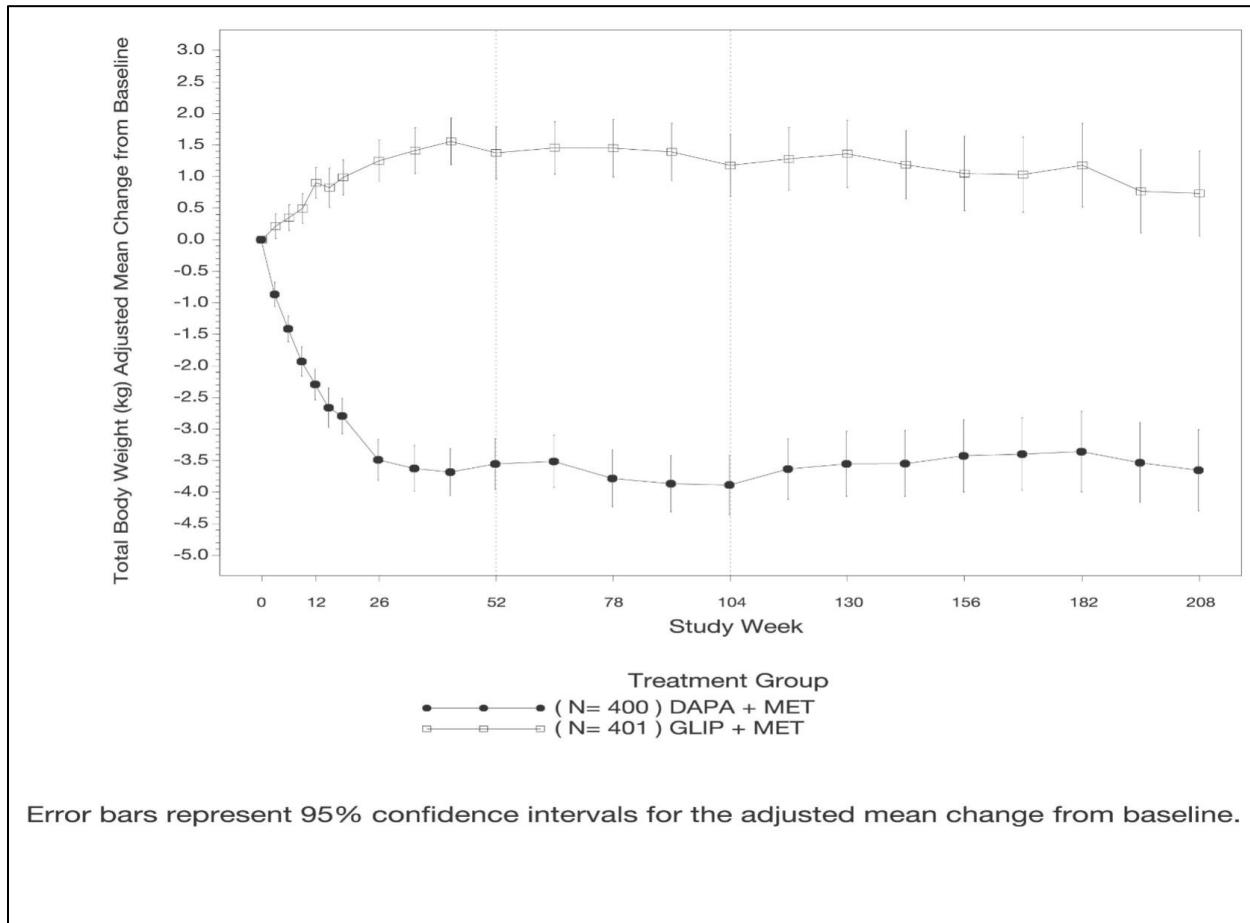
§ Non-inferior to glipizide + metformin.

¶ p-value  $<0.0001$ .

**Figure 6: Adjusted Mean Change from Baseline Over Time in HbA1c (%) in a 208-Week Active-Controlled Study Comparing Dapagliflozin to Glipizide as Add-on to Metformin (Longitudinal Repeated Measures Analysis, Excluding Data after Rescue)**



**Figure 7: Adjusted Mean Change from Baseline Over Time in Body Weight (kg) in a 208-Week Active-Controlled Study Comparing Dapagliflozin to Glipizide as Add-on to Metformin (Longitudinal Repeated Measures Analysis, Excluding Data after Rescue)**



### **Add-On Combination with Other Antidiabetic Agents**

#### **Add-on Combination Therapy with a Sulfonylurea**

A total of 597 patients with type 2 diabetes and inadequate glycemic control ( $\text{HbA1c} \geq 7\% \text{ and } \leq 10\%$ ) were randomized in this 24-week, placebo-controlled study with a 24-week extension period to evaluate dapagliflozin in combination with glimepiride (a sulfonylurea).

Patients on at least half the maximum recommended dose of a glimepiride as monotherapy (4 mg) for at least 8 weeks lead-in were randomized to dapagliflozin 2.5 mg, 5 mg, or 10 mg, or placebo in addition to glimepiride 4 mg per day. Down-titration of glimepiride to 2 mg or 0 mg was allowed for hypoglycemia during the treatment period; no up-titration of glimepiride was allowed.

In combination with glimepiride, treatment with dapagliflozin 10 mg provided significant improvement in HbA1c, FPG, 2-hour PPG, and significant reduction in body weight compared with placebo plus glimepiride at Week 24 (Table 6, Figure 8). At Week 48, adjusted mean change from baseline in HbA1c, FPG, and body weight were  $-0.73\%$ ,  $-28.8 \text{ mg/dL}$ , and  $-2.41 \text{ kg}$ , respectively, for patients treated with dapagliflozin 10 mg plus glimepiride, and  $-0.04\%$ ,  $2.6$

mg/dL, and  $-0.77$  kg for patients treated with placebo plus glimepiride at Week 48 based on the longitudinal repeated measures analysis excluding data after rescue.

At Week 24, the proportion of patients who were rescued or discontinued for lack of glycemic control (adjusted for baseline HbA1c) was higher for placebo plus glimepiride (16.2%) than for dapagliflozin 10 mg plus glimepiride (2.0%). By Week 48 (adjusted for baseline HbA1c), more patients on placebo plus glimepiride (52.1%) required rescue therapy than patients on dapagliflozin 10 mg plus glimepiride (18.4%).

#### **Add-on Combination Therapy with Metformin and a Sulfonylurea**

A total of 218 patients with type 2 diabetes and inadequate glycemic control (HbA1c  $\geq 7\%$  and  $\leq 10.5\%$ ) participated in a 24-week, placebo-controlled study with a 28-week extension period to evaluate dapagliflozin in combination with metformin and a sulfonylurea. Patients on a stable dose of metformin (immediate- or extended-release formulations)  $\geq 1500$  mg/day plus maximum tolerated dose, which must be at least half maximum dose, of a sulfonylurea for at least 8 weeks prior to enrollment were randomized after an 8-week placebo lead-in period to dapagliflozin 10 mg or placebo. Dose-titration of dapagliflozin or metformin was not permitted during the 24-week treatment period. Down-titration of sulfonylurea was permitted to prevent hypoglycemia, but no up-titration was permitted.

As add-on treatment to combined metformin and a sulfonylurea, treatment with dapagliflozin 10 mg provided significant improvements in HbA1c and FPG and significant reductions in body weight compared with placebo at Week 24 (Table 6). Significant reduction in seated systolic blood pressure at Week 8 was also observed in patients treated with dapagliflozin 10 mg compared to placebo. The effects in HbA1c, FPG and body weight observed at Week 24 were sustained at Week 52.

At Week 24, no patients treated with dapagliflozin 10 mg combined with metformin and a sulfonylurea and 10 patients (9.3%) treated with placebo combined with metformin and a sulfonylurea were rescued or discontinued for lack of glycemic control (adjusted for baseline HbA1c). By week 52 (adjusted for baseline HbA1c) more patients on placebo combined with metformin and a sulfonylurea (42.7%) were rescued for lack of glycemic control than patients on dapagliflozin (10.1%). No patient was discontinued from study medication due to inadequate glycemic control.

#### **Add-on Combination Therapy with Insulin**

A total of 808 patients with type 2 diabetes who had inadequate glycemic control (HbA1c  $\geq 7.5\%$  and  $\leq 10.5\%$ ) were randomized in a 24-week, placebo-controlled study with an 80-week extension period to evaluate dapagliflozin as add-on therapy to insulin. Patients on a stable insulin regimen, with a mean dose of at least 30 IU of injectable insulin per day, for a period of at least 8 weeks prior and on a maximum of two OADs including metformin, were randomized after completing a 2-week enrollment period to receive dapagliflozin 2.5 mg, 5 mg, or dapagliflozin 10 mg, or placebo in addition to their current dose of insulin and other OADs, if applicable. Patients were stratified according to the presence or absence of background OADs. Up- or down-titration of insulin was only permitted during the treatment phase in patients who failed to meet specific glycemic goals. Dose modifications of blinded study medication or OADs were not allowed during

the treatment phase, with the exception of decreasing OADs where there were concerns over hypoglycemia after cessation of insulin therapy.

In this study, 50% of patients were on insulin monotherapy at baseline, while 50% were on 1 or 2 OADs in addition to insulin. At Week 24, dapagliflozin 10 mg dose provided significant improvement in HbA1c, and mean insulin dose, and a significant reduction in body weight compared with placebo in combination with insulin, with or without up to 2 OADs (Table 6); the effect of dapagliflozin on HbA1c was similar in patients on insulin alone and patients on insulin plus OADs.

At Weeks 48 and 104, adjusted mean changes from baseline in HbA1c were  $-0.93\%$  and  $-0.71\%$ , changes in FPG were  $-21.5\text{ mg/dL}$  and  $-18.2\text{ mg/dL}$ , and changes body weight were  $-1.79\text{ kg}$  and  $-1.97\text{ kg}$ , respectively, for patients treated with dapagliflozin 10 mg plus insulin; adjusted mean changes from baseline in HbA1c were  $-0.43\%$  and  $-0.06\%$ , changes in FPG were  $-4.4\text{ mg/dL}$  and  $-11.2\text{ mg/dL}$ , and changes in body weight were  $-0.18\text{ kg}$  and  $0.91\text{ kg}$ , respectively, for patients treated with placebo plus insulin (see Figure 9).

At Week 24, a significantly higher proportion of patients on dapagliflozin 10 mg reduced their insulin dose by at least 10% compared to placebo. The proportion of patients who required up-titration of their insulin dose or discontinued due to lack of glycemic control (adjusted for baseline HbA1c) was higher for placebo plus insulin (29.2%) than for dapagliflozin 10 mg plus insulin (9.7%). By Weeks 48 and 104, the insulin dose remained stable in patients treated with dapagliflozin 10 mg at an average dose of 76 IU/day, but continued to increase (mean increase 10.5 IU and 18.3 IU, respectively, from baseline) in placebo-treated patients. By Weeks 48 and 104 (adjusted for baseline HbA1c), more patients treated with placebo required up-titration with insulin to maintain glycemic levels or discontinued due to lack of glycemic control (42.8% and 50.4%, respectively) compared with patients treated with dapagliflozin 10 mg (15.3% and 25.5%, respectively).

**Table 6: Results of 24-Week Placebo-Controlled Studies of Dapagliflozin in Combination with Antidiabetic Agents**

Efficacy Parameter	Dapagliflozin 10 mg	Placebo
<b>In Combination with Sulfonylurea (Glimepiride)</b>		
<b>Intent-to-Treat Population</b>	<b>N=151<sup>†</sup></b>	<b>N=145<sup>†</sup></b>
<b>HbA1c (%)*</b>		
Baseline (mean)	8.07	8.15
Change from baseline (adjusted mean <sup>‡</sup> )	-0.82	-0.13
Difference from placebo (adjusted mean <sup>‡</sup> ) (95% CI)	-0.68 <sup>§</sup> (-0.86, -0.51)	
Percent of patients achieving HbA1c <7% adjusted for baseline	31.7% <sup>§</sup>	13.0%
<b>FPG (mg/dL)*</b>		
Baseline (mean)	172.4	172.7
Change from baseline (adjusted mean <sup>‡</sup> )	-28.5	-2.0

**Table 6: Results of 24-Week Placebo-Controlled Studies of Dapagliflozin in Combination with Antidiabetic Agents**

Efficacy Parameter	Dapagliflozin 10 mg	Placebo
Difference from placebo (adjusted mean <sup>‡</sup> ) (95% CI)	−26.5 <sup>§</sup> (−33.5, −19.5)	
<b>2-hour PPG<sup>¶</sup> (mg/dL)*</b>		
Baseline (mean)	329.6	324.1
Change from baseline (adjusted mean <sup>‡</sup> )	−60.6	−11.5
Difference from placebo (adjusted mean <sup>‡</sup> ) (95% CI)	−49.1 <sup>§</sup> (−64.1, −34.1)	
<b>Body Weight (kg)*</b>		
Baseline (mean)	80.56	80.94
Change from baseline (adjusted mean <sup>‡</sup> )	−2.26	−0.72
Difference from placebo (adjusted mean <sup>‡</sup> ) (95% CI)	−1.54 <sup>§</sup> (−2.17, −0.92)	
<b>In Combination with Metformin and Sulfonylurea</b>		

**Table 6: Results of 24-Week Placebo-Controlled Studies of Dapagliflozin in Combination with Antidiabetic Agents**

Efficacy Parameter	Dapagliflozin 10 mg	Placebo
<b>Intent-to-Treat Population</b>	<b>N=108<sup>†</sup></b>	<b>N=108<sup>†</sup></b>
<b>HbA1c (%)<sup>‡‡</sup></b>		
Baseline mean	8.08	8.24
Change from baseline (adjusted mean <sup>‡</sup> )	-0.86	-0.17
Difference from placebo (adjusted mean <sup>‡</sup> ) (95% CI)	-0.69 <sup>§</sup> (-0.89, -0.49)	
Percent of patients achieving HbA1c <7% adjusted for baseline	31.8% <sup>§</sup>	11.1%
<b>FPG (mg/dL)*</b>		
Baseline mean	167.4	180.3
Change from baseline at Week 24 (adjusted mean <sup>‡</sup> )	-34.2	-0.8
Difference from placebo (adjusted mean <sup>‡</sup> ) (95% CI)	-33.5 <sup>§</sup> (-43.1, -23.8)	
<b>Body Weight (kg)*</b>		
Baseline mean	88.57	90.07
Change from baseline (adjusted mean <sup>‡</sup> )	-2.65	-0.58
Difference from placebo (adjusted mean <sup>‡</sup> ) (95% CI)	-2.07 <sup>§</sup> (-2.79, -1.35)	
<b>Seated Systolic Blood Pressure at Week 8 (mmHg) *</b>		
Baseline mean	134.7	136.3
Change from baseline at Week 8 (adjusted mean <sup>‡</sup> )	-4.0	-0.3
Difference from placebo (adjusted mean <sup>‡</sup> ) (95% CI)	-3.8** (-7.1, -0.5)	
<b>In Combination with Insulin with or without up to 2 Oral Antidiabetic Therapies</b>		

**Table 6: Results of 24-Week Placebo-Controlled Studies of Dapagliflozin in Combination with Antidiabetic Agents**

Efficacy Parameter	Dapagliflozin 10 mg	Placebo
<b>Intent-to-Treat Population</b>	<b>N=194<sup>†</sup></b>	<b>N=193<sup>†</sup></b>
<b>HbA1c (%)<sup>*</sup></b>		
Baseline (mean)	8.58	8.46
Change from baseline (adjusted mean <sup>‡</sup> )	-0.90	-0.30
Difference from placebo (adjusted mean <sup>‡</sup> ) (95% CI)	-0.60 <sup>§</sup> (-0.74, -0.45)	
<b>Mean Daily Insulin Dose (IU)<sup>††</sup></b>		
Baseline (mean)	77.96	73.96
Change from baseline (adjusted mean <sup>‡</sup> )	-1.16	5.08
Difference from placebo (95% CI)	-6.23 <sup>§</sup> (-8.84, -3.63)	
Percent of patients with mean daily insulin dose reduction of at least 10% adjusted for baseline	19.6%**	11.0%
<b>FPG (mg/dL)<sup>*</sup></b>		
Baseline (mean)	173.7	170.0
Change from baseline (adjusted mean <sup>‡</sup> )	-21.7	3.3
Difference from placebo (adjusted mean <sup>‡</sup> ) (95% CI)	-25.0 <sup>§</sup> (-34.3, -15.8)	
<b>Body Weight (kg)<sup>*</sup></b>		
Baseline (mean)	94.63	94.21
Change from baseline (adjusted mean <sup>‡</sup> )	-1.67	0.02
Difference from placebo (adjusted mean <sup>‡</sup> ) (95% CI)	-1.68 <sup>§</sup> (-2.19, -1.18)	

\* LOCF: last observation (prior to rescue for rescued patients) carried forward.

† Randomized and treated patients with baseline and at least 1 post-baseline efficacy measurement.

‡ Least squares mean adjusted for baseline value.

§ p-value <0.0001 *versus* placebo.

¶ 2-hour PPG level as a response to a 75-gram oral glucose tolerance test (OGTT).

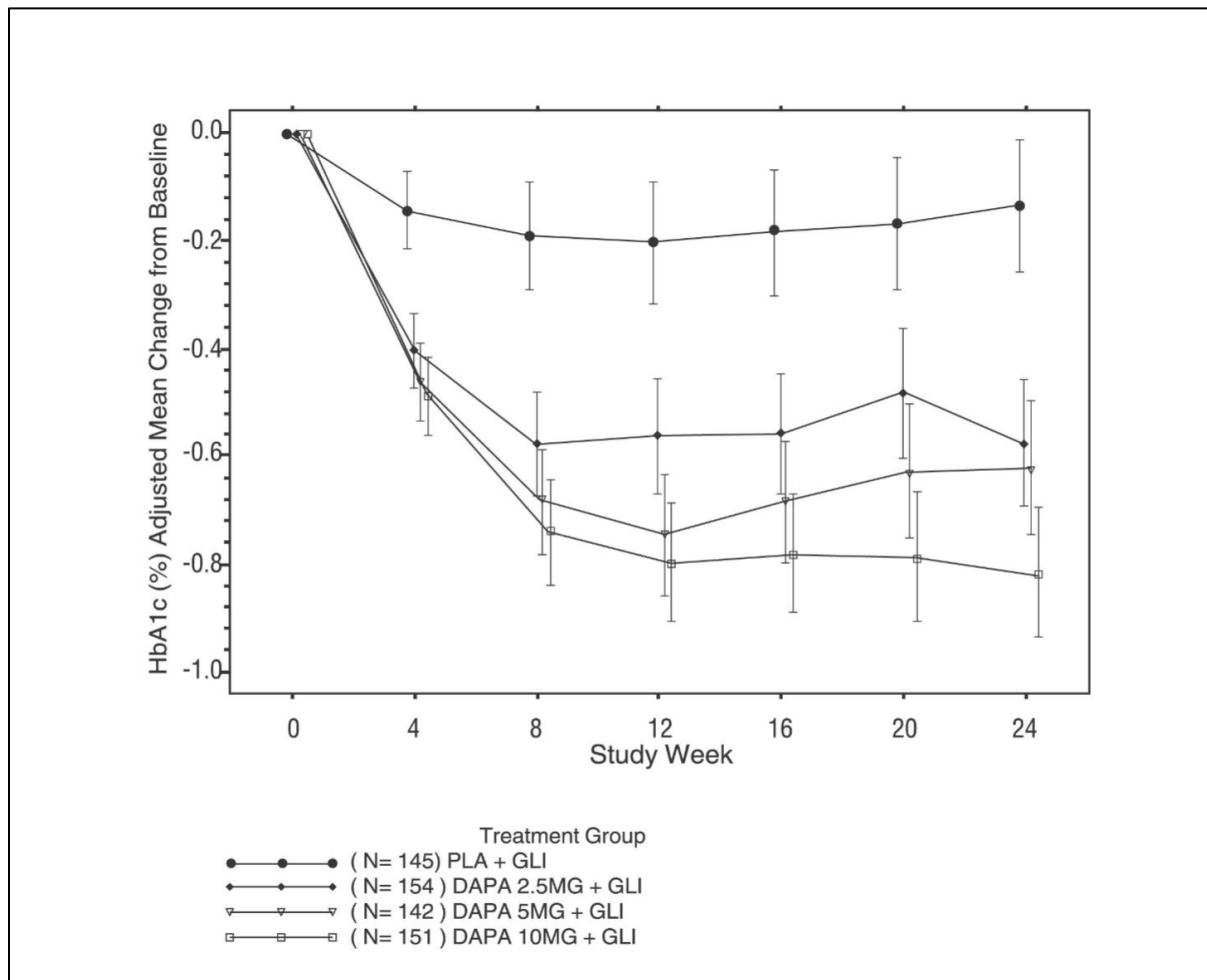
# All randomized patients who took at least one dose of double-blind study medication during the short-term, double-blind period.

\*\* p-value <0.05 *versus* placebo.

†† LOCF: last observation (after rescue) carried forward.

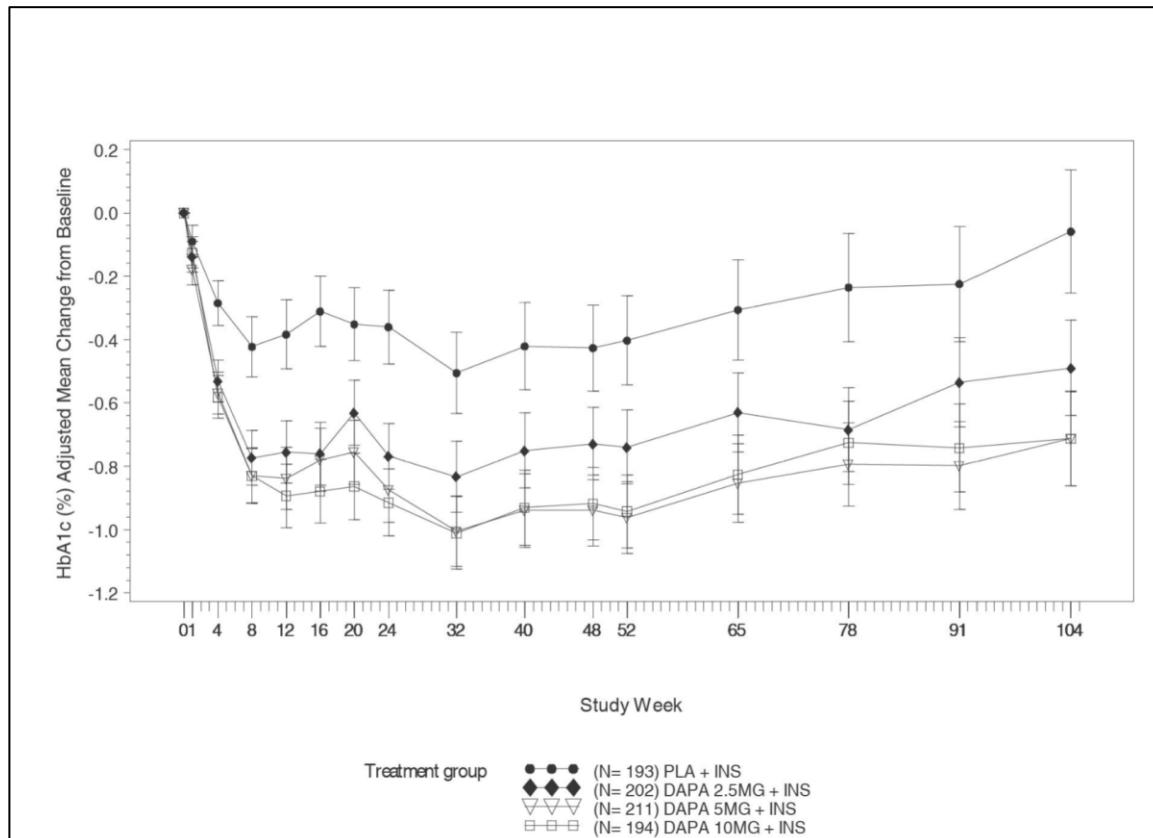
‡‡ LRM: longitudinal repeated measures analysis.

**Figure 8: Adjusted Mean Change from Baseline Over Time (LOCF) in HbA1c (%) in a 24-Week, Placebo-Controlled Study of Dapagliflozin in Combination with Sulfonylurea (Glimepiride)**



Error bars represent 95% confidence intervals for the adjusted mean change from baseline

**Figure 9: Adjusted Mean Change from Baseline Over Time in HbA1c (%) in a 104-Week Placebo-controlled Study of Dapagliflozin in Combination with Insulin with or without up to 2 Oral Anti-diabetic Therapies Excluding Data After Insulin Up-titration**



Error bars represent 95% confidence intervals for the adjusted mean change from baseline

#### **Add-on to Sitagliptin Alone or in Combination with Metformin**

A total of 452 patients with type 2 diabetes who were drug naive, or who were treated at entry with metformin or a DPP4 inhibitor alone or in combination, and had inadequate glycemic control (HbA1c  $\geq 7.0\%$  and  $\leq 10.0\%$  at randomization), participated in this 24-week, placebo-controlled study with a 24-week extension period to evaluate dapagliflozin in combination with sitagliptin (a DPP4 inhibitor) alone or in combination with metformin.

Eligible patients were stratified based on the presence or absence of background metformin ( $\geq 1500$  mg/day) and within each stratum were randomized to either dapagliflozin 10 mg plus sitagliptin 100 mg once daily or placebo plus sitagliptin 100 mg once daily. Endpoints were tested for dapagliflozin 10 mg *versus* placebo for the total study group (sitagliptin with and without metformin) and for each stratum (sitagliptin alone or sitagliptin with metformin). Thirty-seven percent (37%) of patients were drug naive, 32% were on metformin alone, 13% were on a DPP4 inhibitor alone, and 18% were on a DPP4 inhibitor plus metformin. Dose titration of dapagliflozin, sitagliptin or metformin was not permitted during the study.

In combination with sitagliptin (with and without metformin), dapagliflozin 10 mg provided significant improvements in HbA1c, HbA1c in patients with baseline HbA1c  $\geq 8\%$ , FPG, and body weight compared with the placebo plus sitagliptin (with or without metformin) group at Week 24 (Table 7). These improvements were also seen in the stratum of patients who received dapagliflozin 10 mg plus sitagliptin alone (n=110) compared with placebo plus sitagliptin alone (n=111), and the stratum of patients who received dapagliflozin 10 mg plus sitagliptin and metformin (n=113) compared with placebo plus sitagliptin with metformin (n=113) (Table 7).

At Week 48, adjusted mean change from baseline in HbA1c, HbA1c in patients with HbA1c  $\geq 8\%$  at baseline, FPG, PPG, and body weight were  $-0.30\%$ ,  $-0.72\%$ ,  $-19.7$  mg/dL,  $-43.0$  mg/dL, and  $-2.03$  kg, respectively, for patients treated with dapagliflozin 10 mg plus sitagliptin with or without metformin, and  $0.38\%$ ,  $0.26\%$ ,  $13.5$  mg/dL,  $-12.1$  mg/dL, and  $0.18$  kg for patients treated with placebo plus sitagliptin with or without metformin based on the longitudinal repeated measures analysis excluding data after rescue. At Week 48, for the stratum of patients without metformin, adjusted mean change from baseline in HbA1c for patients treated with dapagliflozin 10 mg plus sitagliptin was  $0.00\%$  and placebo plus sitagliptin was  $0.85\%$ ; and the stratum of patients with metformin, adjusted mean change from baseline in HbA1c for patients treated with dapagliflozin 10 mg plus sitagliptin was  $-0.44\%$  and placebo plus sitagliptin was  $0.15\%$  based on the longitudinal repeated measures analysis excluding data after rescue.

The proportion of patients at Week 24 and Week 48 who were rescued or discontinued for lack of glycemic control (adjusted for baseline HbA1c) was higher on sitagliptin with or without metformin (40.5% and 56.5%, respectively) than on dapagliflozin plus sitagliptin with or without metformin (19.5% and 32.6%, respectively).

**Table 7: Results of a 24-Week (LOCF\*) Placebo-Controlled Study of Dapagliflozin in Add-On Combination with Sitagliptin with or without Metformin (Full Analysis Set and Strata without or with Metformin)**

Efficacy Parameter	Dapagliflozin 10 mg + Sitagliptin + or -Met	Placebo + Sitagliptin + or -Met	Dapagliflozin 10 mg + Sitagliptin	Placebo + Sitagliptin	Dapagliflozin 10 mg + Sitagliptin +Met	Placebo + Sitagliptin +Met
	N=223 <sup>†</sup>	N=224 <sup>†</sup>	N=110 <sup>†</sup>	N=111 <sup>†</sup>	N=113 <sup>†</sup>	N=113 <sup>†</sup>
<b>HbA1c (%)</b>						
Baseline (mean)	7.90	7.97	7.99	8.07	7.80	7.87
Change from baseline (adjusted mean <sup>‡</sup> )	-0.45	0.04	-0.47	0.10	-0.43	-0.02
Difference from placebo (adjusted mean <sup>‡</sup> ) (95% CI)	-0.48 <sup>§</sup> (-0.62, -0.34)		-0.56 <sup>§</sup> (-0.79, -0.34)		-0.40 <sup>§</sup> (-0.58, -0.23)	
Change from baseline in HbA1c in patients with baseline HbA1c $\geq$ 8% (adjusted mean <sup>‡</sup> )	-0.80 <sup>¶</sup> (N= 94)	0.03 (N= 99)	-0.81 <sup>§</sup>	0.06	-0.79 <sup>§</sup>	0.0
<b>FPG (mg/dL)</b>						
Baseline (mean)	161.7	163.1	157.3	161.5	165.9	164.7
Change from baseline at Week 24 (adjusted mean <sup>‡</sup> )	-24.1	3.8	-22.0	4.6	-26.2	3.0
Difference from placebo (adjusted mean <sup>‡</sup> ) (95% CI)	-27.9 <sup>§</sup> (-34.5, -21.4)		-26.6 <sup>§</sup> (-36.3, -16.85)		-29.2 <sup>§</sup> (-38.0, -20.4)	
<b>Body Weight (kg)</b>						
Baseline (mean)	91.02	89.23	88.01	84.20	93.95	94.17
Change from baseline (adjusted mean <sup>‡</sup> )	-2.14	-0.26	-1.91	-0.06	-2.35	-0.47
Difference from placebo (adjusted mean <sup>‡</sup> ) (95% CI)	-1.89 <sup>§</sup> (-2.37, -1.40)		-1.85 <sup>§</sup> (-2.47, -1.23)		-1.87 <sup>§</sup> (-2.61, -1.13)	
<b>Seated SBP at Week 8 in patients with baseline seated SBP <math>\geq</math>130 mmHg (mmHg)</b>						
Baseline (mean)	140.5 (N=101)	139.3 (N=111)	138.5	137.9	141.9	140.3
Change from baseline (adjusted mean <sup>‡</sup> )	-6.0	-5.1	-6.6	-4.2	-5.3	-5.5

**Table 7: Results of a 24-Week (LOCF\*) Placebo-Controlled Study of Dapagliflozin in Add-On Combination with Sitagliptin with or without Metformin (Full Analysis Set and Strata without or with Metformin)**

Efficacy Parameter	Dapagliflozin 10 mg + Sitagliptin + or -Met	Placebo + Sitagliptin + or -Met	Dapagliflozin 10 mg + Sitagliptin	Placebo + Sitagliptin	Dapagliflozin 10 mg + Sitagliptin +Met	Placebo + Sitagliptin +Met
Difference from placebo (adjusted mean <sup>†</sup> ) (95% CI)	-0.86 (-3.8, 2.0)		-2.4 (-6.4, 1.7)		0.2 (-3.85, 4.32)	
<b>2-hour PPG<sup>¶</sup> (mg/dL)</b>						
Baseline (mean)	227.8	226.3	225.3	231.2	230.2	221.0
Change from baseline (adjusted mean <sup>†</sup> )	-47.7	-4.8	-46.3	-2.6	-48.9	-7.2
Difference from placebo (adjusted mean <sup>†</sup> ) (95% CI)	-42.9 (-52.1, -33.8)		-43.7 (-55.9, -31.5)		-41.6 (-55.4, -27.8)	
<b>Patients with HbA1c decrease <math>\geq 0.7\%</math> (adjusted %)</b>	35.3	16.6	42.8	17.2	28.0	16.0

\* LOCF: last observation (prior to rescue for rescued patients) carried forward.

† Randomized and treated patients with baseline and at least 1 post-baseline efficacy measurement.

‡ Least squares mean adjusted for baseline value.

§ p-value  $<0.0001$  versus placebo.

¶ 2-hour PPG level as a response to a 75-gram oral glucose tolerance test (OGTT).

### **Concomitant Initiation of Saxagliptin and Dapagliflozin in Patients Inadequately Controlled on Metformin**

A total of 534 adult patients with type 2 diabetes mellitus and inadequate glycemic control on metformin alone ( $\text{HbA1c} \geq 8\%$  and  $\leq 12\%$ ), participated in this 24-week randomized, double blind, active comparator-controlled superiority trial to compare the combination of saxagliptin and dapagliflozin added concurrently to metformin, *versus* saxagliptin (DPP4 inhibitor) or dapagliflozin added to metformin. Patients were randomized to one of three double-blind treatment groups to receive saxagliptin 5 mg and dapagliflozin 10 mg added to metformin XR, saxagliptin 5 mg and placebo added to metformin XR, or dapagliflozin 10 mg and placebo added to metformin XR.

The saxagliptin and dapagliflozin combination group achieved significantly greater reductions in  $\text{HbA1c}$  *versus* either saxagliptin group or dapagliflozin group at 24 weeks. Forty-one percent (41%) of patients in the saxagliptin and dapagliflozin combination group achieved  $\text{HbA1c}$  levels of less than 7% compared to 18% patients in the saxagliptin group and 22% patients in the dapagliflozin group.

**Table 8: HbA1c at Week 24 (LRM\*) in Active-Controlled Study Comparing the Combination of Saxagliptin and Dapagliflozin Added Concurrently to Metformin with Saxagliptin or Dapagliflozin Added Concurrently to Metformin**

Efficacy Parameter	Saxagliptin 5 mg + Dapagliflozin 10 mg + Metformin XR  N=179 <sup>†</sup>	Saxagliptin 5 mg + Metformin XR  N=176 <sup>†</sup>	Dapagliflozin 10 mg + Metformin XR  N=179 <sup>†</sup>
<b>HbA1c (%) at Week 24 (LRM)*</b>			
Baseline (mean)	8.93	9.03	8.87
Change from baseline (adjusted mean <sup>‡</sup> ) (95% CI) for adjusted mean change from baseline	-1.47 (-1.62, -1.31)	-0.88 (-1.03, -0.72)	-1.20 (-1.35, -1.04)
Difference from saxagliptin + metformin (adjusted mean <sup>‡</sup> ) (95% CI)	-0.59 <sup>§</sup> (-0.81, -0.37)	-	-
Difference from dapagliflozin + metformin (adjusted mean <sup>‡</sup> ) (95% CI)	-0.27 <sup>¶</sup> (-0.48, -0.05)	-	-

\* LRM = Longitudinal repeated measures (*using values prior to rescue*).

† Randomized and treated patients with baseline and at least 1 postbaseline efficacy measurement.

‡ Least squares mean adjusted for baseline value.

§ p-value<0.0001.

¶ p-value=0.0166.

The adjusted mean change in body weight at 24 weeks was -2.05 kg (95% CI [-2.52, -1.58]) in the saxagliptin and dapagliflozin plus metformin group and -2.39 kg (95% CI [-2.87, -1.91]) in the dapagliflozin plus metformin group. The adjusted mean change for body weight in the saxagliptin plus metformin group had no change 0.00 kg (95% CI [-0.48, 0.49]).

### **Add-on therapy with dapagliflozin in patients inadequately controlled on saxagliptin plus metformin**

A 24-week randomized, double-blind, placebo-controlled study compared the sequential addition of 10 mg dapagliflozin to 5 mg saxagliptin and metformin to the addition of placebo to 5 mg saxagliptin (DPP4 inhibitor) and metformin in patients with type 2 diabetes mellitus and inadequate glycaemic control (HbA1c  $\geq$  7% and  $\leq$  10.5%). 320 subjects were randomised equally into either the dapagliflozin added to saxagliptin plus metformin treatment group or placebo plus saxagliptin plus metformin treatment group.

The group with dapagliflozin sequentially added to saxagliptin and metformin achieved statistically significant (p-value < 0.0001) greater reductions in HbA1c *versus* the group with placebo sequentially added to saxagliptin plus metformin group at 24 weeks (see Table 9).

**Table 9: Results of a Week 24 (LRM\*) Placebo-Controlled Study of Dapagliflozin in Add-on Combination with Saxagliptin and Metformin**

Efficacy Parameter	Dapagliflozin 10 mg + Saxagliptin 5 mg + Metformin (N=160) <sup>†</sup>	Placebo + Saxagliptin 5 mg + Metformin (N=160) <sup>†</sup>
<b>HbA1c (%) at Week 24*</b>		
Baseline (mean)	8.24	8.16
Change from baseline (adjusted mean <sup>‡</sup> ) (95% CI)	-0.82 (-0.96, -0.69)	-0.10 (-0.24, 0.04)
Comparison of dapagliflozin added to saxa + met vs. placebo + saxa + met: Adjusted mean* (95% CI)		-0.72 (-0.91, -0.53) <sup>§</sup>
<b>FPG (mg/dL)</b>		
Baseline (mean)	178.5	176.6
Change from baseline (adjusted mean <sup>‡</sup> ) (95% CI)	-32.7 (-38.3, -27.2)	-5.3 (-11.1, 0.6)
Comparison of dapagliflozin added to saxa + met vs. placebo + saxa + met: Adjusted mean* (95% CI)		-27.5 (-35.4, -19.6) <sup>§</sup>
<b>2-hour PPG<sup>¶</sup> (mg/dL)</b>		
Baseline (mean)	239.8	241.3
Change from baseline (adjusted mean <sup>‡</sup> ) (95% CI)	-73.5 (-81.5, -65.5)	-38.0 (-46.1, -29.9)
Comparison of dapagliflozin added to saxa + met vs. placebo + saxa + met: Adjusted mean <sup>¶</sup> (95% CI)		-35.5 <sup>§</sup> (-46.3, -24.7)

\* LRM = Longitudinal repeated measures (using values prior to rescue).

<sup>†</sup> Randomized and treated patients with baseline and at least 1 post-baseline efficacy measurement.

<sup>‡</sup> Least squares mean adjusted for baseline value.

<sup>¶</sup> LOCF: last observation (prior to rescue for rescued patients) carried forward.

<sup>§</sup> p-value <0.0001 versus placebo.

saxa= saxagliptin; met=metformin

The proportion of patients achieving HbA1c <7.0% at Week 24 was higher in the dapagliflozin plus saxagliptin plus metformin group 38.0% (95% CI [30.9, 45.1]) compared to the placebo plus saxagliptin plus metformin group 12.4% (95% CI [7.0, 17.9]).

The adjusted changes from baseline at Week 24 in body weight were  $-1.91$  kg (95% CI [-2.34, -1.48]), in the dapagliflozin plus saxagliptin plus metformin group and  $-0.41$  kg (95% CI [-0.86, -0.04]), in the placebo plus saxagliptin plus metformin group.

The effects in HbA1C, FPG and body weight observed at Week 24 were sustained at Week 52. Adjusted mean change from baseline in HbA1c, FPG, and body weight were  $-0.74\%$  (95% CI [-0.90, -0.57]),  $-26.8$  mg/dL (95% CI [-34.2, -19.4]) and  $-2.13$  kg (95% CI [-2.70, -1.56]), respectively, for patients treated with dapagliflozin 10 mg plus saxagliptin with metformin, and  $0.07\%$  (95% CI [-0.13, 0.27]),  $10.2$  mg/dL (95% CI [1.6, 18.8]) and  $-0.37$  kg (95% CI [-1.01, 0.26]) for patients treated with placebo plus saxagliptin with metformin based on the longitudinal repeated measures analysis excluding data after rescue.

### ***Cardiovascular and Renal Outcomes***

Dapagliflozin Effect on Cardiovascular Events (DECLARE) was an international, multicenter, randomized, double-blind, placebo-controlled clinical study conducted to determine the effect of dapagliflozin compared with placebo on CV outcomes when added to current background therapy. All patients had type 2 diabetes mellitus and either at least two additional CV risk factors (age  $\geq 55$  years in men or  $\geq 60$  years in women and one or more of dyslipidemia, hypertension or current tobacco use) or established CV disease.

Of 17,160 randomized patients, 6,974 (40.6%) had established CV disease and 10,186 (59.4%) did not have established CV disease. 8,582 patients were randomized to dapagliflozin 10 mg and 8,578 to placebo and were followed for a median of 4.2 years.

The mean age of the study population was 63.9 years, 37.4% were female. In total, 22.4% had had diabetes for  $\leq 5$  years, mean duration of diabetes was 11.9 years. Mean HbA1c was 8.3% and mean BMI was 32.1 kg/ m<sup>2</sup>.

At baseline, 10.0% of patients had a history of heart failure. Mean eGFR was 85.2 mL/min/1.73 m<sup>2</sup>, 7.4% of patients had eGFR  $< 60$  mL/min/1.73 m<sup>2</sup> and 30.3% of patients had micro- or macroalbuminuria (urine albumin to creatinine ratio [UACR]  $\geq 30$  to  $\leq 300$  mg/g or  $> 300$  mg/g, respectively).

Most patients (98%) used one or more diabetic medications at baseline, including metformin (82%), insulin (41%) and sulfonylurea (43%).

The primary endpoints were time to first event of the composite of CV death, myocardial infarction or ischaemic stroke (MACE) and time to first event of the composite of hospitalization for heart failure or CV death. The secondary endpoints were a renal composite endpoint and all-cause mortality.

### **Major Adverse Cardiovascular Events**

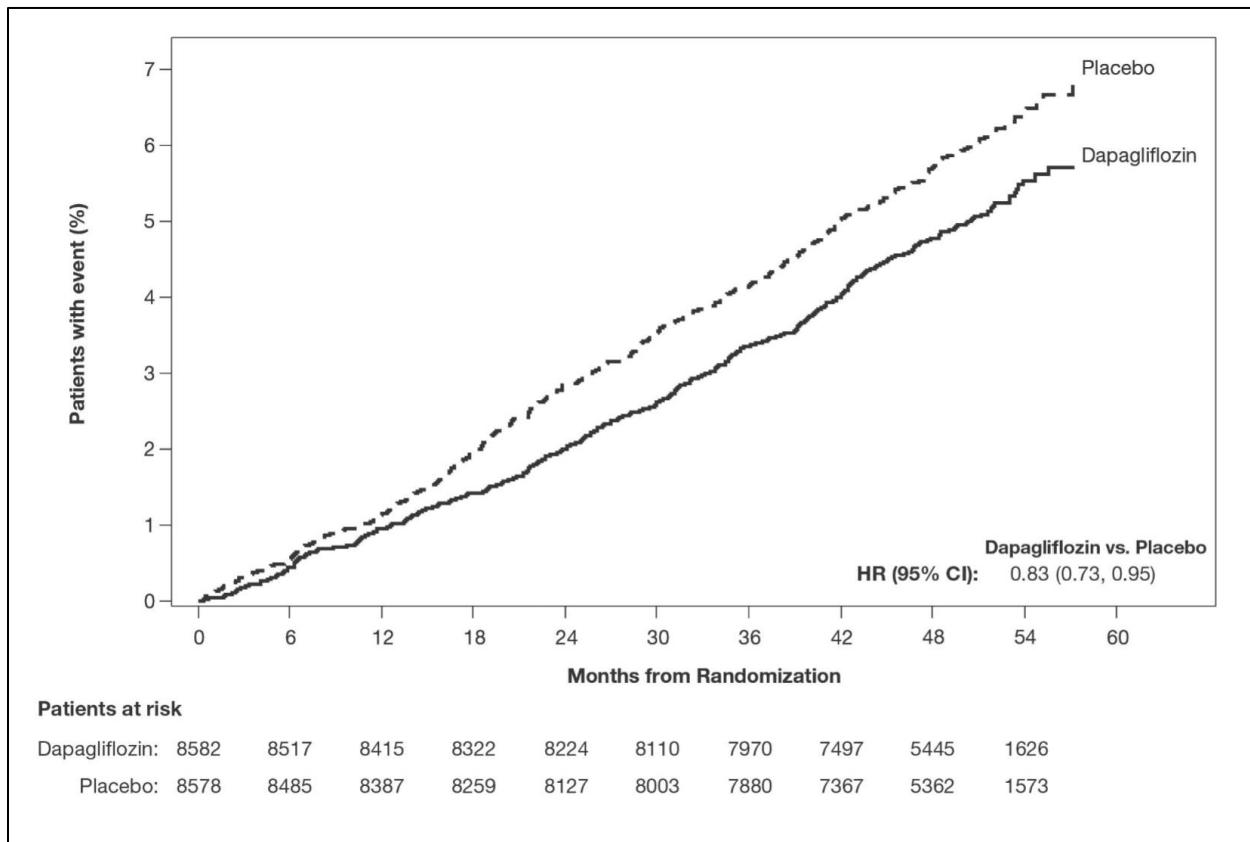
Dapagliflozin 10 mg demonstrated non-inferiority versus placebo for the composite of CV death, myocardial infarction or ischemic stroke (one-sided  $p < 0.001$ ).

## **Heart Failure or Cardiovascular Death**

Dapagliflozin 10 mg demonstrated superiority versus placebo in preventing the composite of hospitalization for heart failure or CV death (Figure 10). The difference in treatment effect was driven by hospitalization for heart failure, with no difference in CV death (Figure 11).

The treatment benefit of dapagliflozin over placebo was observed both in patients with and without established CV disease, with and without heart failure at baseline, and was consistent across key subgroups, including age, gender, renal function (eGFR), and region.

**Figure 10: Time to first occurrence of hospitalization for heart failure or cardiovascular death**

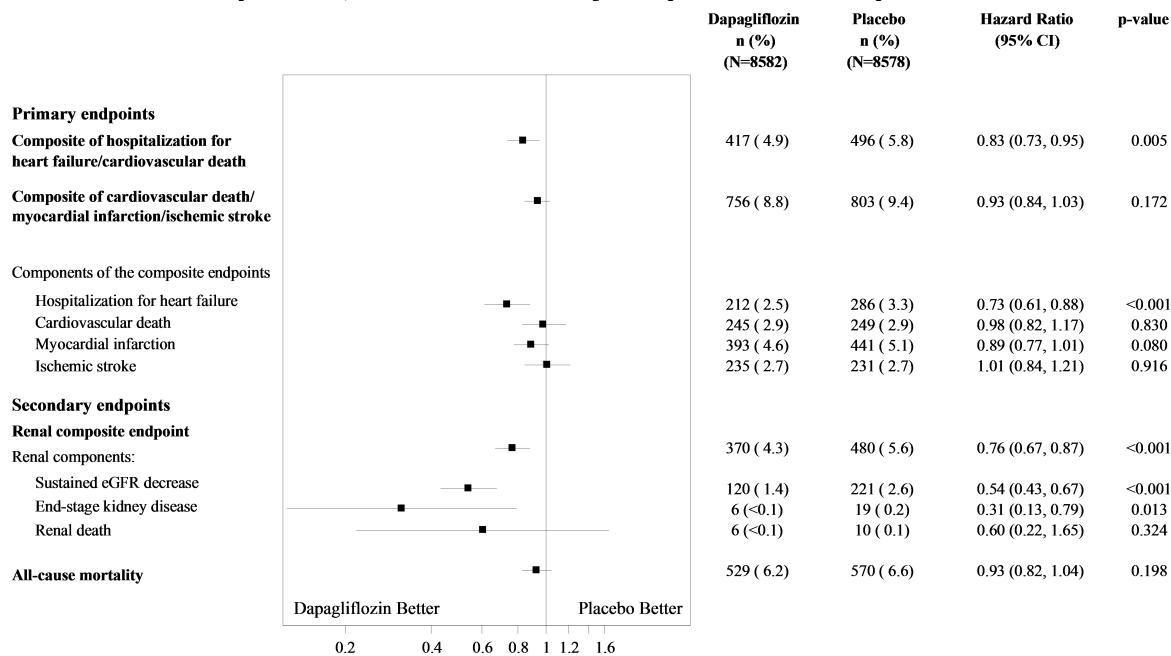


Patients at risk is the number of patients at risk at the beginning of the period.

CI Confidence interval, HR Hazard ratio.

Results on primary and secondary endpoints are displayed in Figure 11. Superiority of dapagliflozin over placebo was not demonstrated for MACE ( $p=0.172$ ). The renal composite endpoint and all-cause mortality were therefore not tested as part of the confirmatory testing procedure.

**Figure 11: Treatment effects for the primary composite endpoints and their components, and the secondary endpoints and components**



Renal composite endpoint is defined as sustained confirmed  $\geq 40\%$  decrease in eGFR to eGFR  $<60$  mL/min/1.73 m $^2$  and/or ESKD (dialysis  $\geq 90$  days or kidney transplantation, sustained confirmed eGFR  $<15$  mL/min/1.73 m $^2$ ) and/or renal or CV death.

p-values are two-sided. p-values for the secondary endpoints and for single components are nominal. Time to first event was analysed in a Cox proportional hazards model. The number of first events for the single components are the actual number of first events for each component and does not add up to the number of events in the composite endpoint.

CI=confidence interval.

## **Nephropathy**

Dapagliflozin reduced the incidence of events of the composite of confirmed sustained eGFR decrease, ESKD, renal or CV death. The difference between groups was driven by reductions in events of the renal components; sustained eGFR decrease, ESKD and renal death (Figure 11).

The hazard ratio for time to nephropathy (sustained eGFR decrease, end-stage renal disease and renal death) was 0.53 (95% CI 0.43, 0.66) for dapagliflozin versus placebo.

In addition, dapagliflozin reduced the new onset of sustained albuminuria (hazard ratio 0.79 [95% CI 0.72, 0.87]) and led to greater regression of macroalbuminuria (hazard ratio 1.82 [95% CI 1.51, 2.20]) compared with placebo.

## **Supportive Studies**

### ***Dual Energy X-ray Absorptiometry in Type 2 Diabetic Patients***

Due to the mechanism of action of dapagliflozin, a study was done to evaluate body composition and bone mineral density in 182 patients with type 2 diabetes. Treatment with dapagliflozin 10 mg added on to metformin over a 24-week period provided significant improvements compared with placebo plus metformin, respectively, in body weight (mean change from baseline:  $-2.96$  kg vs

–0.88 kg); waist circumference (mean change from baseline: –2.51 cm vs –0.99 cm), and body-fat mass as measured by DXA (mean change from baseline –2.22 kg vs –0.74 kg) rather than lean tissue or fluid loss. Dapagliflozin plus metformin treatment showed a numerical decrease in visceral adipose tissue compared with placebo plus metformin treatment (change from baseline –322.6 cm<sup>3</sup> vs –8.7 cm<sup>3</sup>) in an MRI substudy. Week 24 was analyzed using last observation carried forward (LOCF) analysis including data after rescue.

At Week 24, 2 patients (2.2%) in the placebo plus metformin group and no patients in the dapagliflozin 10 mg plus metformin group were rescued for lack of glycemic control.

At Week 50 and Week 102, improvements were sustained in the dapagliflozin 10 mg added on to metformin group compared with the placebo plus metformin group for body weight (adjusted mean change from baseline at Week 50: –4.39 kg versus –2.03 kg; adjusted mean change from baseline at Week 102: –4.54 kg versus –2.12 kg), waist circumference (adjusted mean change from baseline at Week 50: –5.0 cm versus –3.0 cm; adjusted mean change from baseline at Week 102: –5.0 cm versus –2.9 cm), and body-fat mass as measured by DXA at Week 102 (mean change from baseline: –2.80 kg versus –1.46 kg) based on the longitudinal repeated measures analysis including data after rescue. In an MRI sub-study at Weeks 50 and 102, dapagliflozin plus metformin treatment showed a numerical decrease in visceral adipose tissue compared with placebo plus metformin treatment (adjusted mean change from baseline at Week 50: –120.0 cm<sup>3</sup> versus 61.5 cm<sup>3</sup>; adjusted mean change from baseline at Week 102: –214.9 cm<sup>3</sup> versus –22.3 cm<sup>3</sup>).

The proportion of patients at Week 50 (unadjusted for baseline HbA1c) and Week 102 (adjusted for baseline HbA1c) who were rescued or discontinued for lack of glycemic control was higher in the placebo plus metformin group (6.6% and 33.2%, respectively) than in the dapagliflozin 10 mg plus metformin group (2.2% and 13.5%, respectively).

In an extension of this study to Week 50, there was no change in bone mineral density (BMD) for the lumbar spine, femoral neck, or total hip seen in either treatment group (mean decrease from baseline for all anatomical regions <0.5%). There was also no change in BMD in either treatment group up to Week 102 (mean decrease from baseline for all anatomical regions <1.0%). There were no clinically meaningful changes in markers of bone resorption or bone formation.

## **Clinical Safety**

### ***Volume depletion***

Events suggestive of volume depletion (including reports of dehydration, hypovolemia, or hypotension) were reported in 1.1% and 0.7% of patients who received dapagliflozin 10 mg and placebo, respectively, in the 13-study, short-term, placebo-controlled pool. Serious events occurred in ≤0.2% of patients across 21 active- and placebo-controlled studies and were balanced between dapagliflozin 10 mg and comparator.

In the CV outcomes study, the numbers of patients with events suggestive of volume depletion were balanced between treatment groups: 213 (2.5%) and 207 (2.4%) in the dapagliflozin and placebo groups, respectively. Serious adverse events were reported in 81 (0.9%) and 70 (0.8%) in the dapagliflozin and placebo group, respectively. Events were generally balanced between treatment groups across subgroups of age, diuretic use, blood pressure and ACEi/ARB use. In

patients with eGFR <60 mL/min/1.73 m<sup>2</sup> at baseline, there were 19 events of SAEs suggestive of volume depletion in the dapagliflozin group and 13 events in the placebo group.

### ***Hypoglycemia***

The incidence of hypoglycemia as seen in controlled clinical studies with dapagliflozin in different combinations is shown in Table 10.

**Table 10: Incidence of Major<sup>a</sup> and Minor<sup>b</sup> Hypoglycemia in Controlled Clinical Studies**

	Placebo/ Active control	Dapagliflozin 10 mg
<b>CV Outcomes Trial (48 months median exposure)</b>		
All	N=8569	N=8574
Major [n(%)]	83 (1.0)	58 (0.7)
Patients treated with insulin	N=4606	N=4177
Major [n(%)]	64 (1.4)	52 (1.2)
Patients treated with a sulfonylurea	N=4521	N=4118
Major [n(%)]	23 (0.5)	14 (0.3)
<b>Monotherapy (24 weeks)</b>	N=75	N=70
Major [n (%)]	0	0
Minor [n (%)]	0	0
<b>Add-on to Metformin (24 weeks)</b>	N=137	N=135
Major [n (%)]	0	0
Minor [n (%)]	0	1 (0.7)
<b>Active Control Add-on to Metformin versus Glipizide (52 weeks)</b>	N=408	N=406
Major [n (%)]	3 (0.7)	0
Minor [n (%)]	147 (36.0)	7 (1.7)
<b>Add-on to Glimepiride (24 weeks)</b>	N=146	N=151
Major [n (%)]	0	0
Minor [n (%)]	3 (2.1)	9 (6.0)
<b>Add-on to Metformin and a Sulfonylurea (24 Weeks)</b>	N=109	N=109
Major [n (%)]	0	0
Minor [n (%)]	4 (3.7)	14 (12.8)
<b>Add-on to Pioglitazone (24 weeks)</b>	N=139	N=140
Major [n (%)]	0	0
Minor [n (%)]	0	0
<b>Add-on to DPP4 inhibitor (24 weeks)</b>	N=226	N=225
Major [n (%)]	0	1 (0.4)

**Table 10: Incidence of Major<sup>a</sup> and Minor<sup>b</sup> Hypoglycemia in Controlled Clinical Studies**

	Placebo/ Active control	Dapagliflozin 10 mg
Minor [n (%)]	3 (1.3)	4 (1.8)
<b>Add-on to Insulin with or without other OADs<sup>c</sup> (24 weeks)</b>	N=197	N=196
Major [n (%)]	1 (0.5)	1 (0.5)
Minor [n (%)]	67 (34.0)	79 (40.3)

<sup>a</sup> Major episodes of hypoglycemia were defined as symptomatic episodes requiring external (third party) assistance due to severe impairment in consciousness or behavior with a capillary or plasma glucose value <54 mg/dL and prompt recovery after glucose or glucagon administration.

<sup>b</sup> Minor episodes of hypoglycemia were defined as either a symptomatic episode with a capillary or plasma glucose measurement <63 mg/dL regardless of need for external assistance, or an asymptomatic capillary or plasma glucose measurement <63 mg/dL that does not qualify as a major episode.

<sup>c</sup> OAD = oral antidiabetic therapy.

### ***Events Related to Decreased Renal Function***

In the 13-study, short-term, placebo-controlled pool, mean serum creatinine levels increased a small amount at Week 1 (mean change from baseline: 0.041 mg/dL dapagliflozin 10 mg *versus* 0.008 mg/dL placebo) and decreased toward baseline by Week 24 (mean change from baseline: 0.019 mg/dL dapagliflozin 10 mg *versus* 0.008 mg/dL placebo). There were no further changes through Week 102.

In the CV outcomes study, there were fewer patients with marked laboratory abnormalities of creatinine, creatinine clearance, eGFR, and UACR in the dapagliflozin group compared with the placebo group. Fewer renal events (e.g., decreased renal creatinine clearance, renal impairment, increased blood creatinine, and decreased glomerular filtration rate) were reported in the dapagliflozin group compared with the placebo group: 422 (4.9%) and 526 (6.1%), respectively. There were fewer patients with events reported as acute kidney injury in the dapagliflozin group compared with the placebo group: 125 (1.5%) and 175 (2.0%), respectively. There were fewer patients with SAEs of renal events in the dapagliflozin group compared with the placebo group: 80 (0.9%) and 136 (1.6%), respectively.

### **Laboratory Findings**

#### ***Hematocrit***

In the pool of 13 placebo-controlled studies, increases from baseline in mean hematocrit values were observed in dapagliflozin-treated patients starting at Week 1 and continuing up to Week 16, when the maximum mean difference from baseline was observed. At Week 24, the mean changes from baseline in hematocrit were 2.30% in the dapagliflozin 10 mg group *versus* -0.33% in the placebo group. At Week 102, the mean changes were 2.68% *versus* -0.46%, respectively. By Week 24, hematocrit values >55% were reported in 1.3% of dapagliflozin 10 mg-treated patients *versus* 0.4% of placebo-treated patients. Results were similar during the short-term plus long-term phase (the majority of patients were exposed to treatment for more than one year).

### ***Serum inorganic phosphorus***

In the pool of 13 placebo-controlled studies, increases from baseline in mean serum phosphorus levels were reported at Week 24 in dapagliflozin 10 mg-treated patients compared with placebo-treated patients (mean increases of 0.13 mg/dL *versus* -0.04 mg/dL, respectively). Similar results were seen at Week 102. Higher proportions of patients with marked laboratory abnormalities of hyperphosphatemia ( $\geq 5.6$  mg/dL if age 17-65 or  $\geq 5.1$  mg/dL if age  $\geq 66$ ) were reported in dapagliflozin 10 mg group *versus* placebo at Week 24 (1.7% *versus* 0.9%, respectively) and during the short-term plus long-term phase (3.0% *versus* 1.6%, respectively). The clinical relevance of these findings is unknown.

### ***Lipids***

In the pool of 13 placebo-controlled studies, small changes from baseline in mean lipid values were reported at Week 24 in dapagliflozin 10 mg-treated patients compared with placebo-treated patients. Mean percent change from baseline at Week 24 for dapagliflozin 10 mg *versus* placebo, respectively, was as follows: total cholesterol, 2.5% *versus* 0.0%; HDL cholesterol, 6.0% *versus* 2.7%; LDL cholesterol, 2.9% *versus* -1.0%; triglycerides, -2.7% *versus* -0.7%. Mean percent change from baseline at Week 102 for dapagliflozin 10 mg *versus* placebo, respectively, was as follows: total cholesterol, 2.1% *versus* -1.5%; HDL cholesterol, 6.6% *versus* 2.1%; LDL cholesterol, 2.9% *versus* -2.2%; triglycerides, -1.8% *versus* -1.8%. The ratio between LDL cholesterol and HDL cholesterol decreased for both treatment groups at Week 24.

In the CV outcomes study, no clinical important differences in total cholesterol, HDL cholesterol, LDL cholesterol or triglycerides were seen.

### ***Parathyroid hormone (PTH)***

Small increases in serum PTH levels were observed with increases being larger in subjects with higher baseline PTH concentrations. Bone mineral density measurements in patients with normal or mildly impaired renal function did not indicate bone loss over a treatment period of two years.

### ***Glycemic Control in Special Populations***

#### ***Use in Patients with Type 2 Diabetes and Cardiovascular Disease***

In two 24-week, placebo-controlled studies with 80-week extension periods, a total of 1887 patients with type 2 diabetes and CVD were treated with dapagliflozin 10 mg or placebo.

Patients with established CVD and inadequate glycemic control (HbA1c  $\geq 7.0\%$  and  $\leq 10.0\%$ ), despite pre-existing, stable treatment with OADs or insulin (alone or in combination) prior to entry, were eligible for these studies and were stratified according to age (<65 years or  $\geq 65$  years), insulin use (no or yes), and time from most recent qualifying cardiovascular event ( $>1$  year or  $<1$  year prior to enrollment). Across the 2 studies, 942 patients were treated with dapagliflozin 10 mg and 945 with placebo. Ninety-six percent (96%) of patients treated with dapagliflozin 10 mg across the 2 studies had hypertension at entry, the majority for more than 10 years duration; the most common qualifying cardiovascular events were coronary heart disease (76%) or stroke (20%). Approximately 19% of patients received loop diuretics at entry and 15% had congestive heart failure (2% had NYHA Class III or higher). Approximately 37% of patients treated with dapagliflozin 10 mg also received metformin plus one additional OAD (sulfonylurea,

thiazolidinedione, DPP4-inhibitor, or other OAD with or without insulin at entry), 38% received insulin plus at least one OAD, and 18% received insulin alone.

At Week 24 for both studies, when added to pre-existing antidiabetic treatments, treatment with dapagliflozin 10 mg provided significant improvement to coprimary endpoints of HbA1c and composite clinical benefit compared with placebo. Composite clinical benefit was defined as the proportion of patients with an absolute drop from baseline of 0.5% in HbA1c, and a relative drop from baseline of at least 3% in total body weight, and an absolute drop from baseline of at least 3 mmHg in seated SBP (Table 11). Significant reductions in total body weight and seated systolic blood pressure were also seen in patients treated with dapagliflozin 10 mg compared with placebo.

**Table 11: Results at Week 24 (LOCF<sup>\*</sup>) in Two Placebo-Controlled Studies Comparing Dapagliflozin to Placebo in Patients with Type 2 Diabetes and Cardiovascular Disease**

Efficacy Parameter	Study 1		Study 2	
	Dapagliflozin 10 mg + Usual Treatment N=455 <sup>†</sup>	PLACEBO + Usual Treatment N=459 <sup>†</sup>	Dapagliflozin 10 mg + Usual Treatment N=480 <sup>†</sup>	PLACEBO + Usual Treatment N=482 <sup>†</sup>
<b>HbA1c (%)</b>				
Baseline mean	8.18	8.08	8.04	8.07
Change from baseline (adjusted mean <sup>‡</sup> )	-0.38	0.08	-0.33	0.07
Difference from placebo (adjusted mean <sup>‡</sup> ) (95% CI)	-0.46 <sup>§</sup> (-0.56, -0.37)		-0.40 <sup>§</sup> (-0.50, -0.30)	
<b>Responders of Composite Clinical Benefit (%)</b>	11.7	0.9	10.0	1.9
Difference from placebo (adjusted %)	9.9 <sup>§</sup>		7.0 <sup>§</sup>	
<b>Components of Composite Endpoint (%)</b>				
Patients with absolute reduction HbA1c $\geq 0.5\%$ (adjusted %)	45.3	20.6	42.4	21.1
Patients with body weight decrease of at least 3% from baseline (adjusted %)	40.0	13.9	41.3	15.4
Patients with absolute reduction in SBP $\geq 3$ mmHg (adjusted %)	49.1	41.6	46.1	40.9
<b>Body Weight (kg)</b>				
Baseline mean	92.63	93.59	94.53	93.22
Change from baseline (adjusted percent <sup>‡</sup> )	-2.56	-0.30	-2.53	-0.61
Difference from placebo (adjusted percent <sup>‡</sup> ) (95% CI)	-2.27 <sup>§</sup> (-2.64, -1.89)		-1.93 <sup>§</sup> (-2.31, -1.54)	
Body weight decrease of at least 5% in patients with baseline BMI $\geq 27$ kg/ m <sup>2</sup> (%)	16.5 <sup>§</sup>	4.0	18.4 <sup>§</sup>	4.8
<b>Seated Systolic Blood Pressure (mmHg)</b>				
Change from baseline at Week 24 (adjusted mean <sup>‡</sup> )	-2.99	-1.03	-2.70	0.32
Difference from placebo (adjusted mean <sup>‡</sup> ) (95%CI)	-1.95 <sup>¶</sup> (-3.56, -0.34)		-3.02 <sup>¶</sup> (-4.59, -1.46)	

**Table 11: Results at Week 24 (LOCF<sup>\*</sup>) in Two Placebo-Controlled Studies Comparing Dapagliflozin to Placebo in Patients with Type 2 Diabetes and Cardiovascular Disease**

Efficacy Parameter	Study 1		Study 2	
	Dapagliflozin 10 mg + Usual Treatment	PLACEBO + Usual Treatment	Dapagliflozin 10 mg + Usual Treatment	PLACEBO + Usual Treatment
Change from baseline seated SBP (mmHg) at Week 8 in patients with baseline SBP $\geq$ 130 mmHg (adjusted mean <sup>†</sup> )	-	-	-5.33 <sup>¶</sup>	-1.89

\* LOCF: last observation carried forward.

† Randomized and treated patients with baseline and at least 1 post-baseline efficacy measurement.

‡ Least squares mean adjusted for baseline value.

§ p-value <0.0001.

¶ p-value <0.05.

Patients treated with dapagliflozin 10 mg in the pre-defined age groups (<65 and  $\geq$ 65 years of age) also showed significant improvements in the coprimary endpoints of HbA1c and composite clinical benefit compared with placebo in both studies. A significant improvement in the secondary endpoint of total body weight was also seen in both age groups and a significant reduction of the secondary endpoint of seated SBP in patients <65 years treated with dapagliflozin 10 mg compared with placebo.

### ***Use in Patients with Type 2 Diabetes and Renal Impairment***

#### **Patients with mild renal impairment (eGFR $\geq$ 60 to <90 mL/min/1.73 m<sup>2</sup>)**

In the clinical trial program more than 3000 patients with mild renal impairment were treated with dapagliflozin. Efficacy was assessed in a pooled analysis across 9 clinical studies consisting of 2226 patients with mild renal impairment. The mean change from baseline in hemoglobin A1c (HbA1c) and the placebo-corrected mean HbA1c change at 24 weeks was -1.03% and -0.54%, respectively, for dapagliflozin 10 mg (n=562). The safety profile in patients with mild renal impairment is similar to that in the overall population.

#### **Patients with moderate renal impairment (eGFR $\geq$ 30 to <60 mL/min/1.73 m<sup>2</sup>)**

The glycemic efficacy and safety of dapagliflozin was evaluated in two dedicated studies of patients with moderate renal impairment and in two subgroup analyses of pooled clinical studies.

In a randomized, double blind, placebo-controlled trial a total of 321 adult patients with type 2 diabetes mellitus and eGFR  $\geq$ 45 to <60 mL/min/1.73 m<sup>2</sup> (moderate renal impairment subgroup CKD 3A), with inadequate glycemic control on current treatment regimen, were treated with dapagliflozin 10 mg or placebo. At Week 24, dapagliflozin 10 mg (n=159) provided significant improvements in HbA1c, FPG, Body Weight and SBP compared with placebo (n=161) (Table 12). The mean change from baseline in HbA1c and the placebo-corrected mean HbA1c change was -0.37% and -0.34%, respectively. The mean change from baseline in FPG and the placebo-corrected mean FPG was -21.46 mg/dL and -16.59 mg/dL, respectively. The mean body weight reduction (percentage) and the placebo-corrected mean body weight reduction was -3.42% and -1.43 %, respectively. The mean reduction in seated systolic blood pressure (SBP) and the placebo-corrected mean reduction in SBP was -4.8 mmHg and -3.1 mmHg, respectively.

**Table 12: Results at Week 24 in a Placebo-Controlled Study of Dapagliflozin Treatment in Diabetic Patients with Moderate Renal Impairment (Class 3A, eGFR  $\geq 45$  to  $<60$  mL/min/1.73 m $^2$ )**

Efficacy Parameter	Dapagliflozin 10 mg N=159	Placebo N=161
<b>HbA1c (%)</b>		
Baseline (mean)	8.35	8.03
Change from baseline (adjusted mean <sup>*</sup> )	-0.37	-0.03
Difference from placebo (adjusted mean <sup>*</sup> ) (95% CI)	-0.34 <sup>§</sup> (-0.53, -0.15)	
<b>FPG (mg/dL)</b>		
Baseline (mean)	183.04	173.28
Change from baseline (adjusted mean <sup>*</sup> )	-21.46	-4.87
Difference from placebo (adjusted mean <sup>*</sup> ) (95% CI)	-16.59 <sup>§</sup> (-26.73, -6.45)	
<b>Body Weight (percentage)</b>		
Baseline (mean)	92.51	88.30
% Change from baseline (adjusted mean <sup>*</sup> )	-3.42	-2.02
Difference from placebo (adjusted mean <sup>*</sup> ) (95% CI)	-1.43 <sup>§</sup> (-2.15, -0.69)	
<b>Seated Systolic Blood Pressure (mmHg)</b>		
Baseline (mean)	135.7	135.0
Change from baseline (adjusted mean <sup>*</sup> )	-4.8	-1.7
Difference from placebo (adjusted mean <sup>*</sup> ) (95% CI)	-3.1 <sup>¶</sup> (-6.3, 0.0)	

\* Least squares mean adjusted for baseline value.

§ p-value  $\leq 0.001$ .

¶ p-value  $<0.05$ .

The safety profile of dapagliflozin in the study was consistent with that in the general population of patients with type 2 diabetes. Mean eGFR decreased initially during the treatment period in the dapagliflozin group and subsequently remained stable during the 24-week treatment period (dapagliflozin: -3.39 mL/min/1.73 m $^2$  and placebo: -0.90 mL/min/1.73 m $^2$ ). At 3 weeks after termination of dapagliflozin, the mean change from baseline in eGFR in the dapagliflozin group was similar to the mean change in the placebo group (dapagliflozin: 0.57 mL/min/1.73 m $^2$  and placebo: -0.04 mL/min/1.73 m $^2$ ).

Efficacy in patients with moderate renal impairment was assessed in a pooled analysis across 9 clinical studies (366 patients, 87% with eGFR  $\geq 45$  to  $<60$  mL/min/1.73 m $^2$ ); this pool did not include the two dedicated studies of diabetic patients with moderate renal impairment. The mean

change from baseline in HbA1c and the placebo-corrected mean HbA1c change at 24 weeks was  $-0.87\%$  and  $-0.39\%$ , respectively, for dapagliflozin 10 mg (n=85).

Safety in patients with moderate renal impairment was assessed in a pooled analysis of 12 clinical studies (384 patients, 88% with eGFR  $\geq 45$  to  $< 60$  mL/min/1.73 m $^2$ ); this pool did not include the two dedicated studies of diabetic patients with moderate renal impairment. At Week 24, safety was similar to that seen in the overall program of clinical studies except for a higher proportion of patients reporting at least one event related to renal impairment or failure (7.9% dapagliflozin 10 mg *versus* 5.6% placebo). Of these events, increased serum creatinine was the most frequently reported (6.7% dapagliflozin 10 mg *versus* 2.8% placebo). Increases in mean parathyroid hormone (PTH) and serum phosphorus observed with dapagliflozin in the overall program of clinical studies were also seen in the pooled analysis. In the short-term plus long-term safety pool up to 102 weeks, the safety profile remained similar.

The efficacy and safety of dapagliflozin was also assessed in a study of 252 diabetic patients with eGFR  $\geq 30$  to  $< 60$  mL/min/1.73 m $^2$  (moderate renal impairment subgroup CKD 3A and CKD 3B). Dapagliflozin treatment did not show a significant placebo corrected change in HbA1c in the overall study population (CKD 3A and CKD 3B combined) at 24 weeks. In an additional analysis of the subgroup CKD 3A, dapagliflozin 10 mg (n=32) provided a placebo-corrected mean HbA1c change at 24 weeks of  $-0.33\%$ . At Week 52, dapagliflozin was associated with changes from baseline in mean eGFR (dapagliflozin 10 mg  $-4.46$  mL/min/1.73 m $^2$  and placebo  $-2.58$  mL/min/1.73 m $^2$ ). At Week 104, these changes persisted (eGFR: dapagliflozin 10 mg  $-3.50$  mL/min/1.73 m $^2$  and placebo  $-2.38$  mL/min/1.73 m $^2$ ). With dapagliflozin 10 mg, this eGFR reduction was evident at Week 1 and remained stable through Week 104, while placebo-treated patients had a slow continuous decline through Week 52 that stabilized through Week 104.

At Week 52 and persisting through Week 104, greater increases in mean PTH and serum phosphorus were observed in this study with dapagliflozin 10 mg compared to placebo, where baseline values of these analytes were higher. Elevations of potassium of  $\geq 6$  mEq/L were more common in patients treated with placebo (12.0%) than those treated with dapagliflozin 5 mg and dapagliflozin 10 mg (4.8% for both groups) during the cumulative 104-week treatment period. The proportion of patients discontinued for elevated potassium, adjusted for baseline potassium, was higher for the placebo group (14.3%) than for the dapagliflozin groups (6.9% and 6.7% for the 5 mg and 10 mg groups, respectively).

Overall, there were 13 patients with an adverse event of bone fracture reported in this study up to Week 104 of which 8 occurred in the dapagliflozin 10 mg group, 5 occurred in the dapagliflozin 5 mg group, and none occurred in the placebo group. Eight (8) of these 13 fractures were in patients who had eGFR 30 to 45 mL/min/1.73 m $^2$  and 10 of the 13 fractures were reported within the first 52 weeks. There was no apparent pattern with respect to the site of fracture. No imbalance in bone fractures was observed in the safety analysis of the 12-study pool data and no bone fractures were reported in the dedicated study of patients with eGFR  $\geq 45$  to  $< 60$  mL/min/1.73 m $^2$  (CKD 3A).

### ***Use in Elderly Patients with Type 2 Diabetes***

A total of 2403 (26%) of 9339 treated patients with type 2 diabetes mellitus were 65 years and older and 327 (3.5%) patients were 75 years and older in a pool of 21 double-blind, controlled, clinical studies of dapagliflozin assessing the safety and efficacy of dapagliflozin in improving

glycemic control. After controlling for level of renal function (eGFR), there was no conclusive evidence suggesting that age is an independent factor affecting efficacy. Overall, the proportion of patients reporting adverse events was consistent between those  $\geq 65$  and  $< 65$  years of age.

### **Clinical trial information – heart failure**

#### **Clinical Efficacy**

##### **DAPA-HF study: Heart failure with reduced left ventricular ejection fraction (LVEF $\leq 40\%$ )**

Dapagliflozin And Prevention of Adverse outcomes in Heart Failure (DAPA-HF) was an international, multicenter, randomized, double-blind, placebo-controlled study in patients with heart failure (New York Heart Association [NYHA] functional class II-IV) with reduced ejection fraction (left ventricular ejection fraction [LVEF]  $\leq 40\%$ ) to determine the effect of dapagliflozin compared with placebo, when added to background standard of care therapy, on the incidence of CV death and worsening heart failure.

Of 4744 patients, 2373 were randomized to dapagliflozin 10 mg and 2371 to placebo and followed for a median of 18 months. The mean age of the study population was 66 years, 77% were male, 70% White, 5% Black or African-American and 24% Asian.

At baseline, 67.5% patients were classified as NYHA class II, 31.6% class III and 0.9% class IV, median LVEF was 32%, 42% of the patients in each treatment group had a history of type 2 diabetes mellitus, and an additional 3% of the patients in each group were classified as having type 2 diabetes mellitus based on a HbA1c  $\geq 6.5\%$  at both enrollment and randomization.

Patients were on standard of care therapy; at baseline, 94% of patients were treated with ACEi, ARB, or angiotensin receptor-neprilysin inhibitor (ARNI, 11%), 96% with beta-blocker, 71% with mineralocorticoid receptor antagonist (MRA), 93% with diuretic and 26% had an implantable device (with defibrillator function).

Patients with eGFR  $\geq 30$  mL/min/1.73 m<sup>2</sup> at enrollment were included in the study. The mean eGFR was 66 mL/min/1.73 m<sup>2</sup>, 41% of patients had eGFR  $< 60$  mL/min/1.73 m<sup>2</sup> and 15% had eGFR  $< 45$  mL/min/1.73 m<sup>2</sup>.

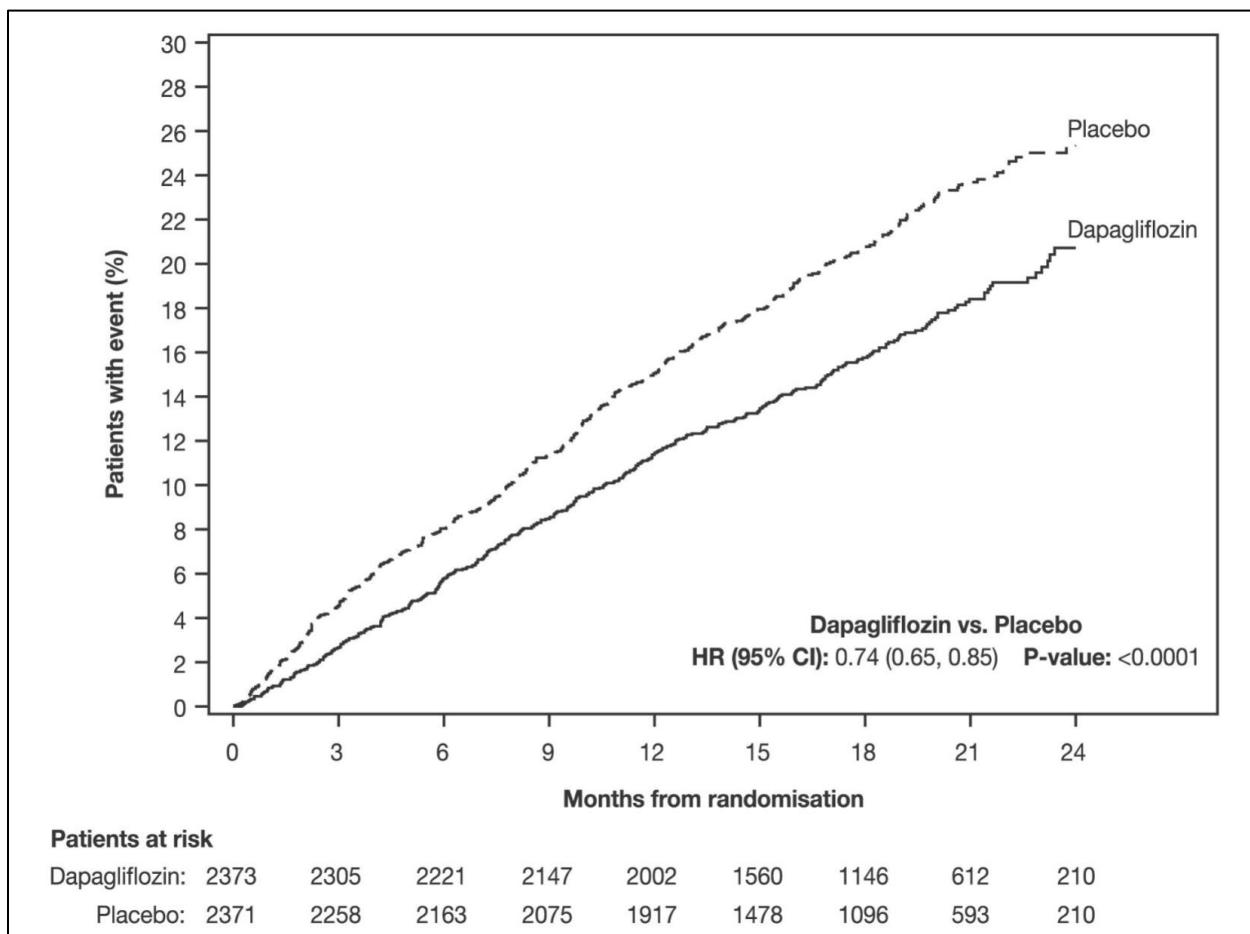
The primary endpoint was time to first event of the composite of CV death, hospitalization for heart failure or an urgent heart failure visit.

#### ***Cardiovascular death and worsening heart failure***

Dapagliflozin 10 mg was superior to placebo in preventing CV death and worsening heart failure, with consistent treatment effect on primary and secondary endpoints.

Dapagliflozin reduced the incidence of the primary composite endpoint of CV death, hospitalization for heart failure or urgent heart failure visit (HR 0.74 [95% CI 0.65, 0.85]; p<0.0001). The number needed to treat per year was 26 (95% CI 18, 46). The dapagliflozin and placebo event curves separated early and continued to diverge over the study period (Figure 12).

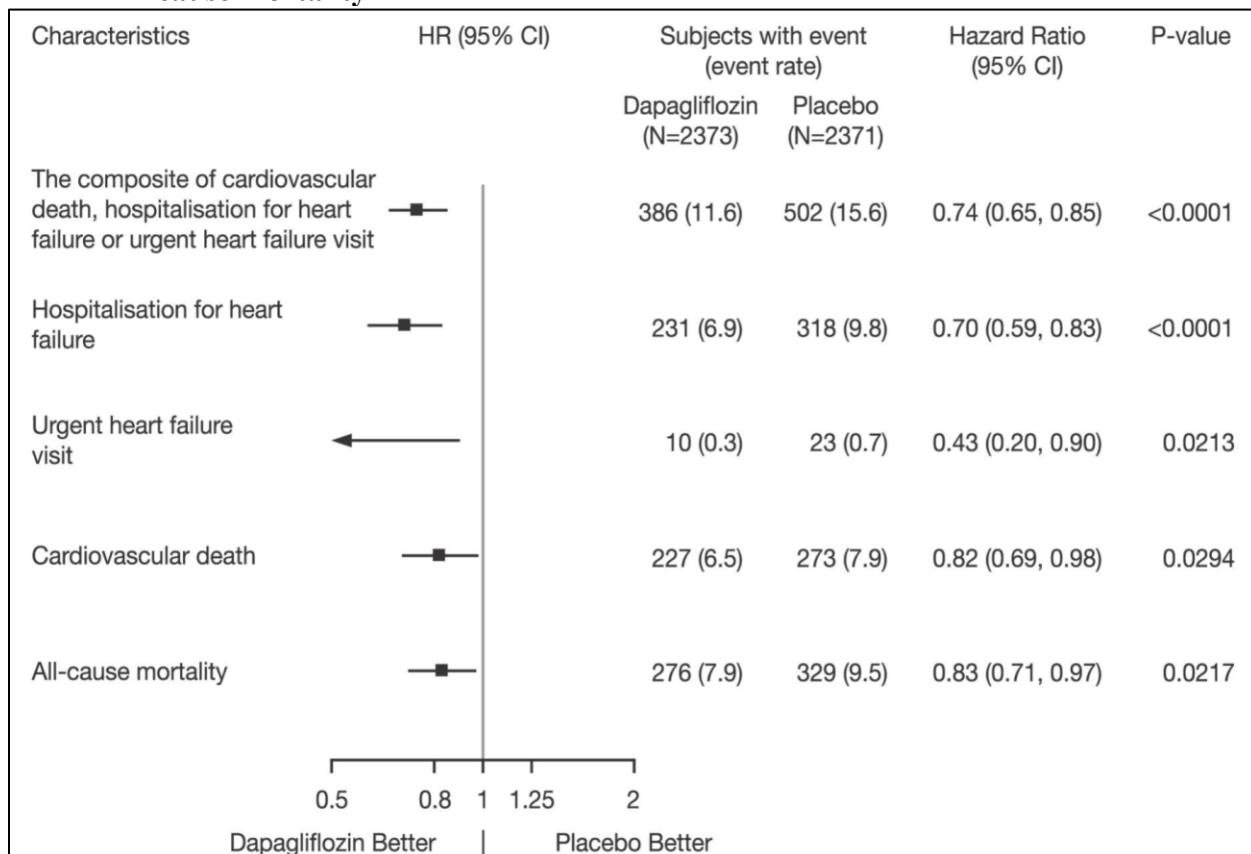
**Figure 12: Time to first occurrence of the composite of cardiovascular death, hospitalization for heart failure or urgent heart failure visit**



An urgent heart failure visit was defined as an urgent, unplanned, assessment by a physician, e.g. in an Emergency Department, and requiring treatment for worsening heart failure (other than just an increase in oral diuretics). Patients at risk is the number of patients at risk at the beginning of the period.

All three components of the primary composite endpoint individually contributed to the treatment effect (Figure 13). There were few urgent heart failure visits. Dapagliflozin also reduced the incidence of cardiovascular death or hospitalization for heart failure (HR 0.75 [95% CI 0.65, 0.85],  $p < 0.0001$ ).

**Figure 13: Treatment effects for the primary composite endpoint, its components and all-cause mortality**



An urgent heart failure visit was defined as an urgent, unplanned, assessment by a physician, e.g. in an Emergency Department, and requiring treatment for worsening heart failure (other than just an increase in oral diuretics).

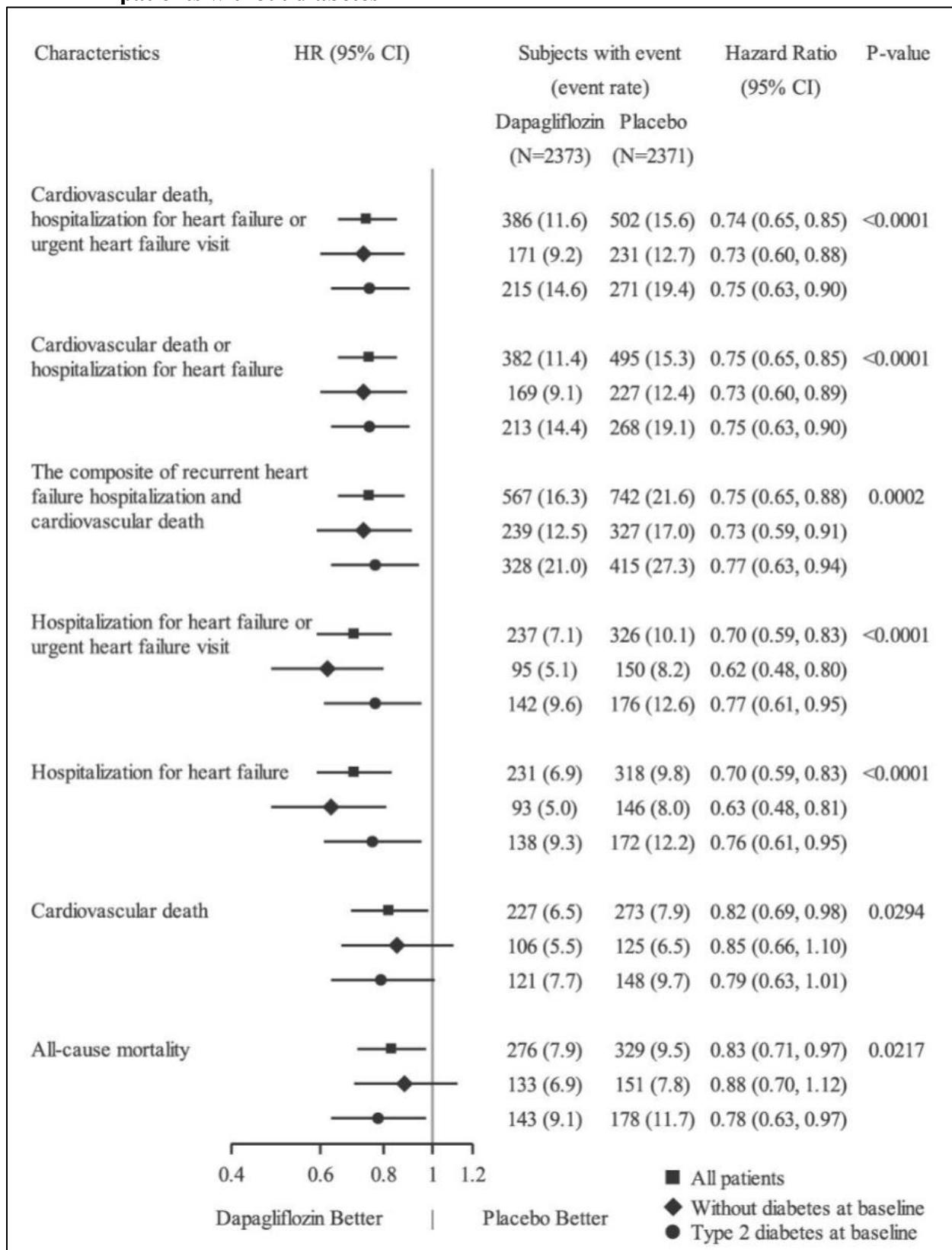
The number of first events for the single components are the actual number of first events for each component and does not add up to the number of events in the composite endpoint.

Event rates are presented as the number of subjects with event per 100 patient years of follow-up.  
 p-values for single components and all-cause mortality are nominal.

Dapagliflozin also reduced the total number of events of hospitalizations for heart failure (first and recurrent) and cardiovascular death; there were 567 events in the dapagliflozin group versus 742 events in the placebo group (Rate Ratio 0.75 [95% CI 0.65, 0.88]; p=0.0002).

The treatment benefit of dapagliflozin was observed in heart failure patients both with type 2 diabetes mellitus and without diabetes (Figure 14).

**Figure 14: Treatment effects in all patients, in patients with type 2 diabetes mellitus and in patients without diabetes**



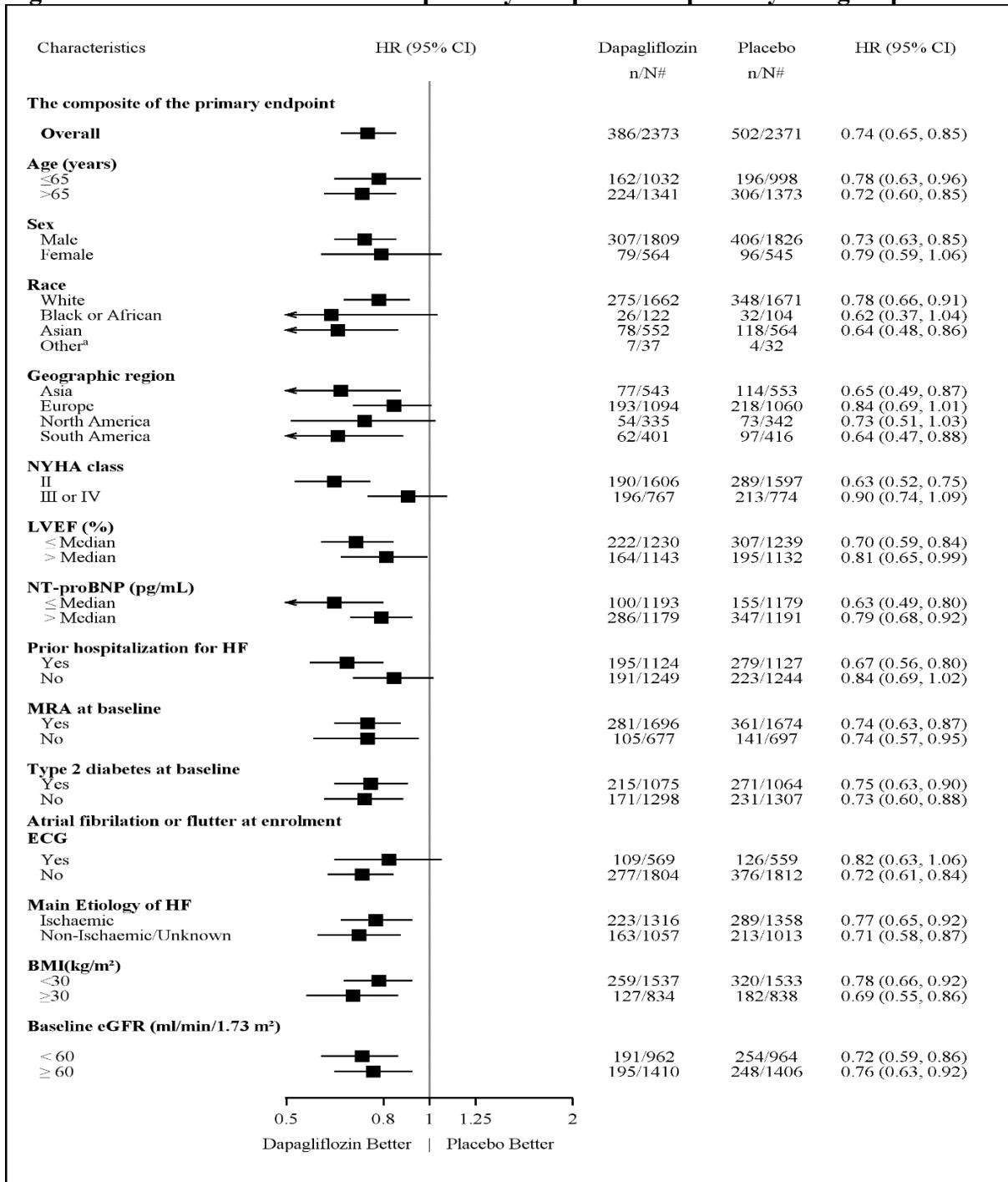
An urgent heart failure visit was defined as an urgent, unplanned, assessment by a physician, e.g. in an Emergency Department, and requiring treatment for worsening heart failure (other than just an increase in oral diuretics). For the composite of recurrent hospitalizations for heart failure and cardiovascular death, rate ratios are presented rather than hazard ratios and the numbers of events are shown rather than subjects with event.

The number of first events for the single components are the actual number of first events for each component and does not add up to the number of events in the composite endpoint.

Event rates are presented as the number of subjects with event per 100 patient years of follow-up, or, for the composite of recurrent heart failure hospitalizations and CV death, as the average number of events per 100 patient years. p-values for components of the primary composite endpoint and for all-cause mortality are nominal.

The treatment benefit of dapagliflozin over placebo on the primary endpoint was also consistent across other key subgroups (Figure 15).

**Figure 15: Treatment effects for the primary composite endpoint by sub-groups**



<sup>a</sup> Hazard ratio estimates are not presented for subgroups with less than 15 events in total, both arms combined.

n/N# Number of subjects with event/number of subjects in the subgroup.

NT-proBNP = N-terminal pro b-type natriuretic peptide. HF = Heart failure

### **Patient reported outcome – heart failure symptoms**

The treatment effect of dapagliflozin on heart failure symptoms was assessed by the Total Symptom Score of the Kansas City Cardiomyopathy Questionnaire (KCCQ-TSS), which quantifies heart failure symptom frequency and severity, including fatigue, peripheral edema, dyspnea and orthopnea. The score ranges from 0 to 100, with higher scores representing better health status.

Treatment with dapagliflozin resulted in a statistically significant and clinically meaningful benefit over placebo in heart failure symptoms, as measured by change from baseline to Month 8 in the KCCQ-TSS, (Win Ratio 1.18 [95% CI 1.11, 1.26];  $p<0.0001$ ). Both symptom frequency and symptom burden contributed to the results. Benefit was seen both in improving heart failure symptoms and in preventing deterioration of heart failure symptoms.

In responder analyses, the proportion of patients with a clinically meaningful improvement on the KCCQ-TSS from baseline at 8 months, defined as 5 points or more, was higher for the dapagliflozin treatment group compared with placebo. The proportion of patients with a clinically meaningful deterioration, defined as 5 points or more, was lower for the dapagliflozin treatment group compared to placebo. The benefits observed with dapagliflozin remained when applying more conservative cut-offs for larger clinically meaningful change (Table 13).

**Table 13: Number and percent of patients with clinically meaningful improvement and deterioration on the KCCQ-TSS at 8 months**

Change from baseline at 8 months:	Dapagliflozin 10 mg n <sup>a</sup> =2086	Placebo n <sup>a</sup> =2062		
Improvement	n (%) improved <sup>b</sup>	n (%) improved <sup>b</sup>	Odds ratio <sup>c</sup> (95% CI)	p-value <sup>f</sup>
≥5 points (small improvement)	1198 (57.4)	1030 (50.0)	1.15 (1.08, 1.23)	<0.0001
≥10 points (moderate to large improvement)	1124 (53.9)	968 (46.9)	1.15 (1.08, 1.22)	<0.0001
≥15 points (large improvement)	1120 (53.7)	984 (47.7)	1.14 (1.07, 1.22)	<0.0001
Deterioration	n (%) deteriorated <sup>d</sup>	n (%) deteriorated <sup>d</sup>	Odds ratio <sup>e</sup> (95% CI)	p-value <sup>f</sup>
≥5 points (small deterioration)	524 (25.1)	682 (33.1)	0.84 (0.78, 0.90)	<0.0001
≥10 points (moderate to large deterioration)	385 (18.5)	495 (24.0)	0.85 (0.79, 0.92)	<0.0001

<sup>a</sup> Number of patients with an observed KCCQ-TSS or who died prior to 8 months

<sup>b</sup> Number of patients who had an observed improvement of at least 5, 10 or 15 points from baseline. Patients who died prior to the given timepoint are counted as not improved. Patients with a KCCQ-TSS at baseline which was too high for them to experience an improvement were defined as improved if they remained there at 8 months.

<sup>c</sup> For improvement, an odds ratio >1 favours dapagliflozin 10 mg.

<sup>d</sup> Number of patients who had an observed deterioration of at least 5 or 10 points from baseline. Patients who died prior to the given timepoint are counted as deteriorated. Patients with a KCCQ-TSS at baseline which was too low for them to experience a deterioration were defined as deteriorated if they remained there at 8 months.

<sup>e</sup> For deterioration, an odds ratio <1 favours dapagliflozin 10 mg.

<sup>f</sup> p-values are nominal.

### ***Nephropathy***

There were 28 and 39 events of the composite of confirmed sustained  $\geq 50\%$  eGFR decrease, ESKD, or renal death in patients in the dapagliflozin and placebo groups, respectively, (HR 0.71 [95% CI 0.44, 1.16]).

### ***All-cause mortality***

The incidence of all-cause mortality was lower in the dapagliflozin treatment group compared with placebo (HR 0.83; 95% CI [0.71, 0.97], Figure 13).

### **DELIVER study: Heart failure with left ventricular ejection fraction $>40\%$**

Dapagliflozin Evaluation to Improve the Lives of Patients with Preserved Ejection Fraction Heart Failure (DELIVER) was an international, multicenter, randomized, double-blind, placebo-controlled study in patients aged  $\geq 40$  years with heart failure (NYHA class II-IV) with LVEF  $>40\%$  and evidence of structural heart disease to determine the effect of dapagliflozin compared with placebo on the incidence of CV death and worsening heart failure.

Of 6263 patients, 3131 were randomized to dapagliflozin 10 mg and 3132 to placebo and followed for a median of 28 months. The study included 654 (10%) subacute heart failure patients (defined as randomized during hospitalization for heart failure or within 30 days of discharge).

The mean age of the study population was 72 years, 56% were male, 71% White, 3% Black or African-American and 20% Asian.

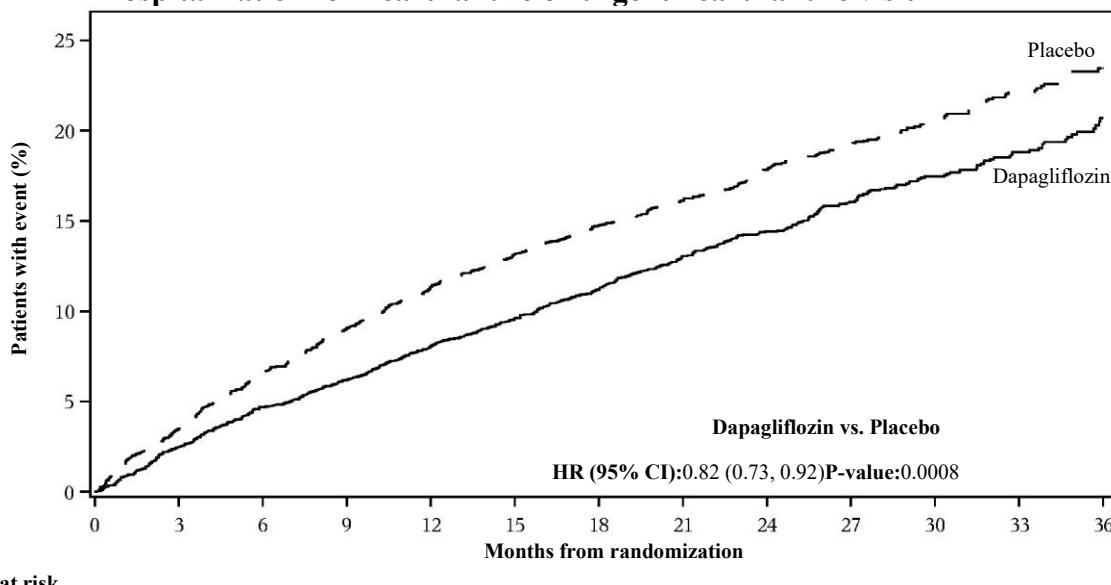
At baseline, 75% patients were classified as NYHA class II, 24% class III and 0.3% class IV. Median LVEF was 54%, 34% of the patients had LVEF  $\leq 49\%$ , 36% had LVEF 50-59% and 30% had LVEF  $\geq 60\%$ . In each treatment group, 45% had a history of type 2 diabetes mellitus. Baseline therapy included ACEi/ARB/ARNI (77%), beta-blockers (83%) diuretics (98%) and MRA (43%).

Patients with eGFR  $\geq 25$  mL/min/1.73 m<sup>2</sup> at enrollment were included in the study. The mean eGFR was 61 mL/min/1.73 m<sup>2</sup>, 49% of patients had eGFR  $< 60$  mL/min/1.73 m<sup>2</sup>, 23% had eGFR  $< 45$  mL/min/1.73 m<sup>2</sup>, and 3% had eGFR  $< 30$  mL/min/1.73 m<sup>2</sup>.

### ***Cardiovascular death or worsening heart failure***

Dapagliflozin was superior to placebo in reducing the incidence of the primary composite endpoint of cardiovascular death, hospitalization for heart failure or urgent heart failure visit (HR 0.82 [95% CI 0.73, 0.92]; p=0.0008). The number needed to treat per study duration (median follow-up 28 months) was 32 (95% CI 20,82). The dapagliflozin and placebo event curves diverged early and the separation was maintained throughout the study (Figure 16).

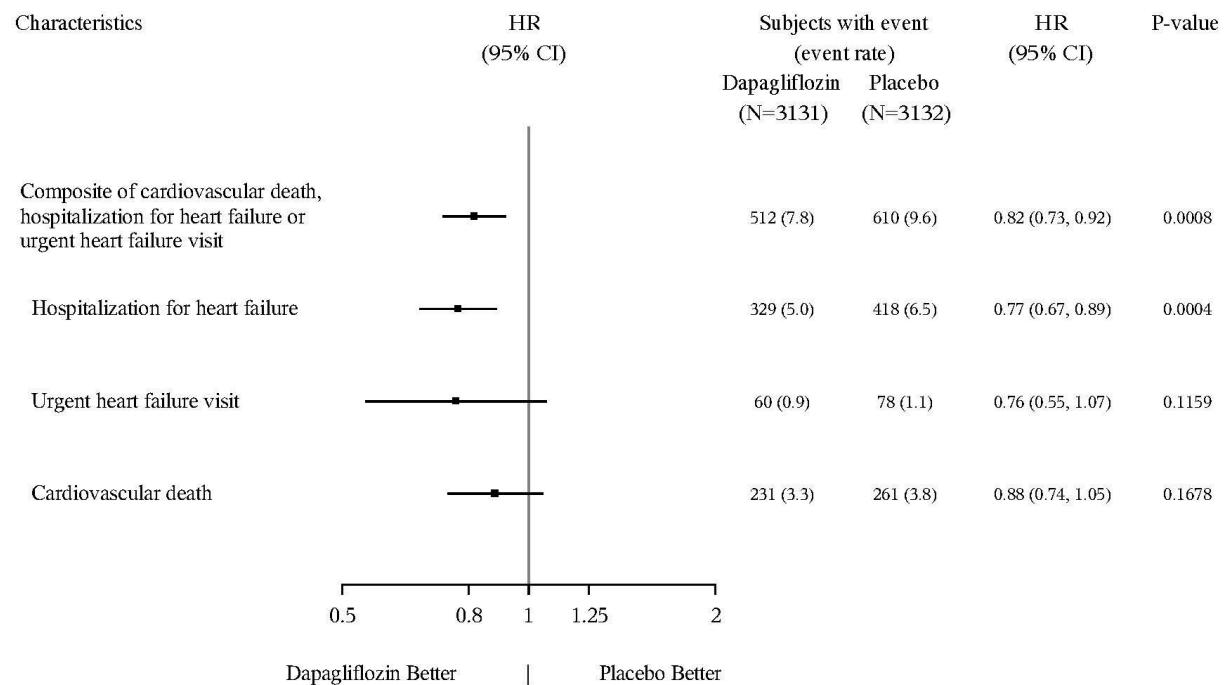
**Figure 16: Time to first occurrence of the composite of cardiovascular death, hospitalization for heart failure or urgent heart failure visit**



An urgent heart failure visit was defined as an urgent, unplanned, assessment by a physician, e.g. in an Emergency Department, and requiring treatment for worsening heart failure (other than just an increase in oral diuretics). Patients at risk is the number of patients at risk at the beginning of the period.

Figure 17 presents the contribution of the three components of the primary composite endpoint to the treatment effect.

**Figure 17: Treatment effects for the primary composite endpoint and its components**



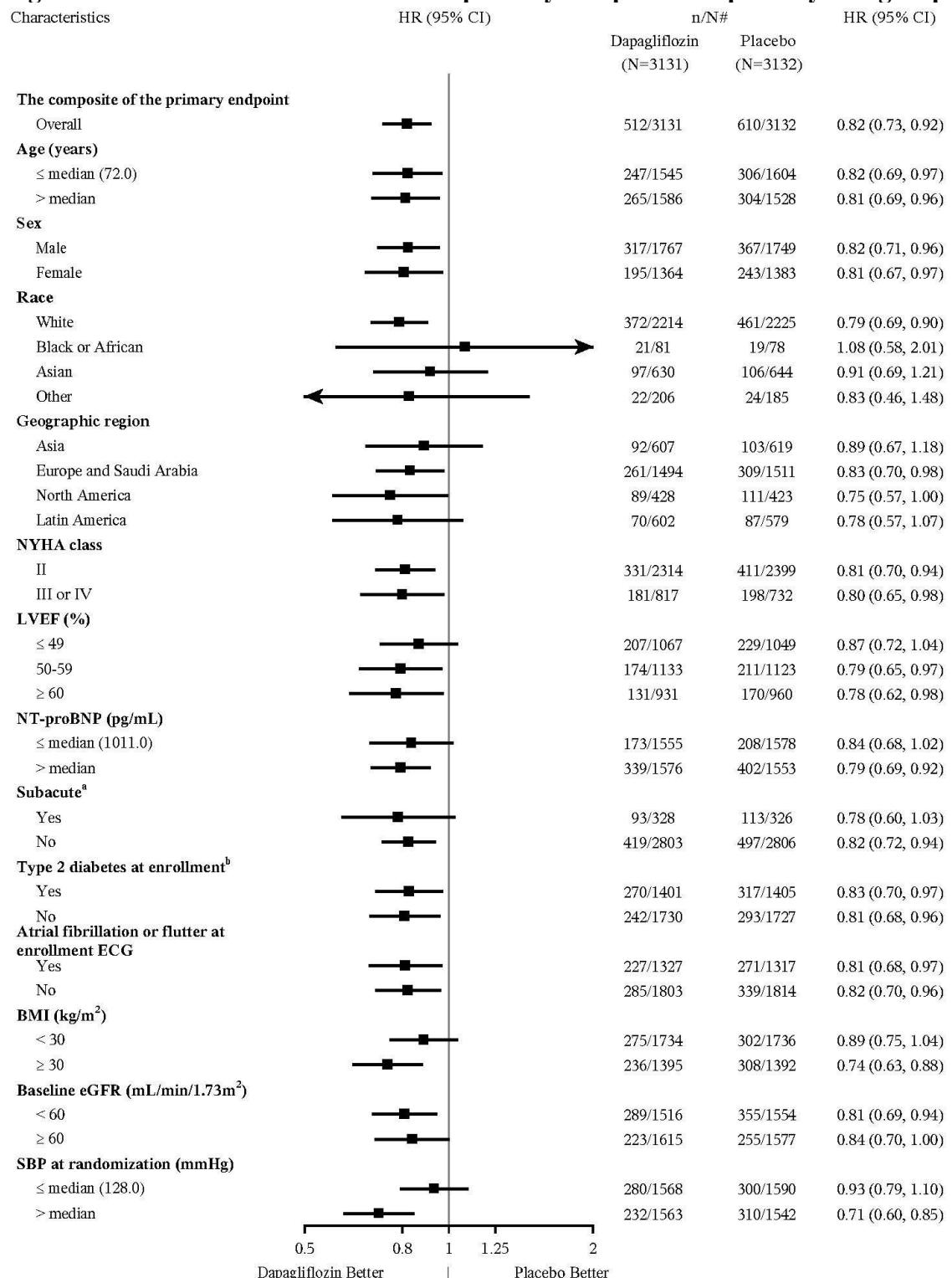
An urgent heart failure visit was defined as an urgent, unplanned, assessment by a physician, e.g. in an Emergency Department, and requiring treatment for worsening heart failure (other than just an increase in oral diuretics). The number of first events for the single components are the actual number of first events for each component and does not add up to the number of events in the composite endpoint.

Event rates are presented as the number of subjects with event per 100 patient years of follow-up. p-values for single components are nominal. Cardiovascular death, here presented as a component of the primary endpoint, was also tested under formal Type 1 error control as a secondary endpoint.

Dapagliflozin was superior to placebo in reducing the total number of heart failure events (first and recurrent hospitalization for heart failure or urgent heart failure visits) and cardiovascular death; there were 815 events in the dapagliflozin group versus 1057 events in the placebo group (Rate Ratio 0.77 [95% CI 0.67, 0.89]; p=0.0003).

The treatment benefit of dapagliflozin over placebo on the primary endpoint was observed across subgroups of patients with LVEF  $\leq$ 49%, 50–59%, and  $\geq$ 60%. Effects were also consistent across other key subgroups (Figure 18).

**Figure 18: Treatment effects for the primary composite endpoint by sub-groups**



<sup>a</sup>Defined as randomized during hospitalization for heart failure or within 30 days of discharge.

<sup>b</sup>Defined as history of type 2 diabetes mellitus. This analysis does not include type 2 diabetes mellitus as a stratification factor.

n/N# Number of subjects with event/number of subjects in the subgroup.

### ***Patient reported outcome – heart failure symptoms***

Treatment with dapagliflozin resulted in a statistically significant benefit over placebo in heart failure symptoms, as measured by change from baseline at Month 8 in the KCCQ-TSS, (Win Ratio 1.11 [95% CI 1.03, 1.21]; p=0.0086). Both symptom frequency and symptom burden contributed to the results.

In responder analyses, the proportion of patients who experienced a moderate ( $\geq 5$  points) or large ( $\geq 14$  points) deterioration was lower for the dapagliflozin treatment group compared with placebo. The proportion of patients with a small to moderate improvement ( $\geq 13$  points) or a large improvement ( $\geq 17$  points) on the KCCQ-TSS from baseline at 8 months did not differ between treatment groups (Table 14).

**Table 14: Number and percent of patients with clinically meaningful deterioration and improvement on the KCCQ-TSS at 8 months**

Change from baseline at 8 months:	Dapagliflozin 10 mg n <sup>a</sup> =1316	Placebo n <sup>a</sup> =1311		
Deterioration	n (%) deteriorated <sup>b</sup>	n (%) deteriorated <sup>b</sup>	Odds ratio <sup>c</sup> (95% CI)	p-value
$\geq 5$ points (moderate deterioration)	264 (24.1)	317 (29.1)	0.78 (0.64, 0.95)	0.0127
$\geq 14$ points (large deterioration)	148 (13.5)	201 (18.4)	0.70 (0.55, 0.88)	0.0026
Improvement	n (%) improved <sup>d</sup>	n (%) improved <sup>d</sup>	Odds ratio <sup>e</sup> (95% CI)	p-value <sup>f</sup>
$\geq 13$ points (small to moderate improvement)	531 (48.4)	498 (45.6)	1.13 (0.95, 1.33)	0.1608
$\geq 17$ points (large improvement)	486 (44.3)	478 (43.8)	1.06 (0.89, 1.26)	0.5137

<sup>a</sup> Number of patients with an observed KCCQ-TSS or who died prior to 8 months. Number includes patients with an 8-month assessment (Visit 5) planned or performed prior to 11 March 2020, when COVID-19 was declared a pandemic by the WHO. Data for patients with planned but not performed assessment prior to 11 March 2020 was imputed.

<sup>b</sup> Number of subjects who died prior to the given time point or had an observed deterioration from baseline equal to or exceeding the given threshold. Patients with a KCCQ-TSS at baseline which was too low to possibly experience a deterioration were defined as deteriorated if their score at 8 months was not higher than baseline.

<sup>c</sup> For deterioration, an odds ratio <1 favours dapagliflozin 10 mg.

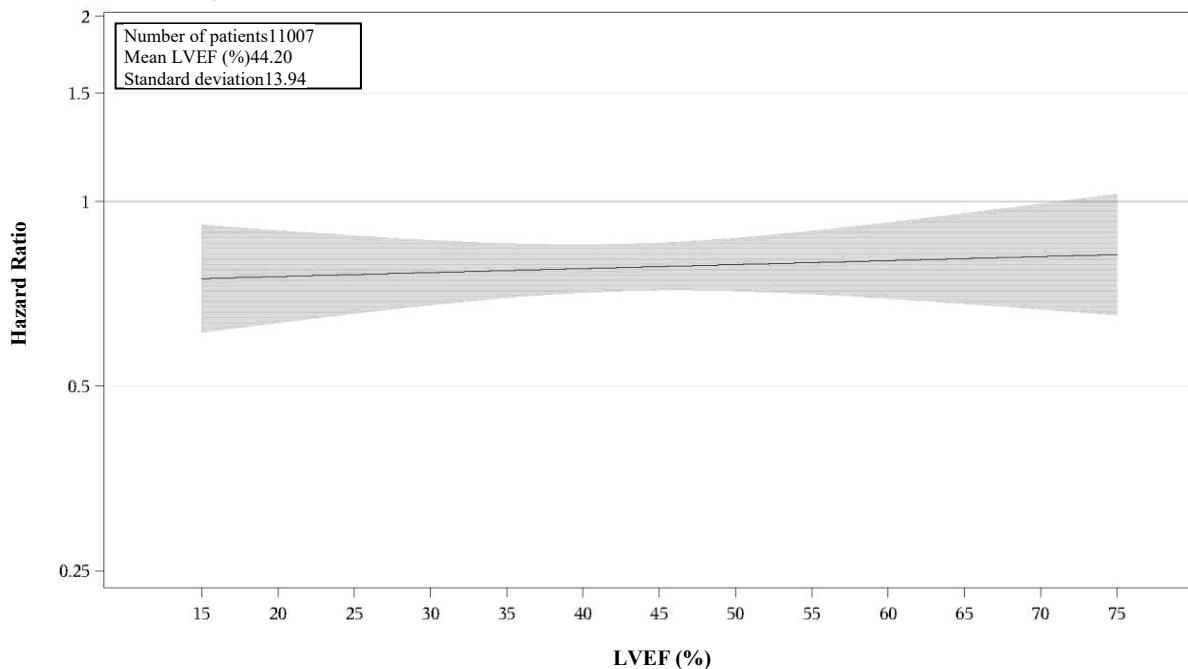
<sup>d</sup> Number of subjects who had an observed improvement of at least 13 or 17 points from baseline. Patients who died prior to the given timepoint are counted as not improved. Patients with a KCCQ-TSS at baseline which was too high to possibly experience an improvement were defined as improved if their score at 8 months was not lower than baseline.

<sup>e</sup> For improvement, an odds ratio >1 favours dapagliflozin 10 mg.

### ***Heart failure across DAPA-HF and DELIVER studies***

In a pooled analysis of DAPA-HF and DELIVER, the treatment effect of dapagliflozin on the composite endpoint of cardiovascular death, hospitalization for heart failure or urgent heart failure visit was consistent across the LVEF range (Figure 19).

**Figure 19: Treatment effect for the primary composite endpoint (cardiovascular death, hospitalization for heart failure or urgent heart failure visit) by baseline LVEF**

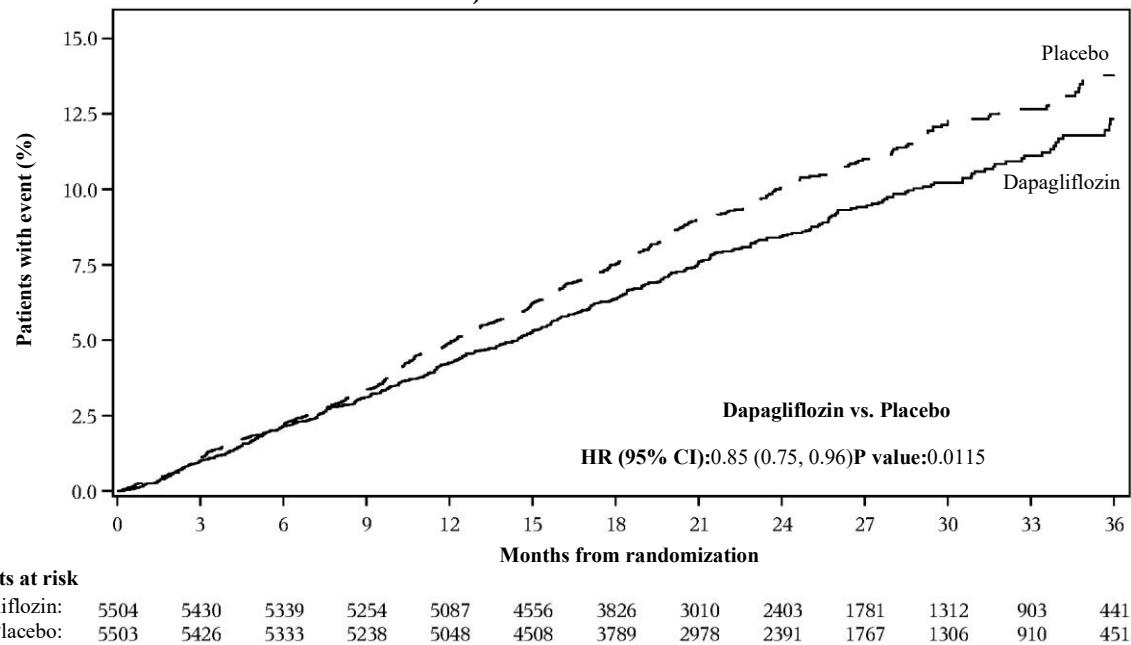


Definitions of the primary endpoints from each study are used. In DAPA-HF the primary endpoint included death with undetermined cause of death. In DELIVER the primary endpoint did not include death with undetermined cause of death.

Data for LVEF between 15% and 75% are presented in the figure. At baseline, 0.5% of patients had LVEF <15% and 0.7% had LVEF >75%.

In a pre-specified subject level pooled analysis of the DAPA-HF and DELIVER studies, dapagliflozin compared with placebo reduced the risk of cardiovascular death (HR 0.85 [95% CI 0.75, 0.96],  $p=0.0115$ ) (Figure 20). Both studies contributed to the effect.

**Figure 20: Time to first occurrence of cardiovascular death (pooled analysis of DAPA-HF and DELIVER studies)**



Definitions of CV death from each study is used. In DAPA-HF, CV death included death with undetermined cause of death. In DELIVER, CV death did not include death with undetermined cause of death.

Patients at risk is the number of patients at risk at the beginning of the period.

### Clinical trial information – chronic kidney disease

#### Clinical Efficacy

The Study to Evaluate the Effect of Dapagliflozin on Renal Outcomes and Cardiovascular Mortality in Patients with Chronic Kidney Disease (DAPA-CKD) was an international, multicenter, event-driven, randomized, double-blind, parallel-group, placebo-controlled study comparing dapagliflozin with placebo, when added to background standard of care therapy, in chronic kidney disease (CKD) patients with eGFR  $\geq 25$  to  $\leq 75$  mL/min/1.73 m<sup>2</sup> and albuminuria (urine albumin creatinine ratio [UACR]  $\geq 200$  and  $\leq 5000$  mg/g). The primary objective was to determine the effect of dapagliflozin compared with placebo in reducing the incidence of the composite endpoint of  $\geq 50\%$  sustained decline in eGFR, end stage kidney disease (ESKD) (defined as sustained eGFR  $< 15$  mL/min/1.73 m<sup>2</sup>, chronic dialysis treatment or receiving a renal transplant), CV or renal death.

A total of 4304 patients were randomised to dapagliflozin 10 mg (N=2152) or placebo (N=2152) once daily and followed for a median of 28.5 months. Treatment was continued if eGFR fell to levels below 25 mL/min/1.73 m<sup>2</sup> during the study and could be continued in cases when dialysis was needed.

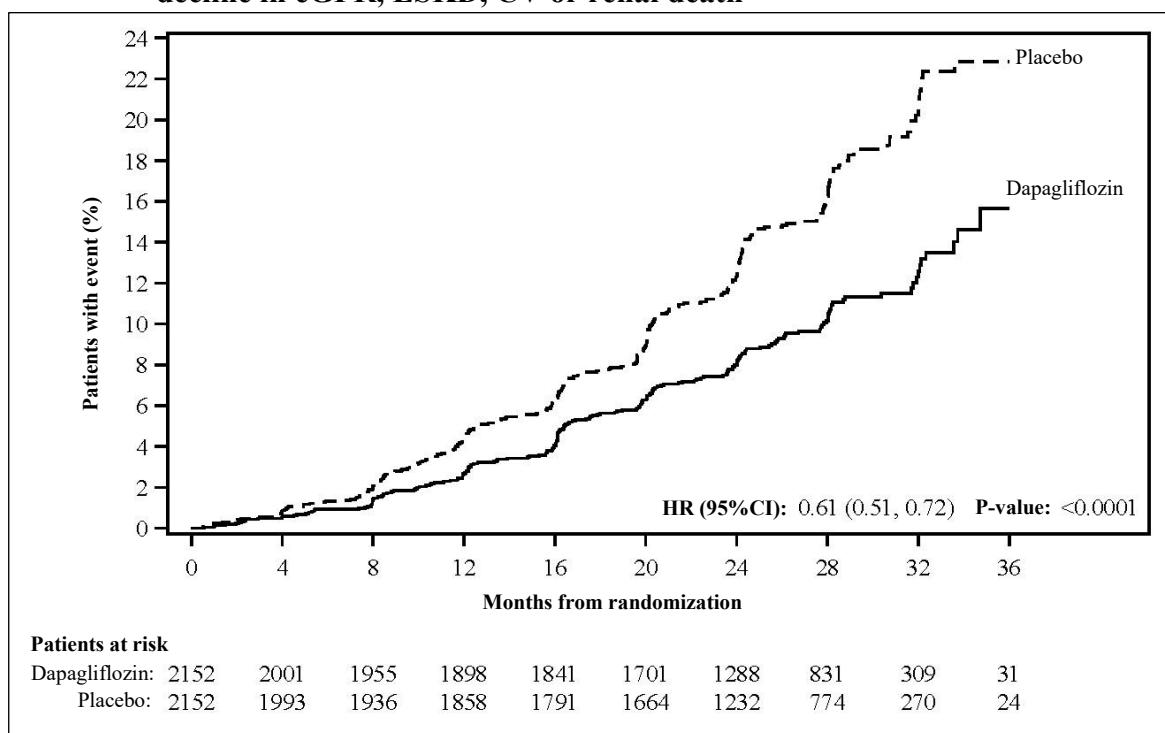
At baseline, mean eGFR was 43.1 mL/min/1.73m<sup>2</sup> and median UACR was 949.3 mg/g, 44.1% of patients had eGFR 30 to  $< 45$  mL/min/1.73 m<sup>2</sup> and 14.5% had eGFR  $< 30$  mL/min/1.73 m<sup>2</sup>. 67.5% of the patients had type 2 diabetes mellitus.

Patients were on standard of care (SOC) therapy; 97.0% of patients were treated with an angiotensin-converting enzyme inhibitor (ACEi) or angiotensin receptor blocker (ARB).

The mean age of the study population was 61.8 years, 66.9% were male, 53.2% White, 4.4% Black or African-American, and 34.1% Asian.

Dapagliflozin was superior to placebo in reducing the incidence of the primary composite endpoint of  $\geq 50\%$  sustained decline in eGFR, reaching ESKD, CV or renal death (HR 0.61 [95% CI 0.51, 0.72];  $p<0.0001$ ). The number needed to treat per 27 months was 19 (95% CI 15, 27). Based on the Kaplan-Meier plot, the dapagliflozin and placebo event curves began to separate early (4 months) and continued to diverge over the study period (Figure 21).

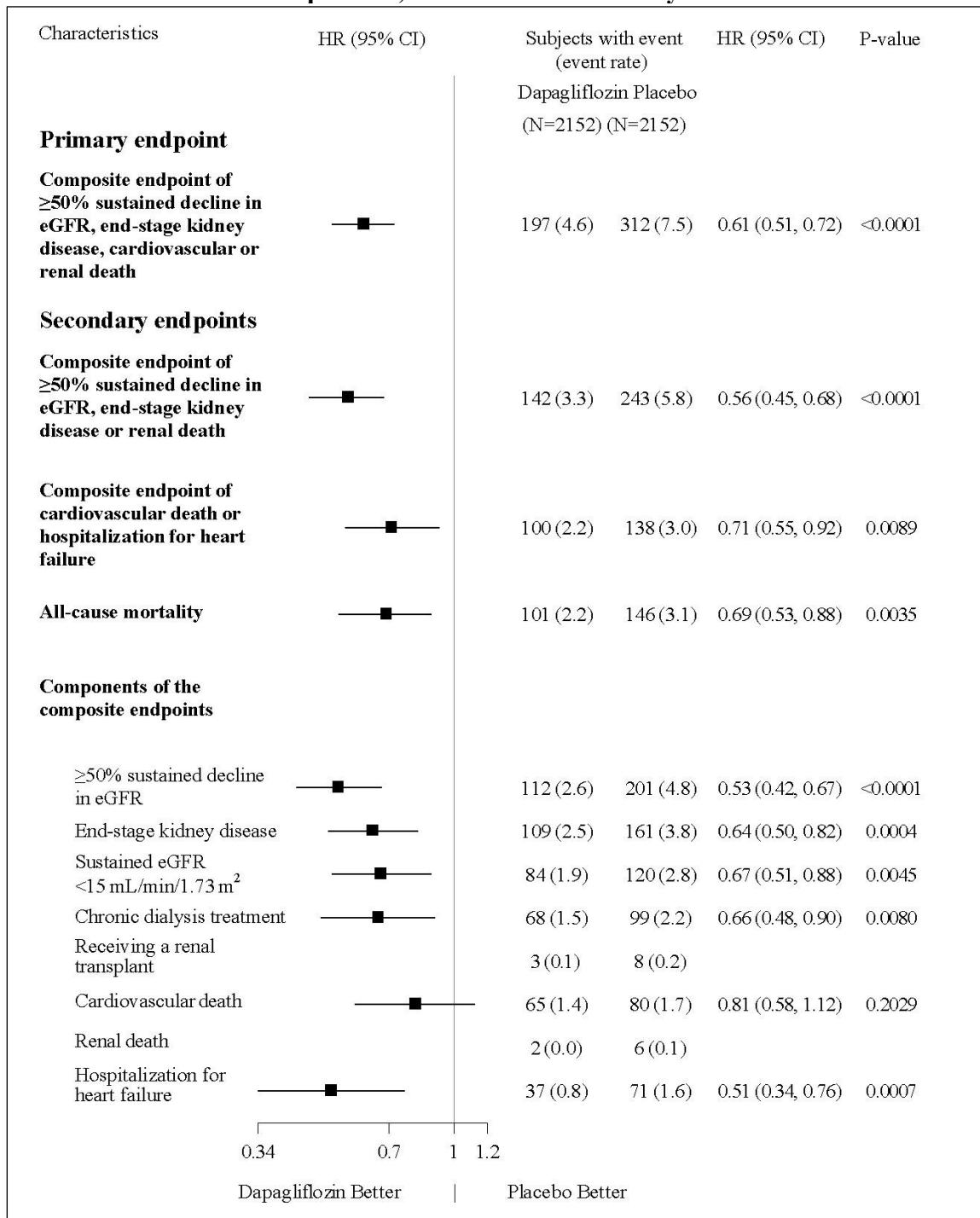
**Figure 21: Time to first occurrence of the primary composite endpoint,  $\geq 50\%$  sustained decline in eGFR, ESKD, CV or renal death**



Patients at risk is the number of patients at risk at the beginning of the period.

All four components of the primary composite endpoint individually contributed to the treatment effect (Figure 22). Dapagliflozin also reduced the incidence of the composite endpoint of  $\geq 50\%$  sustained decline in eGFR, ESKD or renal death (HR 0.56 [95% CI 0.45, 0.68],  $p<0.0001$ ), the composite endpoint of CV death and hospitalization for heart failure (HR 0.71 [95% CI 0.55, 0.92],  $p=0.0089$ ), and all-cause mortality (HR 0.69 [95% CI 0.53, 0.88],  $p=0.0035$ ).

**Figure 22: Treatment effects for the primary and secondary composite endpoints, their individual components, and all-cause mortality**



The number of first events for the single components are the actual number of first events for each component and does not add up to the number of events in the composite endpoint.

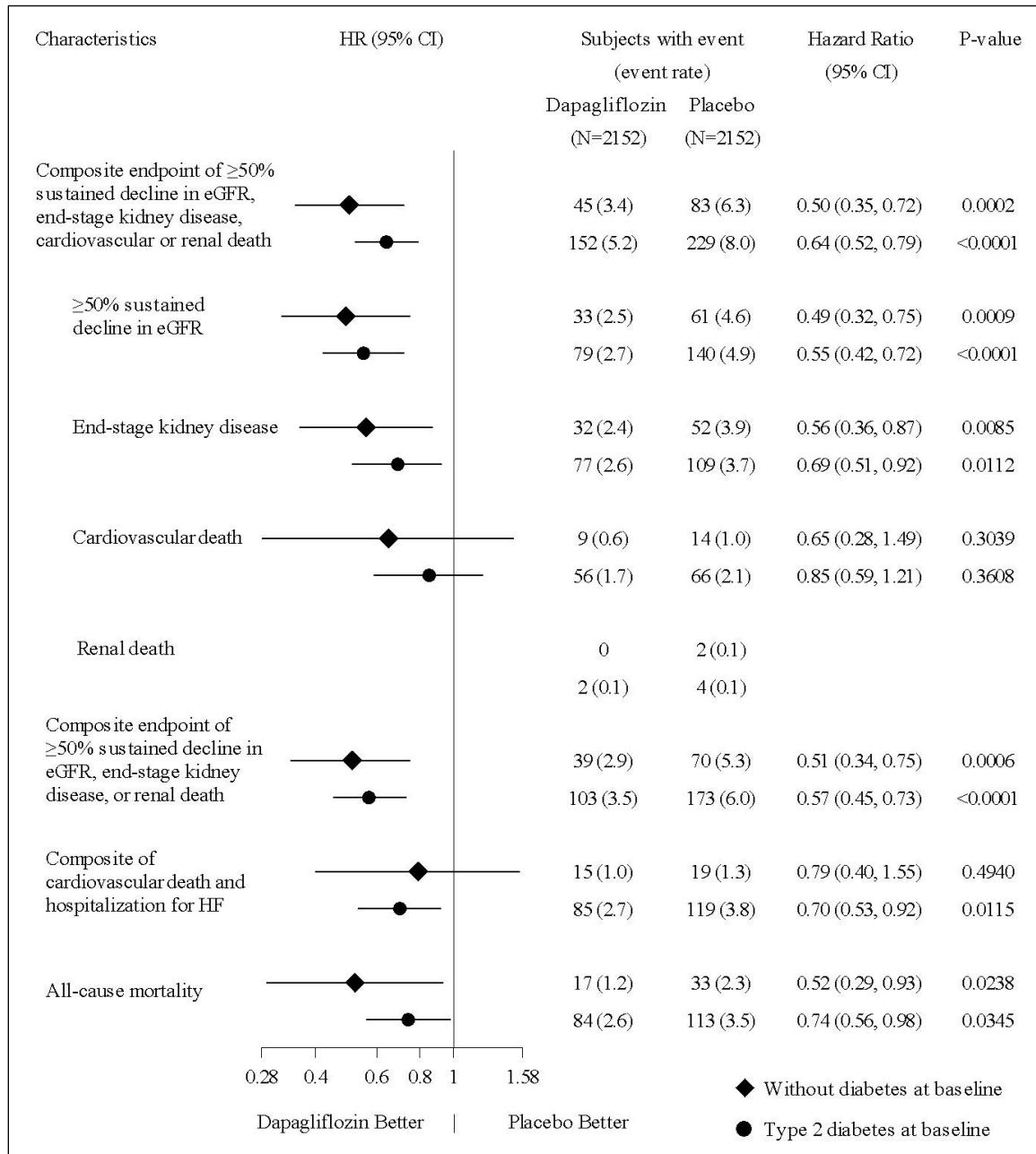
Event rates are presented as the number of subjects with event per 100 patient years of follow-up.

Hazard ratio estimates are not presented for subgroups with less than 15 events in total, both arms combined.

p-values for components of the composite endpoints are nominal.

The treatment effect of dapagliflozin was consistent in chronic kidney disease patients with type 2 diabetes mellitus and without diabetes (Figure 23).

**Figure 23: Treatment effects in patients with type 2 diabetes mellitus and in patients without diabetes**



The number of first events for the single components are the actual number of first events for each component and does not add up to the number of events in the composite endpoint.

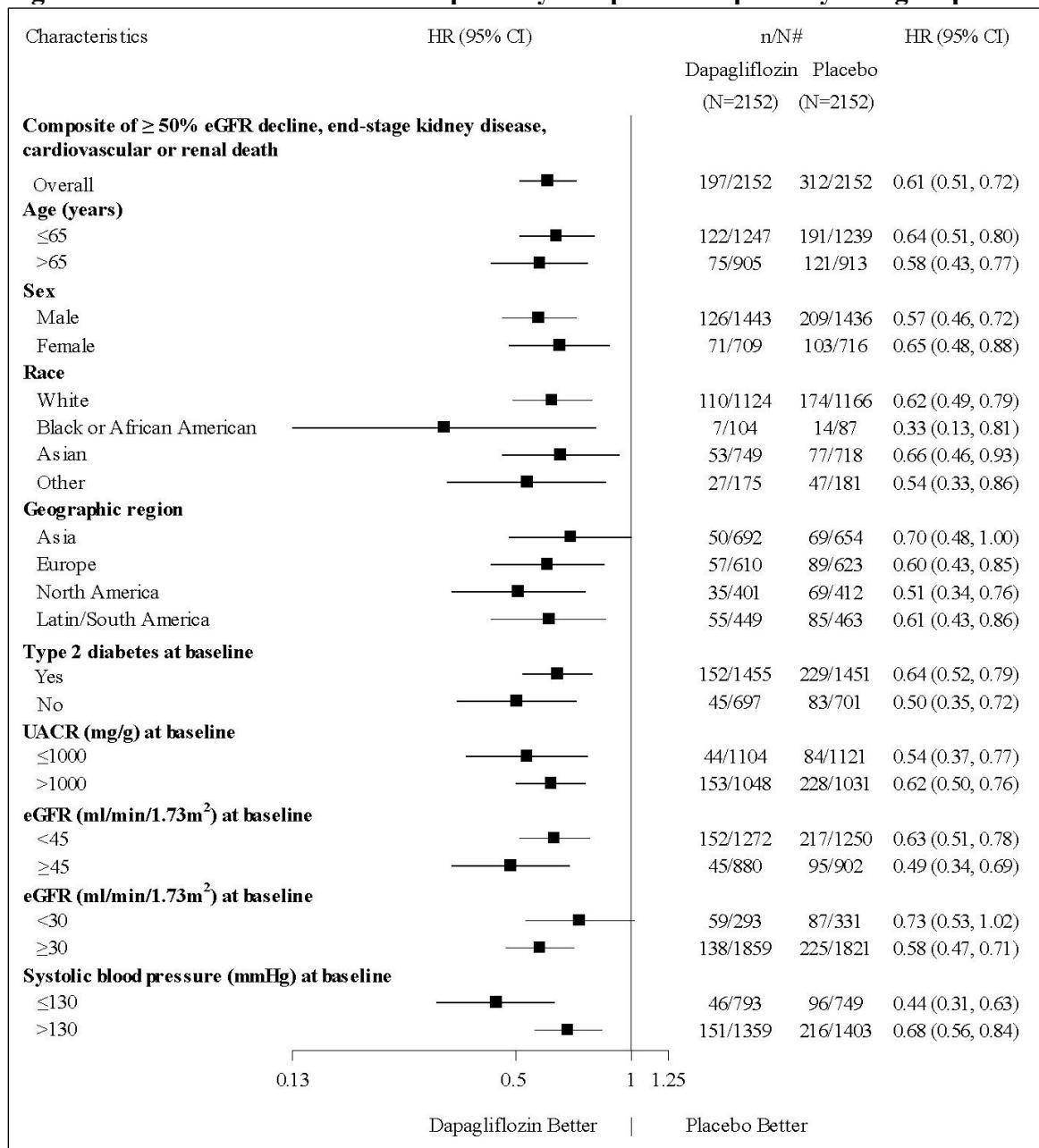
Hazard ratio estimates are not presented for subgroups with less than 15 events in total, both arms combined.

Event rates are presented as the number of subjects with event per 100 patient years of follow-up.

p-values are nominal.

The treatment benefit of dapagliflozin over placebo on the primary composite endpoint was consistent across key subgroups (Figure 24).

**Figure 24: Treatment effects for the primary composite endpoint by sub-groups**



n/N# Number of subjects with event/number of subjects in the subgroup.

The treatment benefit of dapagliflozin was also observed for exploratory endpoints;

- A greater reduction in UACR was demonstrated for dapagliflozin compared with placebo. The effect was observed as early as 14 days and was maintained throughout the study. At 36 months, the adjusted mean percent change from baseline in UACR (mg/g) was -41% in patients treated with dapagliflozin and -20% in patients treated with placebo, with a difference between treatment groups of -26.3% ([95% CI -36.8, -14.0], nominal p=0.0001).

- The incidence of doubling of serum creatinine since the most recent laboratory measurement (an evaluation of acute worsening in kidney function), was reduced in the dapagliflozin group compared with the placebo group (HR 0.68 [95% CI 0.49, 0.94], nominal p=0.0187).

## 5.2 Pharmacokinetics

### Absorption

Dapagliflozin is rapidly and well absorbed after oral administration and can be administered with or without food. Maximum dapagliflozin plasma concentrations ( $C_{max}$ ) are usually attained within 2 hours after administration in the fasted state. The  $C_{max}$  and AUC values increase proportionally to the increment in dapagliflozin dose. The absolute oral bioavailability of dapagliflozin following the administration of a 10 mg dose is 78%. Food has relatively modest effects on the pharmacokinetics of dapagliflozin in healthy subjects. Administration with a high-fat meal decreases dapagliflozin  $C_{max}$  by up to 50% and prolonged  $T_{max}$  by approximately 1 hour, but does not alter AUC as compared with the fasted state. These changes are not considered to be clinically meaningful.

### Distribution

Dapagliflozin is approximately 91% protein bound. Protein binding is not altered in various disease states (e.g., renal or hepatic impairment).

### Metabolism

Dapagliflozin is a C-linked glucoside, meaning the aglycone component is attached to glucose by a carbon-carbon bond, thereby conferring stability against glucosidase enzymes. The mean plasma terminal half-life ( $t_{1/2}$ ) for dapagliflozin is 12.9 hours following a single oral dose of dapagliflozin 10 mg to healthy subjects. Dapagliflozin is extensively metabolized, primarily to yield dapagliflozin 3-O-glucuronide, which is an inactive metabolite. Dapagliflozin 3-O-glucuronide accounts for 61% of a 50 mg [ $^{14}C$ ]-dapagliflozin dose and is the predominant drug-related component in human plasma, accounting for 42% (based on AUC [0-12 hour]) of total plasma radioactivity, similar to the 39% contribution by parent drug. Based on AUC, no other metabolite accounts for >5% of the total plasma radioactivity. Dapagliflozin 3-O-glucuronide or other metabolites do not contribute to the glucose-lowering effects. The formation of dapagliflozin 3-O-glucuronide is mediated by UGT1A9, an enzyme present in the liver and kidney, and CYP-mediated metabolism is a minor clearance pathway in humans.

### Elimination

Dapagliflozin and related metabolites are primarily eliminated via urinary excretion, of which less than 2% is unchanged dapagliflozin. After administration of 50 mg [ $^{14}C$ ]-dapagliflozin dose, 96% is recovered; 75% in urine and 21% in feces. In feces, approximately 15% of the dose is excreted as parent drug.

### Special Populations

No dosage adjustments based on pharmacokinetic analyses are recommended for mild to moderate and severe renal impairment; mild and moderate hepatic impairment; age; gender; race; and body weight. Dapagliflozin should not be used in patients with severe hepatic impairment.

### **Renal Impairment**

At steady-state (20 mg once-daily dapagliflozin for 7 days), patients with type 2 diabetes and mild, moderate, or severe renal impairment (as determined by iohexol clearance) had mean systemic exposures of dapagliflozin that were 32%, 60%, and 87% higher, respectively, than those of patients with type 2 diabetes and normal renal function. At dapagliflozin 20 mg once-daily, higher systemic exposure to dapagliflozin in patients with type 2 diabetes mellitus and renal impairment did not result in a correspondingly higher renal-glucose clearance or 24-hour glucose excretion. The renal-glucose clearance and 24-hour glucose excretion were lower in patients with moderate or severe renal impairment as compared to patients with normal and mild renal impairment. The steady-state 24-hour urinary glucose excretion was highly dependent on renal function, and 85, 52, 18, and 11 g of glucose/day was excreted by patients with type 2 diabetes mellitus and normal renal function or mild, moderate, or severe renal impairment, respectively. There were no differences in the protein binding of dapagliflozin between renal impairment groups or compared to healthy subjects. The impact of hemodialysis on dapagliflozin exposure is not known. The effect of reduced renal function on systemic exposure was evaluated in a population pharmacokinetic model. Consistent with previous results, model predicted AUC was higher in patients with chronic kidney disease compared with patients with normal renal function, and was not meaningfully different in chronic kidney disease patients with type 2 diabetes mellitus and without diabetes.

### **Hepatic Impairment**

For dosing recommendations for patients with moderate or severe hepatic impairment see section 4.2. A single-dose (10 mg) dapagliflozin clinical pharmacology study was conducted in patients with mild, moderate, or severe hepatic impairment (Child-Pugh classes A, B, and C, respectively) and healthy matched controls in order to compare the pharmacokinetic characteristics of dapagliflozin between these populations. There were no differences in the protein binding of dapagliflozin between patients with hepatic impairment compared to healthy subjects. In patients with mild or moderate hepatic impairment, mean  $C_{max}$  and AUC of dapagliflozin were up to 12% and 36% higher, respectively, compared to healthy matched control subjects. These differences were not considered to be clinically meaningful and no dose adjustment from the proposed usual dose of 10 mg once daily for dapagliflozin is proposed for these populations. In patients with severe hepatic impairment (Child-Pugh class C) mean  $C_{max}$  and AUC of dapagliflozin were up to 40% and 67% higher than matched healthy controls, respectively. Dapagliflozin should not be used in patients with severe hepatic impairment.

### **Age**

No dosage adjustment for dapagliflozin from the dose of 10 mg once daily is recommended on the basis of age. The effect of age (young:  $\geq 18$  to  $< 40$  years [n=105] and elderly:  $\geq 65$  years [n=224]) was evaluated as a covariate in a population pharmacokinetic model and compared to patients  $\geq 40$  to  $< 65$  years using data from healthy subject and patient studies). The mean dapagliflozin systemic exposure (AUC) in young patients was estimated to be 10.4% lower than in the reference group (90% CI; 87.9, 92.2%) and 25% higher in elderly patients compared to the reference group (90% CI; 123, 129%). These differences in systemic exposure were considered to not be clinically meaningful.

### **Pediatric and Adolescent Patients**

Pharmacokinetics in the pediatric and adolescent population have not been studied.

### **Gender**

No dosage adjustment from the dose of 10 mg once daily is recommended for dapagliflozin on the basis of gender. Gender was evaluated as a covariate in a population pharmacokinetic model using data from healthy subject and patient studies. The mean dapagliflozin AUC<sub>ss</sub> in females (n=619) was estimated to be 22% higher than in males (n=634) (90% CI; 117,124).

### **Race**

No dosage adjustment from the dapagliflozin dose of 10 mg once daily is recommended on the basis of race. Race (White, Black, or Asian) was evaluated as a covariate in a population pharmacokinetic model using data from healthy subject and patient studies. Differences in systemic exposures between these races were small. Compared to Whites (n=1147), Asian subjects (n=47) had no difference in estimated mean dapagliflozin systemic exposures (90% CI range; 3.7% lower, 1% higher). Compared to Whites, Black subjects (n=43) had 4.9% lower estimated mean dapagliflozin systemic exposures (90% CI range; 7.7% lower, 3.7% lower).

### **Body Weight**

No dose adjustments from the proposed dapagliflozin dose of 10 mg once daily is recommended in patients with diabetes mellitus or in patients without diabetes on the basis of weight.

In a population pharmacokinetic analysis using data from healthy subject and patient studies, systemic exposures in high-body-weight subjects ( $\geq 120$  kg, n=91) were estimated to be 78.3% (90% CI; 78.2, 83.2%) of those of reference subjects with body weight between 75 and 100 kg. This difference is considered to be small, therefore, no dose adjustment from the proposed dose of 10 mg dapagliflozin once daily in type 2 diabetes mellitus patients with high body weight ( $\geq 120$  kg) is recommended.

Subjects with low body weights ( $< 50$  kg) were not well represented in the healthy subject and patient studies used in the population pharmacokinetic analysis. Therefore, dapagliflozin systemic exposures were simulated with a large number of subjects. The simulated mean dapagliflozin systemic exposures in low-body-weight subjects were estimated to be 29% higher than subjects with the reference group body weight. This difference is considered to be small, and based on these findings, no dose adjustment from the proposed dose of 10 mg dapagliflozin once daily in type 2 diabetes mellitus patients with low body weight ( $< 50$  kg) is recommended.

## **5.3 Preclinical safety data**

### **Carcinogenesis, Mutagenesis, Impairment of Fertility**

Dapagliflozin did not induce tumors in either mice or rats at any of the doses evaluated in 2-year carcinogenicity studies. Oral doses in mice consisted of 5, 15, and 40 mg/kg/day in males and 2, 10, and 20 mg/kg/day in females, and oral doses in rats were 0.5, 2, and 10 mg/kg/day for both males and females. The highest doses evaluated in mice were equivalent to AUC exposure multiples of approximately 72 $\times$  (males) and 105 $\times$  (females) the human AUC at MRHD of 10 mg/day. In rats, AUC exposures were approximately 131 $\times$  (males) and 186 $\times$  (females) the human AUC at the MRHD.

Dapagliflozin was negative in the Ames mutagenicity assay and was positive in an *in vitro* clastogenicity assay, but only in the presence of S9 activation and at concentrations  $\geq 100$   $\mu$ g/mL.

Importantly, dapagliflozin was negative for clastogenicity *in vivo* in a series of studies evaluating micronuclei or DNA repair in rats at exposure multiples  $>2100\times$  the human exposure at the MRHD. These studies, along with the absence of tumor findings in the rat and mouse carcinogenicity studies, support that dapagliflozin does not represent a genotoxic risk to humans.

In a study of fertility and early embryonic development in rats, doses of 15, 75, or 300/210 mg/kg/day dapagliflozin were administered to males (the 300 mg/kg/day dose was lowered to 210 mg/kg/day after 4 days), and doses of 3, 15, or 75 mg/kg/day were administered to females. Dapagliflozin had no effects on mating, fertility, or early embryonic development in treated males or females at any dose tested (at exposure multiples  $\leq 1708\times$  and  $998\times$  the MRHD in males and females, respectively). However, at 300/210 mg/kg/day, seminal vesicle and epididymal weights were reduced; sperm motility and sperm counts were reduced; and there were low numbers of morphologically abnormal sperm.

### **Teratogenicity and Impairment of Early Development**

Direct administration of dapagliflozin to weanling juvenile rats and indirect exposure during late pregnancy and lactation (time periods corresponding to the second and third trimesters of pregnancy with respect to human renal maturation) are each associated with increased incidence and/or severity of renal pelvic and tubular dilatations in progeny.

In a juvenile toxicity study, when dapagliflozin was dosed directly to young rats from postnatal day (PND) 21 until PND 90 at doses of 1, 15, or 75 mg/kg/day, renal pelvic and tubular dilatations were reported at all dose levels; pup exposures at the lowest dose tested were  $\geq 15\times$  the MRHD. These findings were associated with dose-related increases in kidney weight and macroscopic kidney enlargement observed at all doses. The renal pelvic and tubular dilatations observed in juvenile animals did not fully reverse within the approximate 1-month recovery period.

In a separate study of prenatal and postnatal development, maternal rats were dosed from gestation day (GD) 6 through PND 21 (also at 1, 15, or 75 mg/kg/day), and pups were indirectly exposed *in utero* and throughout lactation. (A satellite study was conducted to assess dapagliflozin exposures in milk and pups). Increased incidence or severity of renal pelvic dilatation was again observed in adult offspring of treated dams, although only at 75 mg/kg/day (associated maternal and pup dapagliflozin exposures were  $1415\times$  and  $137\times$ , respectively, the human values at the MRHD). Additional developmental toxicity was limited to dose-related reductions in pup body weights and observed only at doses  $\geq 15$  mg/kg/day (associated with pup exposures that are  $\geq 29\times$  the human values at the MRHD). Maternal toxicity was evident only at 75 mg/kg/day, and limited to transient reductions in body weight and food consumption at dose initiation. The no-adverse-effect level (NOAEL) for developmental toxicity, 1 mg/kg/day, is associated with a maternal systemic exposure multiple that is approximately  $19\times$  the human value at the MRHD.

In additional studies of embryo-fetal development in rats and rabbits, dapagliflozin was administered for intervals coinciding with the major periods of organogenesis in each species. Neither maternal nor developmental toxicities were observed in rabbits at any dose tested (20, 60, or 180 mg/kg/day); 180 mg/kg/day is associated with a systemic exposure multiple of approximately  $1191\times$  the MRHD. In rats, dapagliflozin was neither embryolethal nor teratogenic at doses up to 75 mg/kg/day ( $1441\times$  the MRHD). Doses  $\geq 150$  mg/kg/day ( $\geq 2344\times$  the human

values at the MRHD) were associated with both maternal and developmental toxicities. Maternal toxicity included mortality, adverse clinical signs, and decrements in body weight and food consumption. Developmental toxicity consisted of increased embryo-fetal lethality, increased incidences of fetal malformations and skeletal variations, and reduced fetal body weights. Malformations included a low incidence of great vessel malformations, fused ribs and vertebral centras, and duplicated manubria and sternal centra. Variations were primarily reduced ossifications.

### **Animal Toxicology**

Most of the effects observed in pivotal repeat-dose toxicity studies in both rats and dogs were considered to be secondary to pharmacologically mediated increases in urinary glucose, and included decreases in body weights and/or body weight gains, increased food consumption, and increases in urine volumes due to osmotic diuresis. Dapagliflozin was well tolerated when given orally to rats for up to 6 months at doses of  $\leq 25$  mg/kg/day ( $\geq 346\times$  the human exposures at the MRHD) and in dogs for up to 12 months at doses of  $\leq 120$  mg/kg/day ( $\geq 3200\times$  the human exposures at the MRHD). Also, single-dose studies with dapagliflozin indicated that the dapagliflozin 3-O-glucuronide metabolite would have been formed in both rat and dog toxicity studies at exposure levels (AUCs) that are greater than, or approximately equal to, anticipated human dapagliflozin 3-O-glucuronide exposures following administration of dapagliflozin at the MRHD. In rats, the most noteworthy nonclinical toxicity finding of increased trabecular bone and tissue mineralization (associated with increased serum calcium) was only observed at high-exposure multiples ( $\geq 2100\times$  based on human exposures at the MRHD). Despite achieving exposure multiples of  $\geq 3200\times$  the human exposure at the MRHD, there was no dose-limiting or target-organ toxicities identified in the 12-month dog study.

## **6. PHARMACEUTICAL PROPERTIES**

### **6.1 List of Excipients**

Each film-coated tablet of EDISTRIDE 10 mg of dapagliflozin and the following inactive ingredients: microcrystalline cellulose, anhydrous lactose, crospovidone, silicon dioxide, and magnesium stearate. In addition, the film coating contains the following inactive ingredients: polyvinyl alcohol, titanium dioxide, polyethylene glycol, talc, and yellow iron oxide.

### **6.2 Incompatibilities**

Not applicable.

### **6.3 Shelf Life**

3 years.

### **6.4 Storage**

Store below 30°C.

### **6.5 Nature and Contents of Container**

Supplied in aluminium foil blister packs in cartons of 30 tablets.

## **6.6 Special Instructions for Use, Handling, and Disposal**

No special requirements. Any unused product or waste material should be disposed of in accordance with local requirements.

### **Product Owner**

AstraZeneca AB,  
Södertälje, Sweden

### **Date of revision of text**

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